

AGENDA

REGULAR CITY COUNCIL MEETING
COUNCIL CHAMBERS
5000 CLARK AVENUE
LAKEWOOD, CALIFORNIA

January 22, 2019, 7:30 p.m.

CALL TO ORDER

INVOCATION: High Councilor Lary Carlton, Church of Jesus Christ of Latter-day Saints

PLEDGE OF ALLEGIANCE: Cub Scouts Pack 75

ROLL CALL: Mayor Steve Croft
Vice Mayor Todd Rogers
Council Member Diane DuBois
Council Member Ron Piazza
Council Member Jeff Wood

ANNOUNCEMENTS AND PRESENTATIONS:

Presentation by Maria Jimenez of Donate Life

Presentation by Lieutenant Ciro Racowschi, Lakewood Sheriff's Station, Regarding Quarterly Public Safety Report

ROUTINE ITEMS:

All items listed within this section of the agenda are considered to be routine and will be enacted by one motion without separate discussion. Any Member of Council may request an item be removed for individual discussion or further explanation. All items removed shall be considered immediately following action on the remaining items.

RI-1 MEETING MINUTES - Staff recommends City Council approve Minutes of the Meetings held October 23, November 27, December 11, 2018 and January 8, 2019

RI-2 PERSONNEL TRANSACTIONS - Staff recommends City Council approve report of personnel transactions.

RI-3 REGISTERS OF DEMANDS - Staff recommends City Council approve registers of demands.

RI-4 CITY COUNCIL COMMITTEES' ACTIVITIES - Staff recommends City Council approve report of City Council Committees' activities.

RI-5 MONTHLY REPORT OF INVESTMENT TRANSACTIONS - DECEMBER 2018 - Staff recommends City Council approve monthly report of investment transactions.

RI-6 COMPLETION OF CAPITAL IMPROVEMENT PROJECT OF SCADA SYSTEM UPGRADES - Staff recommends City Council appropriate \$2,405.02 from the SCADA System Upgrades Capital Improvement Budget to the Macro Automatics Corporation contract and accept the contracted work performed by Macro Automatics for SCADA upgrades project.

City Council Agenda

January 22, 2019

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ROUTINE ITEMS: - Continued

RI-7 NOTICE OF COMPLETION FOR PUBLIC WORKS PROJECT NO. 2016-5, STORMWATER AND RUNOFF CAPTURE AT BOLIVAR PARK, REYES CONSTRUCTION, INC. - Staff recommends City Council accept the work performed by Reyes Construction in a final contract amount of \$9,048,994, and authorize the City Clerk to file the Notice of Completion and ratify Change Order Nos. 1-9 in the net additional amount of \$450,226.

RI-8 ARCHITECTURAL SERVICES AUTHORIZATION – DAHLIN GROUP – CIVIC CENTER DOORS - Staff recommends that the City Council authorize work for the ADA Door Improvements in an amount not-to-exceed \$48,250 and appropriate \$48,250 from the General Fund assigned fund balance - Capital Replacement and Repair for the project.

REPORTS:

3.1 CITY INVESTMENT POLICY AND QUARTERLY SCHEDULE OF INVESTMENTS - AS OF DECEMBER 31, 2018 - Staff recommends City Council adopt the Investment Policy, continue the investment management services of PFM, and receive and file the Quarterly Schedule of Investments for December 31, 2018.

AGENDA LAKEWOOD HOUSING SUCCESSOR AGENCY

1. REGISTER OF DEMANDS - Staff recommends Housing Successor Agency approve register of demands.

ORAL COMMUNICATIONS:

ADJOURNMENT

In compliance with the Americans with Disabilities Act, if you are a qualified individual with a disability and need an accommodation to participate in the City Council meeting, please contact the City Clerk's Office, 5050 Clark Avenue, Lakewood, CA, at 562/866-9771, ext. 2200; or at cityclerk@lakewoodcity.org at least 48 hours in advance to ensure that reasonable arrangements can be made to provide accessibility to the meeting.

Agenda items are on file in the Office of the City Clerk, 5050 Clark Avenue, Lakewood, and are available for public review during regular business hours. Any supplemental material distributed after the posting of the agenda will be made available for public inspection during normal business hours in the City Clerk's Office. For your convenience, the agenda and the supporting documents are available in an alternate format by request and are also posted on the City's website at www.lakewoodcity.org

Routine Items



Minutes

Lakewood City Council

Regular Meeting held
October 23, 2018

MEETING WAS CALLED TO ORDER at 7:30 p.m. by Mayor Croft in the Council Chambers at the Civic Center, 5000 Clark Avenue, Lakewood, California.

INVOCATION was offered by Deacon Gary Alley, Good Shepherd Church

PLEDGE OF ALLEGIANCE was led by Girl Scout Troop 2993

ROLL CALL: PRESENT: Mayor Steve Croft
Vice Mayor Todd Rogers
Council Member Diane DuBois
Council Member Ron Piazza
Council Member Jeff Wood

ANNOUNCEMENTS AND PRESENTATIONS:

Mayor Croft announced that the meeting would be adjourned in memory of Richard Stammerjohn, the father-in-law of Parks Superintendent, Philip Lopez, and in memory of Ted Von Minden, one of the chief architects of the Sky Knight program, who served as the Lakewood Sheriff's Station commander from 1960 to 1966.

The Mayor presented a proclamation declaring Red Ribbon Week in Lakewood to Owen Nealy of the Young Marines, for the organization's participation and support of programs and activities that help promote a healthy, drug-free lifestyle.

Mayor Croft introduced the commander of the Lakewood Sheriff's Station, Captain Rick Harpham, who provided a quarterly public safety report. Captain Harpham explained that Part 1 crimes, which included murder, rape, robbery, aggravated assault, larceny, grand theft auto and arson, were down over 20% overall for the first three quarters of the year as compared to last year. He noted that robberies were down over 20% and burglaries were down over 35% so far for the year. He stated, however, that there were eight more reported rapes during the same timeframe as compared to last year and that of those, one incident involved a victim who did not previously know the suspect and another who met the person online. He added that rape allegations were taken very seriously and investigated with the utmost priority noting that Lakewood station personnel were working with the public safety staff to provide a message to the community about the dangers of online predators. He indicated that there were four arsons reported where there had been no crime pattern or trend. He reported that Lakewood Center had also continued its downward trend in Part 1 incidents and experienced substantial reduction in crime noting that the ongoing partnership between mall management, mall security, the City and the Lakewood station, had proven to be beneficial because Part 1 crimes were down over 35% so far. Captain Harpham added that in

ANNOUNCEMENTS AND PRESENTATIONS: - Continued

comparing statistics with some of the other Southland malls, Lakewood Center had the lower crime rate and stated that it was a safe place to take the family and to shop. He provided some Halloween safety tips and encouraged attendance at organized events such as the City's carnivals at the parks. He also suggested the lobby or front area of the Sheriff's station to conduct internet purchases and exchanges as a safer environment. He commended Lakewood station personnel and cited examples of their dedication and professionalism.

Captain Harpham announced that the Lakewood Sheriff's Station Haunted Jail event would be held on Friday, October 26th and encouraged residents to attend.

Vice Mayor Rogers expressed gratitude to those who participated in the Lakewood Education Foundation golf tournament held on October 8th, for the success of the event and their support of Lakewood schools.

ROUTINE ITEMS:

COUNCIL MEMBER PIAZZA MOVED AND COUNCIL MEMBER DUBOIS SECONDED TO APPROVE ROUTINE ITEMS 1 THROUGH 7.

RI-1 Approval of Minutes of the Meeting held September 11, 2018

RI-2 Approval of Personnel Transactions

RI-3 Approval of Registers of Demands

RI-4 Report of City Council Committees' Activities

RI-5 Approval of Monthly Report of Investment Transactions - September 2018

RI-6 Approval of Quarterly Budget Report of Major Funds as of September 30, 2018

RI-7 Approval of Agreement for 2018 Halloween Carnival Attractions

UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: Piazza, DuBois, Rogers, Wood and Croft

NAYS: COUNCIL MEMBERS: None

1.1 • AWARD OF BID FOR PUBLIC WORKS PROJECT NO. 2018-5, IMPROVEMENTS TO THE EXISTING RYNERSON PARK

Lisa Rapp, Director of Public Works, made a presentation based on the revised report in the agenda and stated that the item reflected a change regarding the budget for the project and that the recommendation provided in the initial report remained the same. Staff had been working on several phases of improvements for Rynerson Park and, currently, there was a sewer line under construction to serve two existing bathroom buildings and one new bathroom building. The City Council had previously authorized the purchase of a prefabricated restroom from the Public Restroom Company. The restroom would be delivered and installed in early December, and site work was required to prepare the subgrade pad at the site of the restroom building and provide utility hookups. The site work included demolition of the old building and septic systems, preparation of the building pad, utility stub outs, and connecting walkways. The work also included some ADA improvements to parking, as well as pedestrian bridge improvements. On October 16th, the City Clerk received four bids for the basic scope of work for the project ranging in cost from \$664,000 to \$899,000. The contractors who bid also provided prices for two additive alternates for the installation of two picnic shelters. Staff did not recommend approval of the picnic shelter alternates since sufficient funds were not available in the project budget. Staff had verified with the State Contractor's License Board that the low bidder, Fleming Environmental, was properly licensed for the work and references contacted by staff provided favorable comments on the quality of their work with one exception. The revised report indicated that in order to fully fund the basic scope of work, along with contingency and project management costs, additional funds were needed to supplement the budget. Staff had identified funds remaining in several completed projects. These remaining funds, once transferred into the Rynerson Park project budget, were sufficient to complete the basic scope of work. Staff recommended that the City Council adopt the plans, specifications, and working details for the subject project; award a contract for the Improvements to the Existing Rynerson Park, Public Works Contract 2018-05, in the amount of \$664,168 to the low bidder Fleming Environmental and authorize the Mayor to sign the contract in a form approved by the City Attorney; authorize staff to approve a cumulative total of change orders, as necessary not to exceed \$66,000; authorize Willdan to perform project management and inspection services up to an allowance of \$66,000 under their existing Engineering Services Agreement.

Ms. Rapp confirmed for Mayor Croft that although the bids received included alternates, the matter of the shelter would be reviewed by committee and would be presented for consideration at a future date.

In response to questions from Vice Mayor Rogers pertaining to inspections, Ms. Rapp explained that inspections conducted by L.A. County's Building and Safety Department staff were strictly for code compliance and did not provide inspections for quality control. She stated that staff could perform some of the inspections and that the experienced inspectors from Willdan provided such project management services as labor compliance and certified payroll checking.

Vice Mayor Rogers, noting that \$66,000 was a significant amount, stated the need to ensure that in lean budgetary times, consultants were warranted and not being redundant.

1.1 • AWARD OF BID FOR PUBLIC WORKS PROJECT NO. 2018-5, IMPROVEMENTS TO THE EXISTING RYNERSON PARK - Continued

Responding to inquiries from Council Member Piazza and Mayor Croft regarding the closure of the restrooms, Ms. Frost stated that during the summer months, the park closed at 8 p.m. and closed at 5 p.m. subsequent to the time change.

Mayor Croft opened the public hearing at 7:55 p.m. and called for anyone in the audience wishing to address the City Council on this matter. There was no response.

COUNCIL MEMBER WOOD MOVED AND VICE MAYOR ROGERS SECONDED TO APPROVE STAFF'S RECOMMENDATIONS. UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: Piazza, DuBois, Rogers, Wood and Croft

NAYS: COUNCIL MEMBERS: None

3.1 • LANDSCAPE AND TURF MAINTENANCE REPORT

Valarie Frost, Recreation and Community Services Director, displayed slides and made a presentation based on the report in the agenda. She reported that the Environmental Resources Division (ERD) was responsible for the City's turf, landscape and unimproved areas of the city. Staff spanned the City to complete service requests, maintain parks, provide pest control, custodial maintenance, irrigation repairs, mowing and bus stop maintenance. A majority of the work was performed on a routine schedule. In addition to facility maintenance, the landscape crews cleared alleyways of illegally dumped items. Staff also responded to clean ups needed in unimproved areas with most of these types of clean ups being for overhanging and overgrown vegetation. The ERD was responsible for delivery of equipment to support City special events, including the upcoming Halloween carnivals. Tables, chairs, EZ Ups and decorative staffing panels and plants were provided through team effort. The landscape crews were very talented and dedicated employees, who care greatly for the aesthetics throughout the City. The landscape crew was currently working to renovate the turf at Bolivar Park and a winter grass seed would soon be applied to promote growth during the upcoming cold season. She stated that staff had been working on the landscape at the Burns Community Center and that as a cost saving measure, the ERD had taken the reigns of fulfilling the landscape design, inclusive of drought tolerant plants, a dry creek bed and flowering trees. Staff was working diligently to complete the scope of work by November 5th, just in time for the scheduled ribbon cutting event on Monday, November 12th. She stated it was recommended that the City Council receive and file the report.

In response to Council Member Wood's questions pertaining to the landscaping at Bolivar Park, Ms. Frost confirmed that the watering had allowed for the turf to come in nicely and as she previously mentioned, the winter grass seed would fill in the gaps and would be visible in late January.

3.2 • BUDGET ADVISORY GROUP

Paolo Beltran, Assistant to the City Manager, made a presentation based on the report in the agenda and explained that formation of the Budget Advisory Group had been discussed at the time of the budget adoption in June. He stated that the underlying concept was to create a group of community stakeholders that would be given a more comprehensive look at the City's fiscal condition for the purpose of concurrence with the seriousness of the structural deficit. While the charge of the group would not necessarily be to provide formal recommendations, in terms of cuts and revenue generation, their role was envisioned to be more of a focus group who could be provided a more detailed presentation of the City's budget situation. The group would meet three or four times and their work was expected to be completed by March 2019. The meetings would be open to the public and subject to Brown Act requirements with summaries of the meetings reported and provided through the typical public information channels. He explained that the group would be comprised of special community stakeholders, residents, businesses and employees which would be represented by employee groups, the Greater Lakewood Chamber of Commerce, Macerich and City Commissioners. In addition to the core group, other community leaders who were active in city affairs would be added, such as the YMCA and various service clubs. He stated it was staff's recommendation that the City Council approve the formation of a Budget Advisory Group.

City Manager Thaddeus McCormack added that the group would be considered more of a focus group as opposed to a Blue Ribbon panel convened to make recommendations.

Council Member Wood expressed concerns with the usage of the term "advisory" and suggested the use of "informational" to avoid confusion with regards to the purpose of the group. Mr. McCormack confirmed that information would be shared with the stakeholders rather than input being solicited and that expectations would be made clear to the members of this committee. In response to Council Member Wood's question regarding the timeline, Mr. McCormack stated that a report would be presented to the City Council by March 2019.

Responding to Council Member Piazza's concerns pertaining to potential outreach efforts by members of the group, Mr. McCormack stated that since the group's focus would be on the budget situation with regards to revenues and expenditures, it was hoped that the meetings would solicit questions and provide an understanding of the structural deficit. He noted the importance of the members' and staff's comprehending the City's fiscal condition and making adjustments over the next few years to prevent being in a difficult situation. He further stated that part of the reasoning for having a smaller group had been to better manage the discussion and to be more mindful of the members' reactions, which would be insightful for staff.

COUNCIL MEMBER DUBOIS MOVED AND COUNCIL MEMBER PIAZZA SECONDED TO APPROVE STAFF'S RECOMMENDATION. UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: Piazza, DuBois, Rogers, Wood and Croft

NAYS: COUNCIL MEMBERS: None

3.3 • QUARTERLY SCHEDULE OF INVESTMENTS AS OF SEPTEMBER 30, 2018

Jose Gomez, Director of Finance and Administrative Services, displayed slides and made a presentation based on the report in the agenda. He explained that the City invested funds in compliance with the California Government Code and the City's investment policy, which the City Council last reviewed and approved in January 2018, and formally outlined investment allowances and priorities that were to be maintained with the management of the portfolio throughout the year. He stated that the City's investment objectives, in order of priority, were safety, liquidity and yield and that to meet the objectives, the City utilized a variety of investment types including U.S. Treasury Notes, Federal and Supra-National Agency Bonds or Notes, Negotiable Certificates of Deposit, Municipal Bonds or Notes, Corporate Notes, Commercial Paper, and Pooled Funds. He concluded by stating it was recommended that the City Council receive and file the report.

ORAL COMMUNICATIONS: None

At 8:15 p.m., a moment of silence was observed in memory of Richard Stammerjohn and Ted Von Minden.

CLOSED SESSION:

PUBLIC EMPLOYEE PERFORMANCE EVALUATION, Pursuant to Government Code §54957

Mayor Croft announced that the City Council would recess to a closed session pursuant to Government Code §54957 to evaluate the performance of the City Manager.

At 9:25 p.m., the City Council reconvened with all members present. No action was taken.

ADJOURNMENT

There being no further business to be brought before the City Council, Mayor Croft adjourned the meeting at 9:25 p.m. to Tuesday, November 13, 2018, at 6:30 p.m. in the Executive Board Room.

Respectfully submitted,

Jo Mayberry, CMC
City Clerk



Minutes

Lakewood City Council

Adjourned Regular Meeting held November 27, 2018

MEETING WAS CALLED TO ORDER at 6:00 p.m. by Mayor Croft in the Executive Board Room at the Civic Center, 5000 Clark Avenue, Lakewood, California.

ROLL CALL: PRESENT: Mayor Steve Croft
Vice Mayor Todd Rogers
Council Member Diane DuBois
Council Member Ron Piazza
Council Member Jeff Wood

CLOSED SESSION:

At 6:00 p.m., Mayor Croft announced that the City Council would recess to a closed session.

CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION

Pursuant to Government Code §54956.9

Tina Fofoa v. City of Lakewood; and Does 1-10, Case No. CV 18-9023-JFW (RAOx),
CV 18-06238

City Representatives who Attended Closed Session: City Manager, Legal Counsel, Assistant to the City Manager, Director of Finance and Administrative Services, Finance Manager, Director of Public Works, City Architect, Director of Recreation and Community Services, and Assistant Director of Recreation and Community Services

At 6:55 p.m., the City Council reconvened and Steve Skolnik, for the City Attorney, advised that there was no action to announce.

MISCELLANEOUS CITY COUNCIL COMMITTEE ITEMS

a. Block Party Consideration

City Manager Thaddeus McCormack reported that the annual block party was a successful event, but due to the City's structural deficit, staff had presented various options to cut costs for the 2019 block party to the Community Promotions Committee. They included leaving Clark Avenue open for vehicular traffic, eliminating over-time staff, and moving the event to Lakewood Center Mall. Mr. McCormack confirmed for Mayor Croft that these changes would bring the event costs to approximately \$100,000.00, which was less than years past but still higher than what was budgeted for a 2019 event. It was the recommendation of the Community Promotions Committee to forgo a block party for 2019 and restructure the event so that it was downscaled and brought back in 2020.

a. Block Party Consideration - Continued

Mr. McCormack noted that a challenge of forgoing the event was that the Friends of the Lakewood Libraries (FOLL) organization would lose their fundraising through the Taste of Lakewood component of the block party. Responding to Mayor Croft's inquiry regarding lessening the impact to FOLL, Mr. McCormack stated that a standalone Taste of Lakewood event at the mall was considered but it was figured that it would not be as lucrative for FOLL without the other block party components. He noted that the City could consider donating to FOLL to help with the loss of the fundraiser. Vice Mayor Rogers suggested having a municipal fireworks show at Lakewood High School or Veterans Memorial Stadium and Council Member Wood noted that there might be an opportunity to collaborate with Long Beach City College for a fireworks show there. Mr. McCormack noted that a potential challenge of a collaboration could be that it would not be seen as a Lakewood event.

Mayor Croft felt that time was needed to develop a replacement block party event and was in favor of supplementing FOLL's fundraising for 2019, giving them ample notice to plan other fundraising opportunities, or offering them a fireworks booth during the Fourth of July season for fundraising. Council Member Wood shared his experience of healthy financial balances while being on the FOLL board and questioned the impact FOLL would face without the block party fundraiser. He noted that donating money to FOLL could set a bad precedent and did not seem appropriate since the City was in a structural deficit. Mayor Croft was hopeful that the City would give notice of cancelled events to involved organizations as soon as possible and noted the donation to FOLL could be modest.

Council Member Piazza stated that the Community Promotions Committee felt that an altered block party could be as disappointing to residents as not having the event at all, which was why the consensus was to forgo a 2019 event and educate the public of the City's budget issues since it was a big factor in the decision. He also noted that FOLL would not benefit from an altered block party. Mayor Croft stated that a fireworks booth could be beneficial to FOLL and he questioned if the offer could be considered a transfer of one fundraiser to another. Mr. Skolnik stated that the Council was able to designate FOLL as an ongoing booth if they voted to do so and that it could be justified as a fundraiser transfer.

Mr. McCormack was confident that FOLL would be able to raise an amount of money through a fireworks booth that was comparable to their earnings at past block parties. He questioned if it was the intention of the City Council to forgo a 2019 block party, offer FOLL a fireworks booth, and explore the option of a municipal fireworks show in the City. Vice Mayor Rogers reluctantly agreed to the options, knowing many Lakewood residents would be disappointed about the block party cancellation. He felt it was important to inform the public that the event would not be abandoned but reimaged and brought back. Council Member Piazza noted that he was involved in past municipal fireworks shows at Mayfair Park that were very popular with residents and that such an event had the potential for organizations to raise funds through concessions. Council Member Wood felt this was an opportunity to brainstorm with FOLL to find other fundraising options and thought that donating money to the organization would be counterproductive since the event would be cancelled due to budget constraints. Mr. McCormack noted that the consensus of the Council was to offer a fireworks booth to FOLL for fundraising and to explore various alternatives.

a. Block Party Consideration - Continued

In response to an inquiry from Council Member DuBois, Mr. McCormack noted that the City's website and e-magazine would be utilized to inform the public of the changes to the block party and the reasoning for forgoing a 2019 event. Responding to an inquiry from Council Member Wood regarding comments received after a down-scaled Patriot Day event, Valarie Frost, Director of Recreation and Community Services, stated that the City had received positive comments and praise for the smaller event. She noted that the attendance for the Patriot Day event had been decreasing over the years whereas block party attendance was steady at 25,000 for the past four years.

b. Centre Pillars and other Decorative Fixtures

Mr. McCormack reported that the Pillars of Community art piece and urban stream had fallen into states of disrepair and were no longer aesthetically pleasing. Staff had presented the Capital Improvement Program (CIP) Committee ideas for relocating the pillars into The Centre lobby and demolishing the urban stream to restore it to a flat surface for better use of public space. The Committee felt the pillars would disturb the lobby area and recommended creating a replacement art piece similar to the tree artwork in the council offices lobby, which could consist of the original group of the Legends of Lakewood honorees and a potential video screen to display other honorees for flexibility into the future. Mr. McCormack added that a concept was developed to commemorate the City's historical Council Members with photographs in City Hall. He stated that staff recommendations were to remove, demolish, and fill the pillars and urban stream through a Public Works contract since there were funds available in The Centre's CIP budget. He noted that staff would refine concepts for the replacement Legends of Lakewood art piece and the City Council could consider moving forward once costs were known or postpone the project until the City's 70th anniversary.

Mayor Croft stated that hanging photographs in City Hall of every past Council Member was a way to commemorate everyone equally and Vice Mayor Rogers agreed that this was important since every Council Member was elected to serve by Lakewood residents.

Mr. McCormack assured the City Council that removing, demolishing, and filling the pillars and urban stream would be essentially cost neutral with the funds available in The Centre's capital improvements account. He clarified for Council Member Wood that the funds in The Centre's capital improvements account had been set aside specifically for The Centre.

Council Member DuBois encouraged a swift approach to the project due to the poor condition of the pillars. Mr. McCormack shared that the pillars were meant to honor the Legends of Lakewood and that their condition made the display seem dishonorable.

The City Council agreed to give staff the authorization to move forward with the demolition and to present concepts for the replacement Legends of Lakewood art piece at a later meeting. Council Member Wood noted the fiscal responsibility that the City Council held and felt it was important to assess fixtures for potential hazards. Mr. McCormack stated that the urban stream was a great fixture when it was fully functional and he noted that staff would continue to assess fixtures for potential hazards. Council Member DuBois agreed that the City Council had a fiscal responsibility and felt that maintaining city facilities was an integral part of that.

At 7:23p.m., the Adjourned Regular Meeting of the City Council was recessed for the Regular Meeting of the City Council. At 7:54 p.m., the Adjourned Regular Meeting of the City Council was reconvened.

MISCELLANEOUS CITY COUNCIL COMMITTEE ITEMS – Continued

c. Rynerson Park Picnic Shelters

Lisa Rapp, Director of Public Works, reported that the new sewer line project was close to completion and the Rynerson Park improvements would begin soon, which included installation of the new bathroom, ADA improvements to parking and a pedestrian bridge, restroom walkway improvements, and demolition of the two picnic shelter slabs and columns. She noted that the shelters to be demolished were built in the late 1980's and that staff had analyzed various options to keep the shelter columns in place to add a roof material or structure but the column diameters were too small to support any addition.

In response to an inquiry from Mayor Croft, Ms. Rapp stated that staff had considered demolishing the shelter columns and leaving the concrete slab beneath them but it was determined that the removal of the columns and tables would cause significant damage. She added that the original roofs of both shelters were damaged beyond repair from the weather over the years and that the third park shelter that was built in 2005 had large columns and a metal roof that was easily maintained. The Park Development Committee recommended against replacing the shelters at this time and the City Architect had designed a concrete picnic slab with sections blocked out for future shelter columns.

Responding to an inquiry from Council Member Piazza regarding the roofs of the two shelters, Ms. Rapp explained that they were made of wood, had been severely damaged by termites and dry rot, and were no longer on the shelter columns. Ms. Rapp and Mayor Croft responded to Council Member Piazza's inquiry stating that leaving the current slab and tables was not ideal because removing the columns would cause damage to the slab and leaving the columns in place looked odd with no roofing above them. Council Member Piazza felt that it might be best to consider improvements to the shelters and, due to the structural deficit, not spend money until a concrete plan had been made. Mayor Croft agreed to an extent but did not want the City to begin allowing broken items to remain unfixed. He felt that it was important to consider each situation individually and that ignoring the damaged shelters could impact the use of the park. He did not recommend replacing the shelters because it was costly but he felt it was important to accommodate the public and offer various seating options at the park for their use. He suggested putting the current tables back into a decomposed granite area if the costs were modest. Ms. Rapp and Ms. Frost explained that all of the shelter tables were made of wood and had been damaged beyond repair. They added that decomposed granite might not be considered ADA accessible and that a concrete slab would require less maintenance. Mr. McCormack stated that staff would come back with prices for decomposed granite and concrete slab areas and he reminded the City Council that there was an opportunity to do a change order for the improvements with the current contractor working at Rynerson Park.

c. Rynerson Park Picnic Shelters - Continued

Council Member Wood shared his appreciation for everyone's comments and felt that it was important to look at different options and prioritize needs. He added that the Capital Improvement Plan should be utilized.

Mayor Croft stated it would be more economical to have the shelter areas improvements done by the current contractor. Vice Mayor Rogers felt that the work should be done with an eye to the future since the shelters would be replaced eventually. Mr. McCormack noted that the concept created by the City Architect of a slab with sections blocked out for future shelter columns could be utilized. Vice Mayor Rogers stated that parks were one of the crown jewels of the City and felt it was wise to put the slabs in for future shelters while it was less expensive to do so instead of waiting and having to pay higher prices later on.

In response to an inquiry from Council Member DuBois regarding whether the expenditure would be from part of the structural deficit, Mr. McCormack stated that these costs were related to the deficit but noted that it was necessary to maintain City facilities and provide services to the community.

Mayor Croft felt that it was important to understand what the City's capital needs were and to cut back on spending while exploring revenue-producing options. Mr. McCormack noted that it would cost approximately \$440,000.00 to replace both shelters, which was a significant cost, and that restoring the areas with decomposed granite or concrete slabs with weather resistant tables could be a more modest expense that would allow the park to remain usable for the community. He stated that staff would report costs for both options to the City Council soon.

Council Member Piazza questioned if staff could complete City facility improvement and/or maintenance projects versus hiring contractors. Ms. Rapp explained that staff would need to research what the law would allow since general law cities were obligated to put certain projects out to bid. Responding to an inquiry from Mayor Croft, Ms. Rapp stated that it was cost effective to batch similar projects together, such as bathroom improvements, versus completing multiple small projects at the same time. Mr. McCormack noted it would be cost effective if staff were able to complete City facility improvement and/or maintenance projects while handling their current workload and that they would be asked to do so.

ORAL COMMUNICATIONS: None

City Council Minutes

November 27, 2018

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ADJOURNMENT

There being no further business to be brought before the City Council, Mayor Croft adjourned the meeting at 8:17 p.m.

Respectfully submitted,

Isabelle Diaz, Management Aide
City Clerk's Office



Minutes

Lakewood City Council

Regular Meeting held
December 11, 2018

MEETING WAS CALLED TO ORDER at 7:30 p.m. by Mayor Croft in the Council Chambers at the Civic Center, 5000 Clark Avenue, Lakewood, California.

INVOCATION was offered by Dr. Fardad Mogharabi, Bahá'í Faith Community

PLEDGE OF ALLEGIANCE was led by Girl Scout Troop 2993

ROLL CALL: PRESENT: Mayor Steve Croft
Vice Mayor Todd Rogers
Council Member Diane DuBois
Council Member Ron Piazza
Council Member Jeff Wood

ANNOUNCEMENTS AND PRESENTATIONS:

Mayor Croft announced that the meeting would be adjourned in memory of Leonardo Reveldez, the father of Lovenel Reveldez, Assistant Director of Finance & Administrative Services.

The Mayor expressed appreciation to staff and the community organizations who coordinated the tree lighting event held the previous week and commented that in just five years, the event had become a great Lakewood tradition. He also commended those involved in Project Shepherd noting that the upcoming distribution of food and gifts to children and families was the culmination of hundreds of hours over the past few months of work and volunteer efforts.

Mayor Croft introduced Renita Armstrong, Bellflower Unified School District Board Member-elect, who stated that she had lived in Bellflower for over twenty-five years and looked forward to representing the community and to partnering with the City to help steer the District toward the future.

ROUTINE ITEMS:

COUNCIL MEMBER PIAZZA MOVED AND COUNCIL MEMBER DUBOIS SECONDED TO APPROVE ROUTINE ITEMS 1 THROUGH 8.

RI-1 Approval of Minutes of the Meetings held November 13, and November 27, 2018

RI-2 Approval of Personnel Transactions

ROUTINE ITEMS: - Continued

RI-3 Approval of Registers of Demands

RI-4 Approval of Report of City Council Committees' Activities

RI-5 Approval of Monthly Report of Investment Transactions

RI-6 Acceptance of Notice of Completion for Public Works Project No. 2016-1R, Improvements to the Existing Burns Community Center, De La Riva Construction, Inc.

RI-7 Approval of Tenth Amendment to Recreation Lease for the Lakewood Equestrian Center

RI-8 RESOLUTION NO. 2018-63; A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF LAKEWOOD ADDING A JOB CLASSIFICATION TO SCHEDULE B OF RESOLUTION NO. 2018-38 PERTAINING TO HOURLY-RATED PART-TIME EMPLOYEES AND ENACTING A PERSONNEL RESOLUTION ESTABLISHING THE COMPENSATION, RULES AND REGULATIONS PERTAINING TO HOURLY-RATED PART-TIME EMPLOYEES

UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: Piazza, DuBois, Rogers, Wood and Croft

NAYS: COUNCIL MEMBERS: None

2.1 • SECOND READING AND ADOPTION OF ORDINANCE NO. 2018-9; AMENDING THE LAKEWOOD MUNICIPAL CODE AND THE ZONING ORDINANCE REGARDING VARIOUS MINOR MODIFICATIONS AND CLARIFICATIONS

Steve Skolnik, for the City Attorney, advised that the proposed ordinance had been introduced at a public hearing during the previous City Council meeting and was presented for second reading and adoption at this time.

ORDINANCE NO. 2018-9; AMENDING THE LAKEWOOD MUNICIPAL CODE AND THE ZONING ORDINANCE REGARDING VARIOUS MINOR MODIFICATIONS AND CLARIFICATIONS

VICE MAYOR ROGERS MOVED AND COUNCIL MEMBER WOOD SECONDED TO ADOPT ORDINANCE NO. 2018-9. UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: Piazza, DuBois, Rogers, Wood and Croft

NAYS: COUNCIL MEMBERS: None

3.1 • ARCHITECTURAL SERVICES AGREEMENT - DAHLIN GROUP

Lisa Rapp, Director of Public Works, made a presentation based on the report in the agenda and explained that staff was recommending entering into a contract for consulting architectural services with the Dahlin Group, who offered a wide array of park and civic buildings project experience and were available to assist the City in an urgent park improvement project. She stated that there were a number of ongoing projects and the need to deal with several unanticipated ADA related projects had arisen. In looking at several firms, it had been determined that the Dahlin Group was the most qualified, and had appropriate staff and applicable expertise to meet the City's immediate and ongoing needs. Ms. Rapp reported that the first project, ADA improvements for four park restrooms, would be an opportunity to gauge the firm's ability to deliver plans and specifications for a project in a timely fashion within a set scope of work and would help determine whether the firm could be used on future projects. She noted that the City would be under no obligation to continue using them if the quality or timeliness of their work was not satisfactory. A detailed proposal for the ADA improvements for the four bathrooms included Biscailuz Park Activity Building, Boyar Park Activity Building, Del Valle Park Activity Building, and San Martin Park Activity Building. The Dahlin Group would prepare preliminary plans for review and final plans and specifications suitable for bidding as a public works contract along with an estimate and a schedule. She stated it was staff's recommendation that the City Council authorize an on-call Architectural Services agreement with Dahlin Group based upon their FY 18-19 rate schedule; authorize work for the ADA Restroom Improvements in an amount not-to-exceed \$103,950; and appropriate \$103,950 from the General Fund assigned fund balance - Capital Replacement and Repair for the project.

VICE MAYOR ROGERS MOVED AND COUNCIL MEMBER DUBOIS SECONDED TO AUTHORIZE AN ON-CALL ARCHITECTURAL SERVICES AGREEMENT WITH DAHLIN GROUP; AUTHORIZE WORK FOR THE ADA RESTROOM IMPROVEMENTS IN AN AMOUNT NOT TO EXCEED \$103,950; AND APPROPRIATE THE SAME AMOUNT FROM THE GENERAL FUND ASSIGNED FUND BALANCE - CAPITAL REPLACEMENT AND REPAIR FOR THE PROJECT. UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: Piazza, DuBois, Rogers, Wood and Croft

NAYS: COUNCIL MEMBERS: None

3.2 • UPDATE ON PUBLIC WORKS PROJECT NO. 2018-5; IMPROVEMENTS TO THE EXISTING RYNERSON PARK

The Public Works Director made a presentation based on the report in the agenda and stated that Fleming Environmental had commenced work for the Rynerson Park site improvements, which included installation of a previously purchased pre-fabricated park restroom building, miscellaneous demolition, and site improvements to include concrete walkways, concrete ramps, parking striping, pedestrian bridge improvements. The restroom was currently on its way for delivery and installation with site work underway to prepare the subgrade pad at the site of the restroom building and provide utility hookups.

3.2 • UPDATE ON PUBLIC WORKS PROJECT NO. 2018-5; IMPROVEMENTS TO THE EXISTING RYNERSON PARK - Continued

Staff submitted a proposal request to Fleming for the installation of a picnic slab to provide a large group picnic area. She stated that the written report indicated that this would be in the northerly location of the old picnic shelter, however, staff of the Recreation and Community Services Department had requested that the slab be installed to the more heavily used south location closer to the playground. The design allowed for the addition of a shelter in the future. Fleming proposed a total cost of \$40,480 for the picnic slab and connection to the adjacent walkways. She explained that although no other change orders had been issued to date, the project was just beginning so the current contingency should be reserved to cover any unforeseen conditions during construction and not utilized for the extra work. In addition, a drinking fountain, picnic tables, prep tables, BBQs and trash cans were needed. There were sufficient funds in the FY 18-19 equipment replacement account for the purchase. She concluded by stating that staff recommended that the City Council authorize staff to approve a change order in an amount not to exceed \$40,480 to Public Works Contract 18-5 and appropriate \$40,480 from the General Fund assigned fund balance – reserve for Capital Improvements for the project.

COUNCIL MEMBER WOOD MOVED AND VICE MAYOR ROGERS SECONDED TO AUTHORIZE STAFF TO APPROVE A CHANGE ORDER IN AN AMOUNT NOT TO EXCEED \$40,480 TO PW PROJECT NO. 2018-5 AND APPROPRIATE THE SAME AMOUNT FROM THE GENERAL FUND ASSIGNED FUND BALANCE – RESERVE FOR CAPITAL IMPROVEMENTS FOR THE PROJECT. UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: Piazza, DuBois, Rogers, Wood and Croft

NAYS: COUNCIL MEMBERS: None

3.3 • FINAL DESIGN AND PREPARATION OF PLANS, SPECIFICATIONS AND ESTIMATES - LAKEWOOD BOULEVARD REGIONAL CORRIDOR CAPACITY ENHANCEMENT PROJECT

The Director of Public Works made a presentation based on the report in the agenda and reported that the Los Angeles County Metropolitan Transportation Authority (LACMTA) had provided a grant of \$3,600,000 in Measure R Funds for improvements within the City as part of the Lakewood Boulevard Regional Corridor Capacity Enhancement Project. The funds came from LACMTA share of Measure R and were not the local return allocated to the Lakewood. She stated that there would be a future funding agreement for the construction phase. The project included street widening, median improvements, bike lanes, sidewalk, street resurfacing, ADA and storm water compliance, traffic signal modification, drought-resistant landscaping and irrigation and signing and striping; all within the existing right-of-way. Based upon the need to maintain continuity in the project design, and their in-depth knowledge of the earlier design and environmental phases, staff requested that Willdan submit a detailed proposal for the final design phase.

3.3 • FINAL DESIGN AND PREPARATION OF PLANS, SPECIFICATIONS AND ESTIMATES - LAKEWOOD BOULEVARD REGIONAL CORRIDOR CAPACITY ENHANCEMENT PROJECT - Continued

She explained the importance of the schedule in that there would be opportunities to apply for grant programs to fund the construction and the City's applications would be more competitive if it demonstrated that plans would be ready for bid in a timely fashion. The utility undergrounding design to be completed by Southern California Edison would be coordinated by Willdan. It was staff's recommendation that the City Council approve Willdan's proposal to complete final design plans for the Lakewood Boulevard Regional Corridor Capacity Enhancement Project under their existing Engineering Services Agreement in an amount not to exceed \$1,334,635 and authorize the Mayor to sign the proposal subject to approval of form by the City Attorney.

Ms. Rapp responded to Vice Mayor Rogers' request for clarification for the record by stating that the allocation had been for \$3.6 million and not the \$3.2 million amount as noted on the second page of the report.

Council Member Piazza stated that despite this being for consideration of an engineering services agreement for the project and not on the regional corridor project itself, he would, in an abundance of caution, be abstaining from voting on the matter since he had a business located in a portion of the corridor.

VICE MAYOR ROGERS MOVED AND COUNCIL MEMBER WOOD SECONDED TO APPROVE WILLDAN'S PROPOSAL TO COMPLETE FINAL DESIGN PLANS FOR THE LAKEWOOD BOULEVARD REGIONAL CORRIDOR CAPACITY ENHANCEMENT PROJECT UNDER THEIR EXISTING ENGINEERING SERVICES AGREEMENT IN AN AMOUNT NOT TO EXCEED \$1,334,635 AND AUTHORIZE THE MAYOR TO SIGN THE PROPOSAL. UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: DuBois, Rogers, Wood and Croft

NAYS: COUNCIL MEMBERS: None

ABSTAIN: COUNCIL MEMBERS: Piazza

3.4 • REVIEW OF COMPREHENSIVE ANNUAL FINANCIAL REPORT (CAFR) FOR YEAR ENDED JUNE 30, 2018, AND PRESENTATION OF GOVERNMENT FINANCE OFFICERS ASSOCIATION (GFOA) AWARD

Jose Gomez, Director of Finance and Administrative Services, presented a report based on the memo in the agenda and stated that the newly formed City Council Audit Committee, made up of Mayor Croft and Council Member Piazza, had actively participated in preparation for the audit and the drafting of the Comprehensive Annual Financial Report (CAFR). He reported that the City remained in sound fiscal condition and would proactively address the challenges posed by the past recession and the State takeaways of local funds in an efficient and effective manner.

3.4 • REVIEW OF COMPREHENSIVE ANNUAL FINANCIAL REPORT (CAFR) FOR YEAR ENDED JUNE 30, 2018, AND PRESENTATION OF GOVERNMENT FINANCE OFFICERS ASSOCIATION (GFOA) AWARD - Continued

Ken Pun, managing partner, and Frances Kuo, partner, The Pun Group, displayed slides and highlighted the elements of the annual financial report which included scope of work; audit responsibilities; approach to the audit; overview of financial statements; and audit results.

Mr. Pun presented a Certificate of Achievement for Excellence in Financial Reporting to the City of Lakewood for the FY 2016-17 CAFR to the Mayor and Council.

COUNCIL MEMBER DUBOIS MOVED AND VICE MAYOR ROGERS SECONDED TO RECEIVE AND FILE THE COMPREHENSIVE ANNUAL FINANCIAL REPORT YEAR ENDED JUNE 30, 2018. UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: Piazza, DuBois, Rogers, Wood and Croft
NAYS: COUNCIL MEMBERS: None

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SUCCESSOR AGENCY ACTIONS

1. Approval of Register of Demands
VICE MAYOR ROGERS MOVED AND COUNCIL MEMBER WOOD SECONDED TO APPROVE THE REGISTER OF DEMANDS. UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: Piazza, DuBois, Rogers, Wood and Croft
NAYS: COUNCIL MEMBERS: None

. . .

2. Approval of Recognized Obligation Payment Schedule for July 1, 2019 Through June 30, 2019 - ROPS 19-20
COUNCIL MEMBER DUBOIS MOVED AND COUNCIL MEMBER PIAZZA SECONDED TO APPROVE THE RECOGNIZED OBLIGATIONS PAYMENT SCHEDULE FOR JULY 1, 2019 THROUGH JUNE 30, 2020. UPON ROLL CALL VOTE, THE MOTION WAS APPROVED:

AYES: COUNCIL MEMBERS: Piazza, DuBois, Rogers, Wood and Croft
NAYS: COUNCIL MEMBERS: None

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ORAL COMMUNICATIONS: None

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ADJOURNMENT

There being no further business to be brought before the City Council, Mayor Croft adjourned the meeting at 8:08 p.m. A moment of silence was observed in memory of Leonardo Reveldez.

Respectfully submitted,

Jo Mayberry, CMC
City Clerk



Minutes

Lakewood City Council

Regular Meeting held
January 8, 2019

At 7:30 p.m. on January 8, 2019, in the City Council Chambers at the Civic Center, 5000 Clark Avenue, Lakewood, California, the City Clerk was present.

It was the time and place for a Regular Meeting of the City Council of the City of Lakewood.

The City Clerk immediately declared the Meeting adjourned due to lack of a quorum.

Respectfully submitted,

Jo Mayberry, CMC
City Clerk

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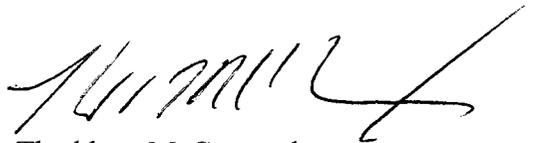
COUNCIL AGENDA

January 22, 2019

TO: The Honorable Mayor and City Council**SUBJECT:** Report of Personnel Transactions

<u>Name</u>	<u>Title</u>	<u>Schedule</u>	<u>Effective Date</u>
1. FULL-TIME EMPLOYEES			
A. Appointments			
Veronica Copeland	Special Event Assistant	12A	01/14/2019
Veronica Ramirez	Community Services Supervisor	24B	01/14/2019
B. Changes			
Javier Mendez	Senior Water Utility Worker Water Distribution Lead Worker	15A to 20A	12/31/2018
David Munoz	Water Utility Worker Senior Water Utility Worker	12A to 15A	12/31/2018
C. Separations			
Ronald Dickinson	Park Maintenance Worker	10A	12/30/2018
Ronald Martin	Water Distribution Lead Worker	20A	12/30/2018
Sonia Southwell	Director of Community Development	EDCD	12/30/2018
2. PART-TIME EMPLOYEES			
A. Appointments			
Maribel Alatorre	Community Service Officer	B	01/14/2019
Anthony Pacileo	Maintenance Trainee I	B	01/02/2019
B. Changes			
Terri Voge	Relief Telephone Operator Service Request Representative	B to B	12/30/2018
Randall Meyer	City Architect Project Architect	39B to B	01/13/2019
Matthew Chieuchin	Maintenance Trainee I Maintenance Trainee II	B to B	12/02/2018

Rigoberto Gomez	Maintenance Trainee I Maintenance Trainee II	B to B	12/02/2018
Marc McMurry	Maintenance Trainee I Maintenance Trainee II	B to B	12/30/2018
C. Separations Alfonso Gomez	Maintenance Trainee I	B	12/07/2018



Thaddeus McCormack
City Manager

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**CITY OF LAKEWOOD
FUND SUMMARY 12/13/2018**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 90692 through 90807. Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager.

1010	GENERAL FUND	241,839.48
1030	CDBG CURRENT YEAR	875.00
1050	COMMUNITY FACILITY	11,083.99
1621	LA CNTY MEASURE R	2,700.00
3001	CAPITAL IMPROV PROJECT FUND	81.92
3070	PROPOSITION "C"	791.26
5010	GRAPHICS AND COPY CENTER	1,254.50
5020	CENTRAL STORES	4,168.85
5030	FLEET MAINTENANCE	4,139.22
7500	WATER UTILITY FUND	68,544.44
8020	LOCAL REHAB LOAN	1,747.51
8030	TRUST DEPOSIT	80,100.00
		417,326.17

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
90692	12/07/2018	573	GRAY, ALAN	800.00	0.00	800.00
90693	12/13/2018	4842	A T & T CORP	234.68	0.00	234.68
90694	12/13/2018	2701	AIRE RITE A/C & REFRIGERATION INC	264.00	0.00	264.00
90695	12/13/2018	3876	ALCANTAR, GILBERT	350.00	0.00	350.00
90696	12/13/2018	3211	ALL STAR GLASS	99.00	0.00	99.00
90697	12/13/2018	58000	AMERICAN TRUCK & TOOL RENTAL INC	163.43	0.00	163.43
90698	12/13/2018	4278	BEAR COMMUNICATIONS INC	491.71	0.00	491.71
90699	12/13/2018	5112	BELLFLOWER AUTOMOTIVE HECTOR	832.13	0.00	832.13
90700	12/13/2018	1025	C A C E 0	50.00	0.00	50.00
90701	12/13/2018	43135	CERRITOS, CITY OF	29,780.66	0.00	29,780.66
90702	12/13/2018	5008	COLOR CARD ADMINISTRATOR CORP.	37.54	0.00	37.54
90703	12/13/2018	5094	CORPORATE EVENT SPECIALISTS LLC	2,050.00	0.00	2,050.00
90704	12/13/2018	4498	DELTA DENTAL INSURANCE COMPANY	1,317.18	0.00	1,317.18
90705	12/13/2018	56889	DELTA DENTAL OF CALIFORNIA	7,492.63	0.00	7,492.63
90706	12/13/2018	4008	DI IORIO, BARBARA	130.79	0.00	130.79
90707	12/13/2018	4660	ZW USA INC.	235.37	0.00	235.37
90708	12/13/2018	4435	ELLIOTT AUTO SUPPLY COMPANY INC	107.90	0.00	107.90
90709	12/13/2018	1542	FRENETTE, ROBIN	109.46	0.00	109.46
90710	12/13/2018	4884	FRONTIER CALIFORNIA INC.	2,647.37	0.00	2,647.37
90711	12/13/2018	3188	GALLS LLC/OUARTERMASER LLC	80.91	0.00	80.91
90712	12/13/2018	34845	GLASBY MAINTENANCE SUPPLY CO	100.52	0.00	100.52
90713	12/13/2018	2551	GOV'T FINANCE OFFICERS ASSOC	505.00	0.00	505.00
90714	12/13/2018	33150	GRAINGER W W INC	32.55	0.00	32.55
90715	12/13/2018	4483	GREENFIX AMERICA, LLC	465.00	0.00	465.00
90716	12/13/2018	62491	HANDS ON MAILING &	800.00	0.00	800.00
90717	12/13/2018	65575	HAP'S AUTO PARTS	41.30	0.00	41.30
90718	12/13/2018	35477	HARA M LAWNMOWER CENTER	64.06	0.00	64.06
90719	12/13/2018	65593	HASS, BARBARA	747.50	0.00	747.50
90720	12/13/2018	49520	HINDERLITER DE LLAMAS & ASSOC	5,357.07	0.00	5,357.07
90721	12/13/2018	4880	HODGE PRODUCTS INC.	363.27	0.00	363.27
90722	12/13/2018	42031	HOME DEPOT	446.45	0.00	446.45
90723	12/13/2018	3807	HAZARDOUS WASTE TRANSPORTATION	997.71	0.00	997.71
90724	12/13/2018	50338	INTL INST OF MUNICIPAL CLERKS	370.00	0.00	370.00
90725	12/13/2018	5144	ISES CORPORATION	2,323.00	0.00	2,323.00
90726	12/13/2018	4622	JHM SUPPLY INC	341.27	0.00	341.27
90727	12/13/2018	2956	KICK IT UP KIDZ, LLC	52.00	0.00	52.00
90728	12/13/2018	53311	LAKEWOOD MEALS ON WHEELS	875.00	0.00	875.00
90729	12/13/2018	59671	WOODWARDS MICHAEL	319.00	0.00	319.00
90730	12/13/2018	18550	LAKEWOOD, CITY OF	100.00	0.00	100.00
90731	12/13/2018	18400	LAKEWOOD, CITY WATER DEPT	35,522.42	0.00	35,522.42
90732	12/13/2018	271	LOS ANGELES CO DEPT OF HEALTH SVCS	1,791.00	0.00	1,791.00
90733	12/13/2018	36844	LA COUNTY DEPT OF PUBLIC WORKS	81.92	0.00	81.92
90734	12/13/2018	4745	LUNDERVILLE, GERALD P	128.00	0.00	128.00
90735	12/13/2018	59113	MACRO AUTOMATICS	27,799.20	0.00	27,799.20

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
90736	12/13/2018	4409	MALLORY SAFETY AND SUPPLY LLC	178.66	0.00	178.66
90737	12/13/2018	58414	MANAGED HEALTH NETWORK	355.30	0.00	355.30
90738	12/13/2018	4690	COOK-KNOWLES. VIRGINIA	350.00	0.00	350.00
90739	12/13/2018	4190	NATIONAL UNION FIRE INSURANCE CO	619.89	0.00	619.89
90740	12/13/2018	4443	O'REILLY AUTOMOTIVE STORES INC	627.42	11.80	615.62
90741	12/13/2018	47554	OFFICE DEPOT BUSINESS SVCS	389.90	0.00	389.90
90742	12/13/2018	3940	ORANGE COUNTY TANK TESTING INC	1,095.00	0.00	1,095.00
90743	12/13/2018	5016	P & R PAPER SUPPLY COMPANY. INC.	409.75	0.00	409.75
90744	12/13/2018	4371	ORDWAY CORPORATION	245.00	0.00	245.00
90745	12/13/2018	63364	REEVES NORM HONDA	18.29	0.00	18.29
90746	12/13/2018	4956	ROSS AVIATION INVESTMENT. LLC	4,433.99	0.00	4,433.99
90747	12/13/2018	47285	ROTARY CORP	365.62	0.00	365.62
90748	12/13/2018	45437	S & J SUPPLY CO	1,360.22	0.00	1,360.22
90749	12/13/2018	56359	S Y NURSERY	98.11	0.00	98.11
90750	12/13/2018	4309	SAFESHRED	25.00	0.00	25.00
90751	12/13/2018	52541	SANTA FE SPRINGS. CITY OF	870.00	0.00	870.00
90752	12/13/2018	3153	SECTRAN SECURITY INC	144.15	0.00	144.15
90753	12/13/2018	4468	SHERRARD. DONNA HOUSTON	115.05	0.00	115.05
90754	12/13/2018	62286	J R SIMPLOT COMPANY	3,691.47	0.00	3,691.47
90755	12/13/2018	52279	SMART & FINAL INC	61.34	0.00	61.34
90756	12/13/2018	26900	SO CALIF SECURITY CENTERS INC	180.00	0.00	180.00
90757	12/13/2018	29400	SOUTHERN CALIFORNIA EDISON CO	83,224.43	0.00	83,224.43
90758	12/13/2018	49529	SPICERS PAPER INC	167.56	0.00	167.56
90759	12/13/2018	37930	STANDARD INSURANCE CO UNIT 22	2,328.70	0.00	2,328.70
90760	12/13/2018	37930	STANDARD INSURANCE CO UNIT 22	10,231.54	0.00	10,231.54
90761	12/13/2018	64602	STAPLES CONTRACT & COMMERCIAL INC	1,295.08	0.00	1,295.08
90762	12/13/2018	2995	STRICTLY BACKFLOW INC	135.00	0.00	135.00
90763	12/13/2018	66215	SUPERIOR COURT OF CALIFORNIA	10,222.50	0.00	10,222.50
90764	12/13/2018	66215	SUPERIOR COURT OF CALIFORNIA	8,190.00	0.00	8,190.00
90765	12/13/2018	38679	WESTERN EXTERMINATOR COMPANY	826.00	0.00	826.00
90766	12/13/2018	4893	TENG. WHEA-FUN	135.20	0.00	135.20
90767	12/13/2018	59212	TETRA TECH INC	3,612.00	0.00	3,612.00
90768	12/13/2018	2372	TGIS CATERING SVCS INC	1,965.25	0.00	1,965.25
90769	12/13/2018	4873	TRANSAMERICA LIFE INSURANCE COMPANY	2,254.10	0.00	2,254.10
90770	12/13/2018	60685	TURF STAR	87.30	0.00	87.30
90771	12/13/2018	64024	U S POSTAL SERVICE	4,258.94	0.00	4,258.94
90772	12/13/2018	35089	UNDERGROUND SERVICE ALERT	142.00	0.00	142.00
90773	12/13/2018	5028	UNISAFE INC.	173.55	0.00	173.55
90774	12/13/2018	49848	USA BLUE BOOK A DIVISION OF	461.41	0.00	461.41
90775	12/13/2018	64652	CELLCO PARTNERSHIP	556.04	0.00	556.04
90776	12/13/2018	57135	VISION SERVICE PLAN	4,556.62	0.00	4,556.62
90777	12/13/2018	3943	WATERLINE TECHNOLOGIES INC	2,447.89	0.00	2,447.89
90778	12/13/2018	17640	WAXIE ENTERPRISES INC	2,719.18	0.00	2,719.18
90779	12/13/2018	62628	WELLS C. PIPELINE MATERIALS	477.45	0.00	477.45

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
90780	12/13/2018	40925	WEST COAST ARBORISTS INC	44,432.95	0.00	44,432.95
90781	12/13/2018	4501	WEST COAST SAND AND GRAVEL. INC.	651.54	0.00	651.54
90782	12/13/2018	5143	WHITNEY. LAUREAL MONIOUE	146.25	0.00	146.25
90783	12/13/2018	35146	WILLDAN ASSOCIATES	2,700.00	0.00	2,700.00
90784	12/13/2018	4837	XEROX CORPORATION	841.94	0.00	841.94
90785	12/13/2018	3699	ADMIRAL PEST CONTROL	835.00	0.00	835.00
90786	12/13/2018	3699	ANDERSON. MICHELE	15.00	0.00	15.00
90787	12/13/2018	3699	DAVID. JENNIFER	250.00	0.00	250.00
90788	12/13/2018	3699	GODOY. ANTHONY	250.00	0.00	250.00
90789	12/13/2018	3699	GRIAR JR.. GUADENCIO	250.00	0.00	250.00
90790	12/13/2018	3699	HARMONY FAMILY LTD PARTNERSHIP	80,000.00	0.00	80,000.00
90791	12/13/2018	3699	JENNINGS. SHEILA	5.00	0.00	5.00
90792	12/13/2018	3699	LAKEWOOD TOASTMASTERS	250.00	0.00	250.00
90793	12/13/2018	3699	LAKEWOOD WOMEN'S CLUB	250.00	0.00	250.00
90794	12/13/2018	3699	LINEHAN. JAMES PATRICK	59.68	0.00	59.68
90795	12/13/2018	3699	MABIRE. REBECCA	17.51	0.00	17.51
90796	12/13/2018	3699	MCBRIDE. MYRTLE	250.00	0.00	250.00
90797	12/13/2018	3699	OMAR'S GARAGE DOORS	895.00	0.00	895.00
90798	12/13/2018	3699	PREZA. JOSE	240.00	0.00	240.00
90799	12/13/2018	3699	RABARA. MANOLITO	170.00	0.00	170.00
90800	12/13/2018	3699	ROBERTSON. DONNA	250.00	0.00	250.00
90801	12/13/2018	3699	ROBERTSON. ERIKA	250.00	0.00	250.00
90802	12/13/2018	3699	ROSALES. JEAN	250.00	0.00	250.00
90803	12/13/2018	3699	SAITO. TIFFANY	250.00	0.00	250.00
90804	12/13/2018	3699	SOUTHERN CALIFORNIA BLADES KNIFE COLLECTO	250.00	0.00	250.00
90805	12/13/2018	3699	SUNLUX	78.20	0.00	78.20
90806	12/13/2018	3699	TORRES. RAZEL	250.00	0.00	250.00
90807	12/13/2018	3699	WILLIAMS. KATRINA	250.00	0.00	250.00
Totals:				<u>417,337.97</u>	<u>11.80</u>	<u>417,326.17</u>

**CITY OF LAKEWOOD
FUND SUMMARY 12/20/18**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 90808 through 90921. Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager.

1010	GENERAL FUND	1,611,478.21
1020	CABLE TV	1,969.92
1030	CDBG CURRENT YEAR	24.75
1050	COMMUNITY FACILITY	2,501.19
1070	RETIREE BENEFITS	3,000.00
1336	STATE COPS GRANT	38,512.33
3001	CAPITAL IMPROV PROJECT FUND	724,329.30
3070	PROPOSITION "C"	571.16
5010	GRAPHICS AND COPY CENTER	6,996.90
5020	CENTRAL STORES	3,100.14
5030	FLEET MAINTENANCE	7,218.79
7500	WATER UTILITY FUND	166,800.97
8020	LOCAL REHAB LOAN	315.20
8030	TRUST DEPOSIT	643.40
		2,567,462.26

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
90808	12/20/2018	2701	AIRE RITE A/C & REFRIGERATION INC	5,023.17	0.00	5,023.17
90809	12/20/2018	4763	ALBANO'S PLUMBING. INC.	375.00	0.00	375.00
90810	12/20/2018	1700	ALLIED REFRIGERATION INC	230.13	0.00	230.13
90811	12/20/2018	4684	AMAZON.COM LLC	2,361.58	0.00	2,361.58
90812	12/20/2018	58000	AMERICAN TRUCK & TOOL RENTAL INC	169.01	0.00	169.01
90813	12/20/2018	4126	AUTOZONE PARTS INC	63.50	0.00	63.50
90814	12/20/2018	443	B&M LAWN AND GARDEN INC	63.10	0.00	63.10
90815	12/20/2018	5158	BANNER BANK	34,064.99	0.00	34,064.99
90816	12/20/2018	1935	BREA. CITY OF	34,368.00	0.00	34,368.00
90817	12/20/2018	307	CALIF. STATE DISBURSEMENT UNIT	243.88	0.00	243.88
90818	12/20/2018	53983	CALIF STATE FRANCHISE TAX BOARD	1,675.99	0.00	1,675.99
90819	12/20/2018	7600	CENTRAL BASIN WATER ASSN	125.00	0.00	125.00
90820	12/20/2018	4815	CHESSMAN. RONALD	250.00	0.00	250.00
90821	12/20/2018	45894	CINTAS CORPORATION	138.56	0.00	138.56
90822	12/20/2018	4737	COMPUTER PROTECTION TECHNOLOGY INC	310.00	0.00	310.00
90823	12/20/2018	4776	CORELOGIC. INC.	49.50	0.00	49.50
90824	12/20/2018	4959	COTTON. KARON	68.25	0.00	68.25
90825	12/20/2018	60195	CR TRANSFER INC	4,208.46	0.00	4,208.46
90826	12/20/2018	2929	DETTORE. TONY	225.00	0.00	225.00
90827	12/20/2018	27200	DICKSON R F CO INC	44,631.38	0.00	44,631.38
90828	12/20/2018	3213	DIRECTV INC	104.24	0.00	104.24
90829	12/20/2018	5169	DY. DERWIN	115.00	0.00	115.00
90830	12/20/2018	3199	EDCO WASTE SERVICES LLC	404,828.17	0.00	404,828.17
90831	12/20/2018	5020	ENCORE WELLNESS. LLC	25.60	0.00	25.60
90832	12/20/2018	4435	ELLIOTT AUTO SUPPLY COMPANY INC	1.83	0.00	1.83
90833	12/20/2018	5030	FATHOM WATER MANAGEMENT INC.	114,647.77	0.00	114,647.77
90834	12/20/2018	4641	FONTELA. THAO	1,225.25	0.00	1,225.25
90835	12/20/2018	5175	FRACTA. INC.	14,955.00	0.00	14,955.00
90836	12/20/2018	5182	FRED ALLEN ENTERPRISES. INC.	1,301.49	0.00	1,301.49
90837	12/20/2018	5005	GIEMONT. GREGORY S.	261.00	0.00	261.00
90838	12/20/2018	64215	GOLD COAST AWARDS INC	61.27	0.00	61.27
90839	12/20/2018	33150	GRAINGER W W INC	129.21	0.00	129.21
90840	12/20/2018	65835	GRANICUS INC	500.00	0.00	500.00
90841	12/20/2018	4880	HODGE PRODUCTS INC.	262.26	0.00	262.26
90842	12/20/2018	42031	HOME DEPOT	1,008.69	0.00	1,008.69
90843	12/20/2018	36589	IMMEDIATE MEDICAL CARE	265.00	0.00	265.00
90844	12/20/2018	4622	JHM SUPPLY INC	456.53	0.00	456.53
90845	12/20/2018	4180	JONES RICHARD D. A PROF LAW CORP	1,456.67	0.00	1,456.67
90846	12/20/2018	4180	JONES RICHARD D. A PROF LAW CORP	16,750.00	0.00	16,750.00
90847	12/20/2018	35690	KAPLAN. MATHEW R.	80.00	0.00	80.00
90848	12/20/2018	36167	KARTER. JANET	552.50	0.00	552.50
90849	12/20/2018	18300	LAKWOOD CHAMBER OF COMMERCE	2,416.67	0.00	2,416.67
90850	12/20/2018	55469	LAKWOOD CITY EMPLOYEE ASSOCIATION	2,060.00	0.00	2,060.00
90851	12/20/2018	18400	LAKWOOD. CITY WATER DEPT	16,638.43	0.00	16,638.43

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
90852	12/20/2018	2409	LIFTECH ELEVATOR SERVICES INC	364.00	0.00	364.00
90853	12/20/2018	271	LOS ANGELES CO DEPT OF HEALTH SVCS	1,791.00	0.00	1,791.00
90854	12/20/2018	21600	LOS ANGELES CO SHERIFFS DEPT	904,394.99	0.00	904,394.99
90855	12/20/2018	36844	LA COUNTY DEPT OF PUBLIC WORKS	9,803.80	0.00	9,803.80
90856	12/20/2018	4745	LUNDERVILLE. GERALD P	96.00	0.00	96.00
90857	12/20/2018	63809	MACAULAY. CHRISTINA	150.00	0.00	150.00
90858	12/20/2018	4482	MALTY INTERNATIONAL GROUP INC	38.93	0.00	38.93
90859	12/20/2018	4671	MC GUCKIAN. J. PATRICK	643.40	0.00	643.40
90860	12/20/2018	615	MUNI SERVICES LLC	3,560.10	0.00	3,560.10
90861	12/20/2018	4320	NEHRU. ARTI	150.00	0.00	150.00
90862	12/20/2018	5134	NORRIS. RICHARD	2,815.75	0.00	2,815.75
90863	12/20/2018	4443	O'REILLY AUTOMOTIVE STORES INC	429.69	7.82	421.87
90864	12/20/2018	47554	OFFICE DEPOT BUSINESS SVCS	123.46	0.00	123.46
90865	12/20/2018	4367	OROZCO'S AUTO SERVICE INC	930.38	0.00	930.38
90866	12/20/2018	4133	OWENS. TIM	1,800.00	0.00	1,800.00
90867	12/20/2018	63708	DY-JO CORPORATION	2,115.00	0.00	2,115.00
90868	12/20/2018	65659	PHASE II SYSTEMS INC	3,326.42	0.00	3,326.42
90869	12/20/2018	65659	PHASE II SYSTEMS INC	3,000.00	0.00	3,000.00
90870	12/20/2018	51171	PERS LONG TERM CARE PROGRAM	70.64	0.00	70.64
90871	12/20/2018	4494	PIERSON. JEREMY L.	43.20	0.00	43.20
90872	12/20/2018	39640	RAYVERN LIGHTING SUPPLY CO INC	389.26	0.00	389.26
90873	12/20/2018	4946	REYES CONSTRUCTION. INC.	43,029.30	0.00	43,029.30
90874	12/20/2018	4330	RON'S MAINTENANCE INC	2,536.00	0.00	2,536.00
90875	12/20/2018	47285	ROTARY CORP	441.51	0.00	441.51
90876	12/20/2018	2698	HYDRAULIC SYSTEMS & COMPONENTS INC	276.85	0.00	276.85
90877	12/20/2018	5044	SHARP ELECTRONICS CORPORATION	6,996.90	0.00	6,996.90
90878	12/20/2018	5197	SIGNAL HILL AUTO ENTERPRISES INC.	181.66	0.00	181.66
90879	12/20/2018	3186	CORAL BAY HOME LOANS	279.50	0.00	279.50
90880	12/20/2018	52279	SMART & FINAL INC	223.18	0.00	223.18
90881	12/20/2018	36658	SOUTH COAST A.O.M.D.	538.58	0.00	538.58
90882	12/20/2018	29400	SOUTHERN CALIFORNIA EDISON CO	43,498.60	0.00	43,498.60
90883	12/20/2018	29500	SOUTHERN CALIFORNIA GAS CO	4,095.62	0.00	4,095.62
90884	12/20/2018	4026	SPASEFF TED C	462.50	0.00	462.50
90885	12/20/2018	4368	SPECIALTY TIRES LLC	162.47	0.00	162.47
90886	12/20/2018	4972	CHARTER COMMUNICATIONS HOLDINGS. LLC	4,401.78	0.00	4,401.78
90887	12/20/2018	37930	STANDARD INSURANCE CO UNIT 22	2,328.70	0.00	2,328.70
90888	12/20/2018	37930	STANDARD INSURANCE CO UNIT 22	10,179.04	0.00	10,179.04
90889	12/20/2018	2559	STANLEY CONVERGENT SECURITY	28,821.69	0.00	28,821.69
90890	12/20/2018	44104	STATE WATER RESOURCES CONTROL BOARD	13,556.00	0.00	13,556.00
90891	12/20/2018	4666	STEIN. PAUL	125.00	0.00	125.00
90892	12/20/2018	5128	SUKUT CONSTRUCTION. LLC	647,235.00	0.00	647,235.00
90893	12/20/2018	2372	TGIS CATERING SVCS INC	12,705.77	0.00	12,705.77
90894	12/20/2018	5142	THE PUBLIC RESTROOM COMPANY	15,678.00	0.00	15,678.00
90895	12/20/2018	3110	TORRES LOPEZ JAVIER	132.00	0.00	132.00

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
90896	12/20/2018	5105	TOTAL TEMPERATURE INSTRUMENTATION INC.	2,187.81	0.00	2,187.81
90897	12/20/2018	1676	U S TELEPACIFIC CORP	164.17	0.00	164.17
90898	12/20/2018	33200	WALTERS WHOLESALE ELECTRIC CO	169.13	0.00	169.13
90899	12/20/2018	5155	WATER SYSTEM SERVICES LLC	175.00	0.00	175.00
90900	12/20/2018	3943	WATERLINE TECHNOLOGIES INC	1,054.71	0.00	1,054.71
90901	12/20/2018	17640	WAXIE ENTERPRISES INC	32.15	0.00	32.15
90902	12/20/2018	37745	WESTERN EXTERMINATOR CO	54.50	0.00	54.50
90903	12/20/2018	2279	AMERICAN PACIFIC PRINTERS COLLEGES	6,130.90	0.00	6,130.90
90904	12/20/2018	3699	AVILA. ALEJENDRA	250.00	0.00	250.00
90905	12/20/2018	3699	BALUNDO. NORMA	250.00	0.00	250.00
90906	12/20/2018	3699	CABRERA. ANTON	66.00	0.00	66.00
90907	12/20/2018	3699	CASTILLO. ELENA AND GARCIA. GERARDO	5,000.00	0.00	5,000.00
90908	12/20/2018	3699	DAMP. LOUISE	22.00	0.00	22.00
90909	12/20/2018	3699	FARMER. RICKY	240.00	0.00	240.00
90910	12/20/2018	3699	FINN. DESHANNA	250.00	0.00	250.00
90911	12/20/2018	3699	JOHNSON. RUBY	12.00	0.00	12.00
90912	12/20/2018	3699	LAKEWOOD GARDEN CLUB	250.00	0.00	250.00
90913	12/20/2018	3699	LAKEWOOD MOMS CLUB	250.00	0.00	250.00
90914	12/20/2018	3699	MUYRONG. JEFFERSON	250.00	0.00	250.00
90915	12/20/2018	3699	RIVIERA. JEANETTE	315.20	0.00	315.20
90916	12/20/2018	3699	SHIPMAN. THOMASINE	250.00	0.00	250.00
90917	12/20/2018	3699	VIVINT SOLAR DEVELOPER LLC	98.16	0.00	98.16
90918	12/20/2018	65835	GRANICUS INC	20,407.50	0.00	20,407.50
90919	12/20/2018	47359	SIERRA DISPLAY INC	22,106.18	0.00	22,106.18
90920	12/20/2018	1437	U S BANK NATIONAL ASSOCIATION	158.55	0.00	158.55
90921	12/20/2018	1437	U S BANK NATIONAL ASSOCIATION	24,657.36	0.00	24,657.36
Totals:				<u>2,567,470.07</u>	<u>7.82</u>	<u>2,567,462.25</u>

**CITY OF LAKEWOOD
SUMMARY ACH/WIRE REGISTER DEC 2018**

ACH date	Amount	Recipient	Purpose	Period
12/6/18	94,570.50	IRS via F&M	Fed taxes	Nov 18-Dec 1, 2018
12/6/18	7,884.50	Southland C/U	employee savings account	Nov 18-Dec 1, 2018
12/6/18	3,738.50	F&A Fed C/U	employee savings account	Nov 18-Dec 1, 2018
12/6/18	26,671.27	EDD	State taxes	Nov 18-Dec 1, 2018
12/7/18	2,331.97	MidAmerica	ARS aka APPLE	Nov 18-Dec 1, 2018
12/7/18	9,864.06	VOYA	VOYA 401(a)	Nov 18-Dec 1, 2018
12/7/18	3,425.00	PARS via U.S. Bank	excess stackable plan	Nov 18-Dec 1, 2018
12/7/18	5,839.10	PARS via U.S. Bank	stackable plan	Nov 18-Dec 1, 2018
12/7/18	24,874.43	VOYA	VOYA 457 & ROTH	Nov 18-Dec 1, 2018
12/10/18	98,809.08	CalPERS	PERS Health	Dec 2018
12/11/18	85,034.34	CalPERS	PERS contribution	Nov 18-Dec 1, 2018
12/18/18	57,989.10	City Light & Power	monthly maint fee	Dec-18
12/18/18	75,425.31	MidAmerica	HRA aka CEMRB	Oct-Dec 2018
12/20/18	98,795.73	IRS via F&M	Fed taxes	Dec 2-15, 2018
12/20/18	7,884.50	Southland C/U	employee savings account	Dec 2-15, 2018
12/20/18	3,738.50	F&A Fed C/U	employee savings account	Dec 2-15, 2018
12/20/18	27,748.63	EDD	State taxes	Dec 2-15, 2018
12/20/18	88,240.71	CalPERS	PERS contribution	Dec 2-15, 2018
12/24/18	3,026.18	MidAmerica	ARS aka APPLE	Dec 2-15, 2018
12/24/18	13,248.20	VOYA	VOYA 401(a)	Dec 2-15, 2018
12/24/18	9,227.22	PARS via U.S. Bank	stackable plan	Dec 2-15, 2018
12/24/18	25,652.20	VOYA	VOYA 457 & ROTH	Dec 2-15, 2018
12/24/18	2,713.44	CalPERS	Replacement Benefit Contribution	Dec-18

Council Approval

Date

City Manager

Attest

City Clerk

Director of Finance & Administrative Services

**CITY OF LAKEWOOD
FUND SUMMARY 1/3/2019**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 90922 through 91009. Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager.

1010	GENERAL FUND	202,221.91
1015	SPECIAL OLYMPICS	75.44
1030	CDBG CURRENT YEAR	1,958.33
1050	COMMUNITY FACILITY	1,600.00
1070	RETIREE BENEFITS	546.00
1622	LA CNTY MEASURE M	22,032.07
3001	CAPITAL IMPROV PROJECT FUND	355.19
3070	PROPOSITION "C"	1,223.81
5020	CENTRAL STORES	3,162.00
5030	FLEET MAINTENANCE	2,336.66
7500	WATER UTILITY FUND	25,036.10
8020	LOCAL REHAB LOAN	351.60
8030	TRUST DEPOSIT	1,425.41
		262,324.52

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
90922	01/03/2019	4113	SHAKER NERMINE	1,750.00	0.00	1,750.00
90923	01/03/2019	47151	ALTEC INDUSTRIES INC	14.99	0.00	14.99
90924	01/03/2019	58000	AMERICAN TRUCK & TOOL RENTAL INC	996.52	0.00	996.52
90925	01/03/2019	65668	ANICETO. SANDRA	1,644.50	0.00	1,644.50
90926	01/03/2019	4836	ARELLANO. RAMIRO	1,600.00	0.00	1,600.00
90927	01/03/2019	443	B&M LAWN AND GARDEN INC	358.01	0.00	358.01
90928	01/03/2019	62737	BOYES. GOBIND	97.50	0.00	97.50
90929	01/03/2019	42144	BROEKER. CANDACE	22.75	0.00	22.75
90930	01/03/2019	48469	BURWELL MICHAEL RAY	1,070.00	0.00	1,070.00
90931	01/03/2019	48469	BURWELL MICHAEL RAY	40.00	0.00	40.00
90932	01/03/2019	307	CALIF. STATE DISBURSEMENT UNIT	273.72	0.00	273.72
90933	01/03/2019	53983	CALIF STATE FRANCHISE TAX BOARD	1,085.35	0.00	1,085.35
90934	01/03/2019	43135	CERRITOS. CITY OF	22,287.72	0.00	22,287.72
90935	01/03/2019	57070	CITY LIGHT & POWER LKWD INC	7,400.00	0.00	7,400.00
90936	01/03/2019	53451	COMMUNITY FAMILY GUIDANCE CTR	750.00	0.00	750.00
90937	01/03/2019	5020	ENCORE WELLNESS. LLC	9.60	0.00	9.60
90938	01/03/2019	4435	ELLIOTT AUTO SUPPLY COMPANY INC	86.23	0.00	86.23
90939	01/03/2019	3946	FERGUSON ENTERPRISES INC	1,456.74	0.00	1,456.74
90940	01/03/2019	3188	GALLS LLC/OUARTEMASTER LLC	22.72	0.00	22.72
90941	01/03/2019	5005	GIEMONT. GREGORY S.	261.00	0.00	261.00
90942	01/03/2019	65779	GOLDEN STATE WATER COMPANY	7,606.40	0.00	7,606.40
90943	01/03/2019	33150	GRAINGER W W INC	64.90	0.00	64.90
90944	01/03/2019	61769	GRAUTEN. EVELYN R	270.40	0.00	270.40
90945	01/03/2019	4723	HANSEN SOFTWARE CORP	250.00	0.00	250.00
90946	01/03/2019	65575	HAP'S AUTO PARTS	58.06	0.00	58.06
90947	01/03/2019	65891	HUMAN SERVICES ASSOCIATION	458.33	0.00	458.33
90948	01/03/2019	4688	HUNTER. JOHN L & ASSOCIATES	1,496.88	0.00	1,496.88
90949	01/03/2019	49843	INOUYE. MICHAEL JOHN	323.05	0.00	323.05
90950	01/03/2019	4622	JHM SUPPLY INC	759.36	0.00	759.36
90951	01/03/2019	61616	JOHN DEERE CONSTRUCTION RETAIL SALES	1,118.20	0.00	1,118.20
90952	01/03/2019	2956	KICK IT UP KIDZ. LLC	161.85	0.00	161.85
90953	01/03/2019	4696	NORTH AMERICAN YOUTH ACTIVITIES LLC	591.50	0.00	591.50
90954	01/03/2019	44842	LAKEWOOD HIGH SCHOOL MUSIC	250.00	0.00	250.00
90955	01/03/2019	4783	LANDCARE HOLDINGS INC	7,184.28	0.00	7,184.28
90956	01/03/2019	44733	LIEBERT CASSIDY WHITMORE	296.00	0.00	296.00
90957	01/03/2019	20300	LONG BEACH CITY GAS & WATER DEPT	513.11	0.00	513.11
90958	01/03/2019	45069	LOS ANGELES CO/DEPT PW BLDG SVCS	76,496.35	0.00	76,496.35
90959	01/03/2019	36844	LA COUNTY DEPT OF PUBLIC WORKS	32,860.20	0.00	32,860.20
90960	01/03/2019	62080	MARKLEY. ELIZABETH	178.75	0.00	178.75
90961	01/03/2019	66339	MC ENROE. BARBARA	292.50	0.00	292.50
90962	01/03/2019	4446	MIDAMERICA ADMIN & RETIREMENT	546.00	0.00	546.00
90963	01/03/2019	64333	MOSES-CALDERA. ISABEL	1,528.80	0.00	1,528.80
90964	01/03/2019	4443	O'REILLY AUTOMOTIVE STORES INC	138.99	0.00	138.99
90965	01/03/2019	47554	OFFICE DEPOT BUSINESS SVCS	1,086.93	0.00	1,086.93

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
90966	01/03/2019	5016	P & R PAPER SUPPLY COMPANY, INC.	204.87	0.00	204.87
90967	01/03/2019	50512	PATHWAYS VOLUNTEER HOSPICE	750.00	0.00	750.00
90968	01/03/2019	51171	PERS LONG TERM CARE PROGRAM	70.64	0.00	70.64
90969	01/03/2019	4374	PITNEY BOWES INC	773.84	0.00	773.84
90970	01/03/2019	4459	READWRITE EDUCATIONAL SOLUTIONS INC	830.70	0.00	830.70
90971	01/03/2019	5108	F & S FOOD SERVICES, INC.	2,051.21	0.00	2,051.21
90972	01/03/2019	47141	STEARNS CONRAD & SCHMIDT CONSLT ENG	259.00	0.00	259.00
90973	01/03/2019	52279	SMART & FINAL INC	316.16	0.00	316.16
90974	01/03/2019	26900	SO CALIF SECURITY CENTERS INC	17.52	0.00	17.52
90975	01/03/2019	29450	SOUTHERN CALIFORNIA EDISON	42,471.92	0.00	42,471.92
90976	01/03/2019	57912	SURI, KAREN	163.80	0.00	163.80
90977	01/03/2019	4830	TELECOM LAW FIRM PC	225.00	0.00	225.00
90978	01/03/2019	4893	TENG, WHEA-FUN	145.60	0.00	145.60
90979	01/03/2019	4364	THE RINKS-LAKEWOOD ICE	58.50	0.00	58.50
90980	01/03/2019	60685	TURF STAR	1,599.65	0.00	1,599.65
90981	01/03/2019	58601	U S POSTAL SERVICE	25,000.00	0.00	25,000.00
90982	01/03/2019	49848	USA BLUE BOOK A DIVISION OF	173.44	0.00	173.44
90983	01/03/2019	17640	WAXIE ENTERPRISES INC	1,432.97	0.00	1,432.97
90984	01/03/2019	36166	WEGENER, KATHY	597.35	0.00	597.35
90985	01/03/2019	37745	WESTERN EXTERMINATOR CO	50.50	0.00	50.50
90986	01/03/2019	2279	AMERICAN PACIFIC PRINTERS COLLEGES	1,828.66	0.00	1,828.66
90987	01/03/2019	35146	WILLDAN ASSOCIATES	2,816.00	0.00	2,816.00
90988	01/03/2019	3837	WORTHINGTON FORD	40.73	0.00	40.73
90989	01/03/2019	3699	ANDREWS, TERESA	20.00	0.00	20.00
90990	01/03/2019	3699	ASHRAF, SURAJA	250.00	0.00	250.00
90991	01/03/2019	3699	BAGNOL, MARIA	250.00	0.00	250.00
90992	01/03/2019	3699	GARCIA, CLAUDIA	250.00	0.00	250.00
90993	01/03/2019	3699	GAST, BELINDA	216.67	0.00	216.67
90994	01/03/2019	3699	GRAY, MILDRED BYRD	250.00	0.00	250.00
90995	01/03/2019	3699	KAHO, SANDRA	250.00	0.00	250.00
90996	01/03/2019	3699	LAKEWOOD AQUATICS	250.00	0.00	250.00
90997	01/03/2019	3699	LOERA, ELIZABETH	10.00	0.00	10.00
90998	01/03/2019	3699	MCGREGORY, TINA	250.00	0.00	250.00
90999	01/03/2019	3699	MCGRUDER, LINDA	351.60	0.00	351.60
91000	01/03/2019	3699	PALAITA, MAOFA	250.00	0.00	250.00
91001	01/03/2019	3699	ROMERO, JOSEPH	250.00	0.00	250.00
91002	01/03/2019	3699	SCOTT, MILLICENT	250.00	0.00	250.00
91003	01/03/2019	3699	SHIPMAN, THOMASINE	90.00	0.00	90.00
91004	01/03/2019	3699	SOLOMON, FIDEL	250.00	0.00	250.00
91005	01/03/2019	3699	SPRATT, SHARON	250.00	0.00	250.00
91006	01/03/2019	3699	TAAMILO, AVEMAOE	250.00	0.00	250.00
91007	01/03/2019	3699	VELASQUEZ, MYLENE	250.00	0.00	250.00
91008	01/03/2019	3699	WILLIAMS, CHALAOUE	250.00	0.00	250.00
91009	01/03/2019	3699	ZUNIGA, SILVIA	250.00	0.00	250.00

CITY OF LAKEWOOD
SUMMARY CHECK REGISTER

<u>CHECK #</u>	<u>CHECK DATE</u>	<u>VEND #</u>	<u>VENDOR NAME</u>	<u>GROSS</u>	<u>DISC.</u>	<u>CHECK AMOUNT</u>
			Totals:	<u>262,324.52</u>	<u>0.00</u>	<u>262,324.52</u>

**CITY OF LAKEWOOD
FUND SUMMARY 1/10/19**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 91010 through 91121. Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager.

1010	GENERAL FUND	510,703.61
1030	CDBG CURRENT YEAR	3,115.50
1050	COMMUNITY FACILITY	1,156.38
3001	CAPITAL IMPROV PROJECT FUND	35,913.12
5020	CENTRAL STORES	990.57
5030	FLEET MAINTENANCE	4,954.59
6020	GEOGRAPHIC INFORMATION SYSTEM	57.49
7500	WATER UTILITY FUND	4,895.50
8020	LOCAL REHAB LOAN	19.64
8030	TRUST DEPOSIT	2,496.60
		<hr/>
		564,303.00

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
91010	01/10/2019	61307	PERRIS FENCE & SUPPLY	11.11	0.00	11.11
91011	01/10/2019	61142	ADAMS-HILLERY SHARRON	3,115.50	0.00	3,115.50
91012	01/10/2019	5179	ALS GROUP USA. CORP.	1,166.50	0.00	1,166.50
91013	01/10/2019	50163	AMERICAN PUBLIC WORKS ASSN	252.50	0.00	252.50
91014	01/10/2019	5097	AMERICAN SOCCER COMPANY. INC.	370.11	0.00	370.11
91015	01/10/2019	1003	AMER.SOCIETY OF COMPOSERS	856.00	0.00	856.00
91016	01/10/2019	4465	ATALLA. IBRAHIM	325.00	0.00	325.00
91017	01/10/2019	4126	AUTOZONE PARTS INC	31.04	0.00	31.04
91018	01/10/2019	4278	BEAR COMMUNICATIONS INC	491.71	0.00	491.71
91019	01/10/2019	36746	CERRITOS DODGE	69.73	0.00	69.73
91020	01/10/2019	45894	CINTAS CORPORATION	201.75	0.00	201.75
91021	01/10/2019	162	CITY & COUNTY ENGINEER'S ASSOC	100.00	0.00	100.00
91022	01/10/2019	64932	CJ CONSTRUCTION INC	8,245.00	0.00	8,245.00
91023	01/10/2019	4963	COUCH. RON JR.	787.50	0.00	787.50
91024	01/10/2019	60195	CR TRANSFER INC	5,464.09	0.00	5,464.09
91025	01/10/2019	4498	DELTA DENTAL INSURANCE COMPANY	1,193.57	0.00	1,193.57
91026	01/10/2019	56889	DELTA DENTAL OF CALIFORNIA	8,809.74	0.00	8,809.74
91027	01/10/2019	27200	DICKSON R F CO INC	3,565.00	0.00	3,565.00
91028	01/10/2019	3213	DIRECTV INC	69.24	0.00	69.24
91029	01/10/2019	4435	ELLIOTT AUTO SUPPLY COMPANY INC	151.62	0.00	151.62
91030	01/10/2019	52316	FEDERAL EXPRESS CORP	23.83	0.00	23.83
91031	01/10/2019	3610	FLEMING ENVIRONMENTAL INC	198,321.29	0.00	198,321.29
91032	01/10/2019	63519	FLUE STEAM INC	85.40	0.00	85.40
91033	01/10/2019	4884	FRONTIER CALIFORNIA INC.	2,593.54	0.00	2,593.54
91034	01/10/2019	3188	GALLS LLC/OUARTEMASTER LLC	1,754.02	0.00	1,754.02
91035	01/10/2019	52540	GONSALVES JOE A & SON	4,526.00	0.00	4,526.00
91036	01/10/2019	5107	GREEN WISE SOIL TECHNOLOGIES	109.50	0.00	109.50
91037	01/10/2019	4483	GREENFIX AMERICA. LLC	476.58	0.00	476.58
91038	01/10/2019	62491	HANDS ON MAILING &	418.44	0.00	418.44
91039	01/10/2019	65575	HAP'S AUTO PARTS	25.29	0.00	25.29
91040	01/10/2019	35477	HARA M LAWNMOWER CENTER	234.27	0.00	234.27
91041	01/10/2019	59486	HERMAN. LINDA	275.00	0.00	275.00
91042	01/10/2019	5173	HOLMES. JASON	68.90	0.00	68.90
91043	01/10/2019	42031	HOME DEPOT	1,288.96	0.00	1,288.96
91044	01/10/2019	4622	JHM SUPPLY INC	149.16	0.00	149.16
91045	01/10/2019	2956	KICK IT UP KIDZ. LLC	52.00	0.00	52.00
91046	01/10/2019	4458	KIM. YVONNE	192.00	0.00	192.00
91047	01/10/2019	18400	LAKEWOOD. CITY WATER DEPT	47,787.93	0.00	47,787.93
91048	01/10/2019	271	LOS ANGELES CO DEPT OF HEALTH SVCS	518.00	0.00	518.00
91049	01/10/2019	21100	LOS ANGELES CO DIST ATTORNEY	55.55	0.00	55.55
91050	01/10/2019	36844	LA COUNTY DEPT OF PUBLIC WORKS	5,792.26	0.00	5,792.26
91051	01/10/2019	4521	PEACHY DEVELOPMENTS CALIFORNIA. LLC	1,120.00	0.00	1,120.00
91052	01/10/2019	4482	MALTY INTERNATIONAL GROUP INC	41.10	0.00	41.10
91053	01/10/2019	58414	MANAGED HEALTH NETWORK	346.94	0.00	346.94

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
91054	01/10/2019	52225	MITY-LITE	15,991.68	0.00	15,991.68
91055	01/10/2019	55607	MYRON CORP	223.35	0.00	223.35
91056	01/10/2019	4190	NATIONAL UNION FIRE INSURANCE CO	619.89	0.00	619.89
91057	01/10/2019	4892	NESTLE WATERS NORTH AMERICA	20.78	0.00	20.78
91058	01/10/2019	5134	NORRIS. RICHARD	2,227.75	0.00	2,227.75
91059	01/10/2019	4443	O'REILLY AUTOMOTIVE STORES INC	655.91	12.49	643.42
91060	01/10/2019	47554	OFFICE DEPOT BUSINESS SVCS	143.52	0.00	143.52
91061	01/10/2019	5136	OPUS INSPECTION. INC.	1,396.13	0.00	1,396.13
91062	01/10/2019	4587	OSCAR'S ELECTRIC INC.	450.00	0.00	450.00
91063	01/10/2019	4497	PACIFIC COACHWAYS CHARTER SERVICES	700.00	0.00	700.00
91064	01/10/2019	450	PACIFIC EH & S SERVICES INC	1,792.00	0.00	1,792.00
91065	01/10/2019	1615	PFM ASSET MANAGEMENT LLC	3,001.85	0.00	3,001.85
91066	01/10/2019	4374	PITNEY BOWES INC	371.34	0.00	371.34
91067	01/10/2019	4760	PUN GROUP. THE LLP	7,270.00	0.00	7,270.00
91068	01/10/2019	39640	RAYVERN LIGHTING SUPPLY CO INC	34.86	0.00	34.86
91069	01/10/2019	4956	ROSS AVIATION INVESTMENT. LLC	4,140.73	0.00	4,140.73
91070	01/10/2019	2698	HYDRAULIC SYSTEMS & COMPONENTS INC	340.35	0.00	340.35
91071	01/10/2019	65297	S.T.E.A.M.	12,671.68	0.00	12,671.68
91072	01/10/2019	5045	SAN JUAN. CLYDE J	178.75	0.00	178.75
91073	01/10/2019	4436	SESAC	1,425.00	0.00	1,425.00
91074	01/10/2019	5036	SFG RETIREMENT PLAN CONSULTING LLC	2,400.00	0.00	2,400.00
91075	01/10/2019	62286	J R SIMPLOT COMPANY	1,067.40	0.00	1,067.40
91076	01/10/2019	52279	SMART & FINAL INC	382.84	0.00	382.84
91077	01/10/2019	26900	SO CALIF SECURITY CENTERS INC	38.33	0.00	38.33
91078	01/10/2019	5022	MWB COPY PRODUCTS. INC.	114.98	0.00	114.98
91079	01/10/2019	29400	SOUTHERN CALIFORNIA EDISON CO	4,226.37	0.00	4,226.37
91080	01/10/2019	4201	AUDIO MESSAGING SOLUTIONS LLC	247.51	0.00	247.51
91081	01/10/2019	37930	STANDARD INSURANCE CO UNIT 22	9,065.15	0.00	9,065.15
91082	01/10/2019	44104	STATE WATER RESOURCES CONTROL BOARD	28,461.00	0.00	28,461.00
91083	01/10/2019	4581	STEIN. ANDREW T	345.33	0.00	345.33
91084	01/10/2019	66215	SUPERIOR COURT OF CALIFORNIA	6,412.00	0.00	6,412.00
91085	01/10/2019	66215	SUPERIOR COURT OF CALIFORNIA	8,362.50	0.00	8,362.50
91086	01/10/2019	2732	TANNEN. MITCH	52.00	0.00	52.00
91087	01/10/2019	38679	WESTERN EXTERMINATOR COMPANY	359.91	0.00	359.91
91088	01/10/2019	59212	TETRA TECH INC	14,279.25	0.00	14,279.25
91089	01/10/2019	2372	TGIS CATERING SVCS INC	2,496.60	0.00	2,496.60
91090	01/10/2019	4364	THE RINKS-LAKEWOOD ICE	87.75	0.00	87.75
91091	01/10/2019	4873	TRANSAMERICA LIFE INSURANCE COMPANY	2,254.10	0.00	2,254.10
91092	01/10/2019	52484	TREND OFFSET PRINTING SERVICES INC	14,043.90	0.00	14,043.90
91093	01/10/2019	4642	UTILITY COST MANAGEMENT LLC	407.02	0.00	407.02
91094	01/10/2019	64652	CELLCO PARTNERSHIP	3,326.08	0.00	3,326.08
91095	01/10/2019	57135	VISION SERVICE PLAN	4,602.26	0.00	4,602.26
91096	01/10/2019	3943	WATERLINE TECHNOLOGIES INC	708.85	0.00	708.85
91097	01/10/2019	17640	WAXIE ENTERPRISES INC	350.98	0.00	350.98

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
91098	01/10/2019	62628	WELLS C. PIPELINE MATERIALS	572.37	0.00	572.37
91099	01/10/2019	40925	WEST COAST ARBORISTS INC	76,184.08	0.00	76,184.08
91100	01/10/2019	35146	WILLDAN ASSOCIATES	24,272.87	0.00	24,272.87
91101	01/10/2019	3699	ALAMINA. SELENA	250.00	0.00	250.00
91102	01/10/2019	3699	ANGLO. NOLY	250.00	0.00	250.00
91103	01/10/2019	3699	ARMENTA. GUILLERMO	250.00	0.00	250.00
91104	01/10/2019	3699	D'AMICO. CHRISTINE	10.00	0.00	10.00
91105	01/10/2019	3699	ESCOBAR. CATHEE	250.00	0.00	250.00
91106	01/10/2019	3699	FOXX. DIANNA	250.00	0.00	250.00
91107	01/10/2019	3699	HARRIS. KHADEJAH	250.00	0.00	250.00
91108	01/10/2019	3699	HOBSON. FAY	250.00	0.00	250.00
91109	01/10/2019	3699	LAKEWOOD WOMEN'S CLUB	250.00	0.00	250.00
91110	01/10/2019	3699	MABIRE. REBECCA	19.64	0.00	19.64
91111	01/10/2019	3699	MCGUINNESS. CHRIS	250.00	0.00	250.00
91112	01/10/2019	3699	NEAL. CHRISTAL	250.00	0.00	250.00
91113	01/10/2019	3699	PHILLIPS. MARVELLE	250.00	0.00	250.00
91114	01/10/2019	3699	REYES. MEDEL	250.00	0.00	250.00
91115	01/10/2019	3699	ROBERTSON. NICOLE	11.00	0.00	11.00
91116	01/10/2019	3699	SANCHEZ. MIRTA	55.00	0.00	55.00
91117	01/10/2019	3699	SCHWING. ROBERT	363.00	0.00	363.00
91118	01/10/2019	3699	VIVINT SOLAR DEVELOPER LLC	98.56	0.00	98.56
91119	01/10/2019	3699	VIVINT SOLAR DEVELOPER LLC	100.06	0.00	100.06
91120	01/10/2019	3699	VIVINT SOLAR DEVELOPER LLC	98.56	0.00	98.56
91121	01/10/2019	3699	WOOTEN JR.. CHRISTOPHER	250.00	0.00	250.00
Totals:				<u>564,315.49</u>	<u>12.49</u>	<u>564,303.00</u>

**CITY OF LAKEWOOD
FUND SUMMARY 1/17/2019**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 91122 through 91200. Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager.

1010	GENERAL FUND	1,082,549.19
1030	CDBG CURRENT YEAR	875.00
1050	COMMUNITY FACILITY	1,669.14
1336	STATE COPS GRANT	20,183.29
3070	PROPOSITION "C"	16.53
5010	GRAPHICS AND COPY CENTER	3,049.00
5020	CENTRAL STORES	1,814.35
5030	FLEET MAINTENANCE	20,437.02
7500	WATER UTILITY FUND	21,285.43
8020	LOCAL REHAB LOAN	225.00
		<hr/> 1,152,103.95

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
91122	01/17/2019	4684	AMAZON.COM LLC	3,121.60	0.00	3,121.60
91123	01/17/2019	50163	AMERICAN PUBLIC WORKS ASSN	757.50	0.00	757.50
91124	01/17/2019	2046	R S D SYSTEMS	6,000.00	0.00	6,000.00
91125	01/17/2019	443	B&M LAWN AND GARDEN INC	238.69	0.00	238.69
91126	01/17/2019	1935	BREA. CITY OF	33,280.00	0.00	33,280.00
91127	01/17/2019	42144	BROEKER. CANDACE	18.20	0.00	18.20
91128	01/17/2019	307	CALIF. STATE DISBURSEMENT UNIT	266.30	0.00	266.30
91129	01/17/2019	53983	CALIF STATE FRANCHISE TAX BOARD	993.18	0.00	993.18
91130	01/17/2019	5103	CALIFORNIA DEPARTMENT OF	1,177.32	0.00	1,177.32
91131	01/17/2019	45894	CINTAS CORPORATION	73.47	0.00	73.47
91132	01/17/2019	4963	COUCH. RON JR.	212.50	0.00	212.50
91133	01/17/2019	4519	CRAFCO. INC.	495.65	0.00	495.65
91134	01/17/2019	59607	DANGELO COMPANY	480.03	0.00	480.03
91135	01/17/2019	4411	EPOWER NETWORK INC	643.68	0.00	643.68
91136	01/17/2019	4435	ELLIOTT AUTO SUPPLY COMPANY INC	337.84	0.00	337.84
91137	01/17/2019	5185	FIRST CLASS PLUMBING CO.	47,500.00	0.00	47,500.00
91138	01/17/2019	5182	FRED ALLEN ENTERPRISES. INC.	791.67	0.00	791.67
91139	01/17/2019	3820	PLAYCORE WISCONSIN INC	339.70	0.00	339.70
91140	01/17/2019	34788	GEORGE CHEVROLET	249.95	0.00	249.95
91141	01/17/2019	5005	GIEMONT. GREGORY S.	261.00	0.00	261.00
91142	01/17/2019	33150	GRAINGER W W INC	125.40	0.00	125.40
91143	01/17/2019	54961	HACH COMPANY	570.08	0.00	570.08
91144	01/17/2019	65575	HAP'S AUTO PARTS	9.26	0.00	9.26
91145	01/17/2019	42031	HOME DEPOT	252.73	0.00	252.73
91146	01/17/2019	41897	HOSE-MAN THE	188.24	0.00	188.24
91147	01/17/2019	4622	JHM SUPPLY INC	352.25	0.00	352.25
91148	01/17/2019	44339	KIDSGUIDE INC	435.00	0.00	435.00
91149	01/17/2019	55469	LAKEWOOD CITY EMPLOYEE ASSOCIATION	2,020.00	0.00	2,020.00
91150	01/17/2019	53311	LAKEWOOD MEALS ON WHEELS	875.00	0.00	875.00
91151	01/17/2019	53849	LAKEWOOD ROTARY CLUB	378.00	0.00	378.00
91152	01/17/2019	44733	LIEBERT CASSIDY WHITMORE	75.00	0.00	75.00
91153	01/17/2019	3564	LONG BEACH. CITY OF	161.03	0.00	161.03
91154	01/17/2019	21600	LOS ANGELES CO SHERIFFS DEPT	890,621.16	0.00	890,621.16
91155	01/17/2019	45069	LOS ANGELES CO/DEPT PW BLDG SVCS	69,177.49	0.00	69,177.49
91156	01/17/2019	65220	STEVEN MAHR PRINTING INC.	313.17	0.00	313.17
91157	01/17/2019	332	MERRIMAC PETROLEUM INC	14,195.21	0.00	14,195.21
91158	01/17/2019	5201	NACHREINER. MATTHEW JASON	700.00	0.00	700.00
91159	01/17/2019	4443	O'REILLY AUTOMOTIVE STORES INC	246.66	6.10	240.56
91160	01/17/2019	47554	OFFICE DEPOT BUSINESS SVCS	359.54	0.00	359.54
91161	01/17/2019	63708	DY-JO CORPORATION	740.00	0.00	740.00
91162	01/17/2019	3888	RP AUTOMOTIVE UAG CERRITOS 1 LLC	350.47	0.00	350.47
91163	01/17/2019	51171	PERS LONG TERM CARE PROGRAM	70.64	0.00	70.64
91164	01/17/2019	63364	REEVES NORM HONDA	230.51	0.00	230.51
91165	01/17/2019	5152	RUIZ. TAWNY	2,612.00	0.00	2,612.00

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
91166	01/17/2019	50796	S C P L R C	300.00	0.00	300.00
91167	01/17/2019	4309	SAFESHRED	25.00	0.00	25.00
91168	01/17/2019	41691	SAFETY-KLEEN CORP	688.72	0.00	688.72
91169	01/17/2019	66280	BARRY SANDLER ENTERPRISES	118.05	0.00	118.05
91170	01/17/2019	52279	SMART & FINAL INC	100.40	0.00	100.40
91171	01/17/2019	66146	SOURCE NORTH AMERICA CORP.	55.63	0.00	55.63
91172	01/17/2019	29400	SOUTHERN CALIFORNIA EDISON CO	10,234.01	0.00	10,234.01
91173	01/17/2019	29500	SOUTHERN CALIFORNIA GAS CO	5,437.13	0.00	5,437.13
91174	01/17/2019	4026	SPASEFF TED C	212.50	0.00	212.50
91175	01/17/2019	5180	SUPERCO SPECIALTY PRODUCTS	176.97	0.00	176.97
91176	01/17/2019	52610	SWANK MOTION PICTURES INC	497.00	0.00	497.00
91177	01/17/2019	59212	TETRA TECH INC	9,704.58	0.00	9,704.58
91178	01/17/2019	60685	TURF STAR	970.86	0.00	970.86
91179	01/17/2019	4480	TYLER BUSINESS FORMS	500.98	0.00	500.98
91180	01/17/2019	64024	U S POSTAL SERVICE	6,130.09	0.00	6,130.09
91181	01/17/2019	4758	VASOUEZ. JOSEPH	2,618.07	0.00	2,618.07
91182	01/17/2019	3943	WATERLINE TECHNOLOGIES INC	1,753.75	0.00	1,753.75
91183	01/17/2019	17640	WAXIE ENTERPRISES INC	1,585.28	0.00	1,585.28
91184	01/17/2019	40925	WEST COAST ARBORISTS INC	21,202.05	0.00	21,202.05
91185	01/17/2019	37745	WESTERN EXTERMINATOR CO	250.00	0.00	250.00
91186	01/17/2019	50058	WHITE HOUSE FLORIST INC	1,199.03	0.00	1,199.03
91187	01/17/2019	4837	XEROX CORPORATION	2,735.83	0.00	2,735.83
91188	01/17/2019	3699	ALCALA. GUILLERMO	250.00	0.00	250.00
91189	01/17/2019	3699	ALVIDREZ. ANNA	250.00	0.00	250.00
91190	01/17/2019	3699	DELMOLIN. ERIKA	250.00	0.00	250.00
91191	01/17/2019	3699	FITZGERALD PLUMBING	225.00	0.00	225.00
91192	01/17/2019	3699	GRIECO. CINDY	250.00	0.00	250.00
91193	01/17/2019	3699	HUMPHREY. LISA	72.00	0.00	72.00
91194	01/17/2019	3699	JOHNSON. RUBY	250.00	0.00	250.00
91195	01/17/2019	3699	LAKEWOOD PACIFIC JR. FOOTBALL	250.00	0.00	250.00
91196	01/17/2019	3699	MAGALLON. ANJELICA	250.00	0.00	250.00
91197	01/17/2019	3699	MONTOYA DE TAPIA. NORA	240.00	0.00	240.00
91198	01/17/2019	3699	ONTIVEROS. ESTHER	540.00	0.00	540.00
91199	01/17/2019	3699	SIMKINS. NORMA	240.00	0.00	240.00
91200	01/17/2019	3699	VOULGARIS. NIKI ANN	250.00	0.00	250.00
Totals:				<u>1,152,110.05</u>	<u>6.10</u>	<u>1,152,103.95</u>

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COUNCIL AGENDA

January 22, 2019

TO: The Honorable Mayor and City Council

SUBJECT: Report of City Council Committees' Activities

INTRODUCTION

A brief update is provided for City Council review on the activities of the following standing committees: Water Resources Committee, Capital Improvement Project Committee, and the Budget Advisory Group.

STATEMENT OF FACT

On December 11, 2018, the Water Resources Committee met and discussed:

- Demonstration of the newly finished CIP project – upgraded new Supervisory Control and Data Acquisition (SCADA) system's ability to remotely monitor and control Lakewood's water system which includes wells, pumps, and the Storm Water Capture facility at Bolivar Park.
 - ✓ Awaiting approval of storm water sampling plan from Los Angeles County Department of Public Health
- Demonstration of the Fathom U2You Portal features that included leak alerts, consumption data computing, payments, water conservation and money saving tips.
- Update of Advanced Metering Infrastructure (AMI) project.
 - ✓ Full deployment of new smart meters achieved
 - ✓ Call Center satisfactory scale for the month of October is 9.61 (out of 10)
 - ✓ Paperless work order system achieved
 - ✓ Pilot project installing hydrant sensors in the Lakewood Mall area on schedule
 - ✓ Revenue Assurance Audit Report showed a revenue recovery
- The city's participation in the PUC testimony for the Golden State Water Company (GSWC) rate case yielded a 9.22%, 3-year rate reduction compared to the original proposed rate increase in July 2017.

On January 9, 2018, the Capital Improvement Project Committee met and discussed:

The Facilities Condition Assessment was explained. The purpose of the assessment is to identify facility needs, including ADA improvements, building maintenance and repairs, and to prioritize those needs. The 10-year renewal needs total is \$31 million, and the city's goal is to fund \$3.5 million per year for needs identified by the assessment.

Council Committees' Activities

January 22, 2019

Page 2

The committee also heard an update on the Americans with Disabilities Act. The city has contracted with Dahlin Group to begin making some ADA improvements to some of the park bathrooms, and to the Civic Center doors. To fund these projects, staff has applied for a new loan program offered by JPIA. Completing these upgrades will be helpful in reducing lawsuit costs.

At Rynerson Park, the prefab bathroom was installed, and demolition of the northerly bathroom will begin soon. The shelter slab has been poured. Ramps to the accessible bridge have been demolished.

The committee learned about a request from Biolargo, an environmental engineering company in Canada, who would like to conduct a pilot program at Bolivar Park using water from the storm water capture system. The pilot program involves filtering out some of the captured water and testing it through their equipment. It could be very beneficial to water treatment programs, especially in developing countries. The committee had questions about the potential impact to the park, the legal and logistical nature of their proposal and the specific benefit that the City of Lakewood would reap if it agreed to their request. Staff will come back to the committee with answers to those questions.

The committee will meet again in early February and discuss the Facilities Condition Assessment in more detail.

On January 14, 2018, the Budget Advisory Group met and discussed:

This was the third meeting of the Budget Advisory Group. The group, comprising community stakeholders, was given a summary of the December 4, 2018, meeting. The summary reiterated what was described as the “Lakewood Way” – the essential characteristics of the city that define who we are – which is a combination of the city programs, civically engaged community groups and residents, and a thriving business community that create the Lakewood we all know and love. The presentation highlighted the challenge facing the city in being able to maintain the “Lakewood Way” in an environment of shrinking fiscal resources and increasing costs to do business (i.e., the structural deficit that has emerged over the last couple of years driven largely by declining sales tax due to the growth of online sales). The discussion transitioned to how maintaining and preserving the city’s infrastructure and facilities adds to the structural deficit. A recent assessment of the city’s facilities indicated that the existing condition of city facilities was fair/poor, and that \$31 million will be need over the next 10 years to bring the facilities up to a more sustainable condition. As a result, adding the funds needed to maintain infrastructure will widen the gap between revenues and expenditures, eventually reaching approximately \$7.5 million annually by 2025. With that figure in mind, the discussion shifted to an examination of potential areas of spending that could be cut that would not (or would have minimal effect) on the “Lakewood Way.” The group engaged in a brainstorming session to contemplate this question, but found it difficult to come up with suggestions that would not have at least moderate impact on essential services. Nonetheless, the group did generate some ideas that staff will go back to analyze and bring back (in terms of potential budget savings) to the group at its next

meeting. In closing, the group was presented with the structural deficit reduction plans of other cities that have/are experiencing similar challenges to what Lakewood is going through. Most of those plans involve a combination of strategic cuts and some type of revenue increase. These ideas (in greater detail) will be the subject of the group's fourth meeting to be held in February.

RECOMMENDATION

It is recommended that the City Council receive and file this report.

A handwritten signature in black ink, appearing to read 'Thaddeus McCormack', with a long, sweeping flourish extending to the right.

Thaddeus McCormack
City Manager

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COUNCIL AGENDA

January 22, 2019

TO: The Honorable Mayor and City Council**SUBJECT:** Monthly Report of Investment Transactions – December 2018**INTRODUCTION**

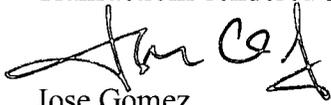
In accordance with California Government Code Section 53607, the City Council has delegated to the City Treasurer the responsibility to invest or to reinvest funds, or to sell or exchange securities so purchased. The California Government Code Section 53607 requires that, if such responsibility has been delegated, then the Treasurer “shall make a monthly report of those transactions to the legislative body.” In compliance with this requirement, the Monthly Report of Investment Transactions is being rendered to be received and filed.

STATEMENT OF MONTHLY ACTIVITY

<u>Date</u>	<u>Amount at Cost</u>	<u>Vehicle</u>	<u>Transaction</u>
12/03/2018	\$ 78.38	MMF	Interest
12/05/2018	\$ 8,375.89	CD	Interest 3.080%
12/05/2018	\$ 1,485.00	CORP	Interest 1.800%
12/05/2018	\$ 49,521.22	NOTE	Sell 1.000%
12/05/2018	\$ 3,150.00	CORP	Interest 1.800%
12/06/2018	\$ 104,712.30	CORP	Purchase 3.250%
12/07/2018	\$ 1,486.25	CORP	Interest 2.050%
12/07/2018	\$ 8,860.50	CD	Interest 3.240%
12/10/2018	\$ 718,499.17	FNMA	Sell 1.000%
12/12/2018	\$ 730,388.64	NOTE	Purchase 2.875%
12/14/2018	\$ 173,432.78	FNMA	Sell 1.000%
12/14/2018	\$ 1,100.00	CORP	Interest 2.200%
12/16/2018	\$ 1,250.00	CORP	Interest 3.000%
12/17/2018	\$ 180,255.70	FHMS	Purchase 3.203%
12/20/2018	\$ 800,000.00	LAIF	Withdrawal
12/22/2018	\$ 731.25	CORP	Interest 1.950%
12/23/2018	\$ 3,972.22	CORP	Interest 3.125%
12/25/2018	\$ 2,089.30	FNMA	Paydown 1.646%
12/25/2018	\$ 4,771.07	FNA	Paydown 3.560%
12/25/2018	\$ 3,553.28	FNMA	Paydown 1.898%
12/25/2018	\$ 7,427.70	FNMA	Paydown 1.785%
12/25/2018	\$ 33.17	FNMA	Interest 1.646%
12/25/2018	\$ 12.77	FNMA	Interest 1.785%
12/25/2018	\$ 11.45	FNMA	Interest 1.898%
12/25/2018	\$ 672.24	FNA	Interest 1.898%
12/26/2018	\$ 465,000.00	LAIF	Withdrawal
12/31/2018	\$ 14,828.13	TREAS	Interest 1.625%
12/31/2018	\$ 10,500.00	TREAS	Interest 1.750%
12/31/2018	\$ 93.36	CAMP	Interest 2.460%

RECOMMENDATION

It is recommended that the City Council receive and file the Monthly Report of Investment Transactions rendered for the month of November 2018.



Jose Gomez
Director of Finance & Administrative Services



Thaddeus McCormack
City Manager

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COUNCIL AGENDA

January 22, 2019

TO: Honorable Mayor and City Council

SUBJECT: Completion of Capital Improvement Project of SCADA System Upgrades

INTRODUCTION

On December 12, 2017, the City Council approved a contract with Macro Automatics Corporation for \$98,336 for Supervisory Control and Data Acquisition (SCADA) System Upgrades. This Capital Improvement Project was scheduled to be complete by the end of FY 2018; however, work on the Bolivar Park stormwater capture project caused the SCADA System Upgrades to fall behind schedule. Therefore, at the June 26, 2018 meeting, the City Council approved the first amendment to the professional service agreement with Macro Automatics Corporation to extend the completion of their contract until June 30, 2019.

SUMMARY

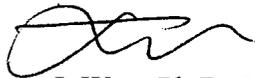
Macro Automatics Corporation completed the SCADA system upgrades in December 2018 to the satisfaction of staff. The original capital improvement budget for SCADA system upgrades was \$200,000 in FY 2017/18 with the contract awarded for \$98,336 (12% contingency included) on December 12, 2017. With the added pump control feature, the overall project cost was \$100,741.02; therefore, staff is requesting an appropriation of \$2,405.02 to the contract with Macro Automatics Corporation from the remaining budget of the SCADA system upgrade capital improvement project.

FISCAL IMPACT

The City Council approved SCADA system upgrade capital improvement project budget for \$200,000 in FY 2017/18; with the final project completion cost of \$100,741.02.

RECOMMENDATION

Staff recommends that the City Council appropriate \$2,405.02 from the SCADA System Upgrades Capital Improvement Budget to the Macro Automatics Corporation contract and accept the contracted work performed by Macro Automatics for SCADA upgrades project.



Jason J. Wen, Ph.D., P.E.
Director of Water Resources



Thaddeus McCormack
City Manager

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COUNCIL AGENDA

January 22, 2019

TO: The Honorable Mayor and City Council

SUBJECT: Notice of Completion – Public Works Contract 16-05
Stormwater & Runoff Capture at Bolivar Park

INTRODUCTION

Reyes Construction, Inc., the general contractor on Public Works Contract 16-05, has completed work for the project. This project was funded through a \$11M grant from Caltrans.

STATEMENT OF FACT

On September 27, 2016, Council awarded a contract to Reyes Construction, Inc. (Reyes), in the amount of \$8,598,768. At the same time as the contract award, Council authorized a \$500,000 allowance for change orders.

Nine (9) change orders (COs) were negotiated throughout the construction period totaling \$450,226.00 resulting in a final contract amount of \$9,048,994.00. These change orders summarized on Attachment A.

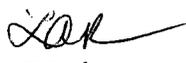
The contract was substantially complete in May 2018. Since that time, Reyes Construction's staff and subcontractors have addressed all items on the project punch list, and continue to address items under the warrant phase of the work.

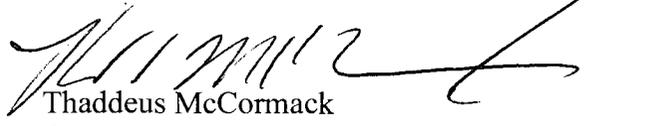
There is one remaining claim by the electrical subcontractor, LEED Electrical that relates to a disagreement over disputed work. This matter can be handled separate from the closing of the contract with Reyes Construction. The City Attorney and Public Works staff have held meetings and are in the midst of dealing with this claim. Should a settlement be reached, Caltrans has stated that it can be handled outside the contract as a project cost. Staff recommends issuing the NOC.

RECOMMENDATION

It is the recommendation of Staff that the City Council:

- (1) Accept the work performed by Reyes Construction in a final contract amount of \$9,048,994, and authorize the City Clerk to file the Notice of Completion for Public Works Contract 2016-05.
- (2) Ratify Change Order Nos. 1-9 in the net additional amount of \$450,226 to Public Works Contract 2016-05.

Lisa Ann Rapp 
Director of Public Works


Thaddeus McCormack
City Manager

Attachment A
 Change Order Summary

CO No.	Description	Total Cost
1	Opti Integration System, Additional hydro punches	\$22,325
2	Existing water line investigation, pot holing and water line connections	\$24,360
3	Remove and dispose of unacceptable soils at the pump station (abandoned storm drain)	\$110,453
4	Drop inlet structure modifications	\$14,304
5	Picnic Shelter A&B Abatement, Asbestos pipe and conduit removals, Electrical modifications per revised "E" sheets, hose bib connections at the pool, Switchgear and MCC pad modifications, leveling pad for Rubber Dam, Picnic Shelter A&B footing modifications	\$134,719
6	Picnic Shelter "C" abatement and footing modifications	\$14,170
7	Flap gate on SD line "E", area drain and outlet for pool, electrical additions to building extension, two stilling wells and guards.	\$56,148
8	Whaso discharge line to infiltration galleries	\$28,453
9	Rubber dam airline guard, 100 AMP breaker for switchgear panel, food prep tables at picnic shelters, asphalt concrete additions on service road, additional irrigation per sheets L-3 and L-7, unforeseen water and dry utilities at the building extension, adjust MH to grade at pump station, 3 pole 20 AMP 208V breaker for MOV 50	\$45,294
	Total	\$450,226

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COUNCIL AGENDA

January 22, 2019

TO: The Honorable Mayor and City Council

SUBJECT: Architectural Services Authorization – Dahlin Group – Civic Center Doors

INTRODUCTION

The City of Lakewood established a consulting Architectural Services on-call agreement with the Dahlin Group in December 2018. The City needs to update the doors to the city buildings in the Civic Center to enhance accessibility, and Dahlin has provided a proposal under their on-call agreement for the services to accomplish this work.

STATEMENT OF FACT

The proposal for the upgrade of select doors at the Civic Center buildings to provide ADA accessibility includes the installation of automatic doors at the Clark Avenue doors to City Hall, the doors that lead to the Council Chamber lobby, and the doors to the Centre. For the Centre, Dahlin Group will analyze both sets of entry doors to the main lobby to determine which of the two sets of doors are most easily changed, as well as assuring that the path of travel and parking is also accessible. The Dahlin Group will prepare preliminary plans for review and final plans and specifications suitable for bidding as a public works contract. They will also prepare an estimate and a schedule. It would be staff's intention to bid all three sets of doors in the same bid package, and to get the work done as soon as possible. Dahlin Group is ready to begin work immediately upon authorization of the agreement. Their fee for this scope of work will not exceed \$48,250.

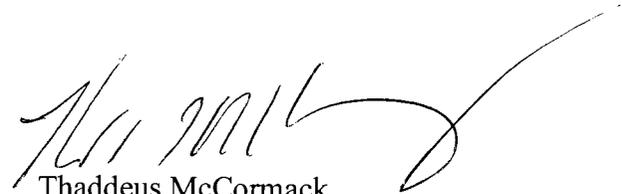
This project has not been budgeted in our current Capital Improvement Program, but is necessary to achieve compliance with ADA standards. Staff has an application to the new CJPIA Low-interest ADA Loan Program, and staff intends that both the design and construction expenses for this project be transferred to this loan, once it is finalized and received.

RECOMMENDATION

Staff recommends that the City Council:

- (1) Authorize work for the ADA Door Improvements in an amount not-to-exceed \$48,250.
- (2) Appropriate \$48,250 from the General Fund assigned fund balance - Capital Replacement and Repair for this project.

Lisa Ann Rapp 
Director of Public Works


Thaddeus McCormack
City Manager

January 17, 2019

WORK AGREEMENT

CITY OF LAKEWOOD DOOR HARDWARE UPGRADES FOR SELECT DOORS AT THE CIVIC CENTER CAMPUS A Municipal Project in LAKEWOOD, California

Dahlin Group, Inc. ("DAHLIN") will provide architectural services to CITY OF LAKEWOOD ("CITY"), for the DOOR HARDWARE UPGRADES FOR SELECT DOORS AT THE CIVIC CENTER CAMPUS ("PROJECT") in LAKEWOOD, California, based on the following Scope of Work and Fee noted herein, including the terms and conditions set forth in the Master Services Agreement dated December 11, 2018.

I. PROJECT DESCRIPTION

- A. **Site Locations:** PROJECT will perform accessibility upgrades to the existing door hardware / entrances at the following CITY facility locations in Lakewood, California:
1. Lakewood City Hall; 5050 Clark Avenue; Primary Lobby Entry Doors (2 sets); Secondary Entry Door (1 set);
 2. Lakewood City Hall; 5050 Clark Avenue; Primary Accessible and EV Parking Area;
 3. The Centre; 5000 Clark Avenue; Primary Lobby Entry Doors (2 sets).
- B. **General Project Description:** Accessibility reports have been prepared for CITY by Disability Access Consultants, dated November 24, 2015 – December 7, 2015, based on the review date for each of the above noted locations. These reports identify accessibility code deficiencies for the areas at each of the existing buildings. PROJECT will focus on correcting the accessibility issues related to the building entry doorways noted in the building reports. Additional accessibility upgrades to the buildings noted in the reports will be contemplated under separate Agreements at a later date.
- C. **Existing Conditions:** Design for demolition, repair and replacement work on the existing site, building and utility systems will be limited to the extent necessary to accommodate the construction of PROJECT. Design for upgrade or corrective work for deficiencies in the existing conditions, not defined in PROJECT scope, are not included. Deficiencies uncovered during design or construction may be provided as Additional Services by written request of the CITY.
1. DAHLIN understands that limited existing information exists for the existing civic buildings noted above for review. DAHLIN will utilize the PDF information provided by CITY along with the written reports as the basis to initiate project work.
 2. DAHLIN assumes there is sufficient power capacity and local area connections for installing the new power assist operators at the doors within the existing building power system and sub-panels. Detailed power analysis and building power upgrades are not included in this scope of service.
- D. **Project Consultants:** This Scope of Work is for Architectural, Electrical, and Cost Estimation services noted below.
- E. **Statement of Probable Cost:** DAHLIN will provide anticipated estimates of construction cost as a part of their services under this contract. Any evaluations of cost data, budgets, or estimates made by DAHLIN, shall not be interpreted as a guarantee of maximum cost for the Project work,

as factors beyond the control of CITY and DAHLIN such as variances in public bid climate may create a variance in overall construction costs.

F. **Design and Construction Approaches:**

1. DAHLIN understands CITY plans to construct the project as a single project via Public Bid to a General Contractor. DAHLIN will generate the drawing package to support this delivery method.

G. **Code Cycle:** The design and construction documents shall be based upon applicable sections of the current (as of the date of this Fully Executed Agreement) code and local regulations.

H. **Electronic Drawing Submittal Requirements:** DAHLIN will provide final deliverable documents to CITY in Adobe PDF or compatible version for their uses.

I. **Green Building Requirements:** PROJECT is required by California Law to comply with current 2016 California Energy Code and California Green Building Standards Codes for base level mandatory measures. Work required for permit documentation of these elements, in compliance with California State Law, will be provided within the base services by DAHLIN, for the portions of PROJECT under DAHLIN's direct control. It is understood PROJECT will NOT be submitted to any outside certification / awarding parties for independent review, such as LEED, WELL, or Green Globes.

J. **Fundamental Building Commissioning:** It is not anticipated that any aspects of Fundamental Building Commissioning (Cx) are required.

II. SCOPE OF SERVICES

A. AS BUILT DOCUMENTATION

1. DAHLIN will make one (1) site visit to each location of PROJECT to perform limited as-built survey of the existing visible conditions related to the doors on both the approach and exit sides of the door pairs. DAHLIN will measure the existing spaces and adjacent site areas to document the visible construction elements necessary to document the work contemplated. The review will include all visible architectural and structural elements, along with existing visible primary MPE elements essential to the project documentation work. DAHLIN will provide any final as-built information generated to the CITY for their uses.
2. DAHLIN will photograph the existing conditions to document the condition of the doorways and general areas.
3. DAHLIN will not perform destructive demolition work in order to attempt to document existing building systems and utilities concealed from view within existing construction. If, at the request of the CITY, additional as-built documentation, including detailed analysis of the existing building structures, destructive demolition to expose concealed building systems and utilities, and all associated repair work to bring the demolished conditions back to current standards, can be provided as Additional Services.
4. DAHLIN will attend meetings and presentations as noted in Section II.J.
5. *Deliverables*; DAHLIN will provide CITY with all generated plans (floor and ceiling), and exterior site plans of the existing building conditions, and will turn over all photographs taken at each facility for CITY uses.

B. SITE PLANNING *Included in Section below*

C. SCHEMATIC DESIGN (ACCESSIBILITY CORRECTION LAYOUT)

1. Based upon CITY provided documents, and the generated as-built backgrounds, DAHLIN will prepare Schematic Accessibility Correction Drawings to include the following:
 - a. Demolition plans, showing proposed site areas and building elements for demolition and removal;
 - b. Schematic building and site plan, showing proposed new construction for access compliance;
 - c. Product cut-sheet package, indicating the proposed new automatic door hardware to be used for each doorway installation;
2. CITY will provide review and comment on the schematic layout plans and cut-sheet product data. DAHLIN will revise the schematic layouts and proposed product data based on comments from CITY and will prepare the documents for final approvals.
3. DAHLIN will attend meeting with CITY and CITY Chief Building Official and Fire Marshal to review any interpretations of applicable codes that will be required to be settled prior to the start of the construction documents phase of work.
4. DAHLIN will attend meetings and presentations as noted in Section II.J.

D. ENTITLEMENT REVIEW SUBMITTAL DOCUMENTS *Not Included in this Agreement*

E. ENTITLEMENT REVIEW PRESENTATIONS & REVISIONS *Not Included in this Agreement*

F. DESIGN DEVELOPMENT *Not Included in this Agreement*

G. CONSTRUCTION DOCUMENTS (ACCESSIBILITY CORRECTION)

1. Based on CITY approval of the Schematic layouts noted above, DAHLIN will generate Construction Documents for the above locations.
2. Accessibility Construction Documentation; DAHLIN will generate a single set of accessibility drawings that includes work for each location, suitable for construction of the intended accessibility upgrade work. We anticipate the drawing package will include the following:
 - a. Title Sheet and CITY-required Project Data;
 - b. Accessibility Notes and Details;
 - c. Demolition Floor Plans (Overall / Enlarged where required);
 - d. Construction Floor Plans (Overall / Enlarged where required);
 - e. Exterior and Interior Elevations;
 - f. Door Hardware Schedules;
 - g. Architectural Details;
 - h. Electrical Floor Plans;
 - i. Electrical Details;
 - j. Book Type Specifications.
3. DAHLIN will provide progress submittals to CITY for review and comment at the following intervals:
 - a. 50% Construction Documents; Draft specifications.
 - b. 100% Construction Documents; Final specifications.
4. At the completion of Accessibility Construction Documentation, DAHLIN will provide specification book sections in a “Master Spec” format. DAHLIN will coordinate with CITY staff to include their standard bid forms, bid requirements, and related sections into the final specification book.

5. DAHLIN will attend meetings and presentations as noted in Section II.J.

H. GOVERNMENT PLAN CHECK

1. After completion of Construction Documents, DAHLIN will provide copies of Construction Documents to CITY for submittal to CITY's Building Department for building permit. CITY may elect to send these documents to subcontractors for Plan Check review.
2. DAHLIN will revise the documents as required by CITY and/or its Plan Check subcontractor for Plan Check comments returned to DAHLIN.

I. BIDDING

1. Permit Processing and Bidding Assistance services will be provided by DAHLIN as may be requested by CITY.
2. CITY shall provide utility agency and government agency management, filing, payment, processing of fees, preparation of title reports, and similar documentation as may be required.
3. If required, advertising for and solicitation of construction bidders shall be provided by CITY and is not included in DAHLIN's Scope of Services.
4. DAHLIN will coordinate the reproduction of Construction Documents for distribution to CITY, construction bidders and others, as may be required for PROJECT. The costs for printing or other reproduction of the Construction Documents shall be invoiced as a Reimbursable Expense.
5. During the Bidding phase, DAHLIN will participate in a pre-bid conference and the bid-opening if requested, and will provide consultation as requested by CITY for architectural opinions on the bids, methods, and cost of construction. DAHLIN will also answer bidding questions, issue addenda, and prepare additions and revisions to Construction Documents as clarifications for bid purposes as may be required.

J. MEETINGS AND PRESENTATIONS

1. This section applies to meetings and presentations that occur up to the commencement of the Bidding and Construction Administration Phase. Meetings and presentations during Construction Administration are referenced in Section II.K below. DAHLIN's fee estimate includes:
 - a. Up to three (3) meetings with CITY at their offices.
2. Coordination meetings between DAHLIN and DAHLIN's consultants are included within the basic Scope of Services
3. Except as noted above, all time (including travel time) associated with PROJECT meetings and presentations attended by DAHLIN or DAHLIN's consultants at the request of CITY will be invoiced on an hourly basis as Additional Services.

K. CONSTRUCTION ADMINISTRATION

1. Prior to start of Construction, DAHLIN, CITY, and their selected General Contractor will have a pre-construction meeting to establish expectations for performance of Construction Administration and the scope of services described below. If the scope of services described

below for Construction Administration are adjusted during or after this meeting, Additional Services may be required.

2. The General Contractor will be solely responsible for the appropriateness and quality of construction methods, job safety, and final project. DAHLIN will not supervise, direct or have control over General Contractor's work, and DAHLIN will not have authority over or responsibility for the construction means, methods, techniques, sequences or procedures or for safety precautions and programs in connection with the work of the General Contractor. DAHLIN provides no warranty or guarantee of either the final project or of a duty to any person or entity beyond the information contained in the Construction Documents and does not assume responsibility for the General Contractor's failure to furnish and perform its work in accordance with the Construction Documents.
3. As the PROJECT proceeds into Construction, DAHLIN will provide Construction Administration services, limited to 32 hours of professional services. The scope and fee herein are based on and estimated one (1) month construction period. Services required beyond that period and/or beyond the estimated hours will be provided as additional services. Scope may include:
 - a. Interpretation of the documents and responding to Requests for Information (RFI's);
 - b. Reviewing and processing submittals limited to;
 - i. Review of initial submittal and one (1) re-submittal per product or material classification.
 - ii. Additional review of submittals will be provided as an additional service.
 - c. Issuing Bulletins and processing Change Orders as required;
 - d. Project meetings and site visits limited to:
 - i. DAHLIN – One onsite meeting at the mid-point of construction and one (1) punch list site visits;
 - ii. DAHLIN consultants – One (1) punch list site visit per discipline.
 - e. DAHLIN assumes CITY will work directly with General Contractor to obtain all project close out documents. We assume no site meeting time associated with this task.

L. CONSULTANT SERVICES

1. DAHLIN will provide the following consultant(s) as part of its services: Electrical and Cost Estimator.
4. The electrical engineering will be provided by DAHLIN's consultant and will include the usual and customary drawings, calculations, specifications, and Title 24 compliance:
 - a. Design of power distribution and signal systems for door operators;
2. Cost Estimating will be provided by DAHLIN's consultant and will include the following services:
 - a. Cost Estimates at the completion of Construction Documents phase.

III. OWNER RESPONSIBILITIES

- A. CITY shall be responsible for CITY's directive or substitution made without DAHLIN's approval. CITY shall defend, indemnify and hold harmless DAHLIN from any claims or demands arising out of a directive or substitution made by CITY without DAHLIN's approval.
- B. CITY shall be responsible for the preparation and submission of applications to any building department, agency, or governing body having jurisdiction over PROJECT, including the paying of any and all fees associated with required applications.

IV. MISCELLANEOUS

- A. DAHLIN and its employees, independent professional associates, subconsultants, and subcontractors will exercise that degree of care and skill ordinarily practiced under similar circumstances by design professionals providing similar services. CITY agrees that services provided will be rendered without any warranty, express or implied.
- B. DAHLIN shall exercise usual and customary professional care in its efforts to comply with applicable and non-conflicting codes, regulations, laws, rules, ordinances, and such other requirements in effect as of the date of execution of this Agreement.

V. ADDITIONAL SERVICES

- A. Additional Services are not included in DAHLIN's Scope of Services as described in Section II of this Agreement. If during the course of performing its Scope of Services under this Agreement, DAHLIN determines that services beyond those described in Section II are required, DAHLIN will notify CITY in writing as to necessity of such services. The following list constitutes Additional Services:
 - 1. Revisions made necessary due to inconsistent approvals or instructions previously given by CITY, including adjustments in CITY's program.
 - 2. Revisions required by the enactment, revised interpretation, jurisdictional differences in interpretation, or revision of codes, zoning or building ordinances, laws or regulations subsequent to the preparation of documents.
 - 3. Revisions required by discretionary decisions of building officials and inspectors inconsistent with prior approvals provided, or the building code.
 - 4. Preparation of documents for alternate, fast track, separate or sequential bids or providing services in connection with bidding, negotiation or construction prior to the completion of the Construction Documents phase of services. These services include phased submittals for separate permit and Plan Check submittals for any phase following model completion. CITY acknowledges that DAHLIN shall not be held liable for any additional construction cost as a result of CITY's election to proceed in this manner.
 - 5. Providing services required because of changes in PROJECT, including, but not limited to size, quality, complexity, CITY's schedule or CITY's method of bidding or negotiating and contracting for construction.
 - 6. Improvements required by PROJECT that are beyond PROJECT work area or the above noted scope of work.
 - 7. Performing the services of or in connection with consultants other than those specified under the Scope of Services as described in Section II of this Service Order.
 - 8. Any additional site visits beyond those included within the Scope of Services as described in Section II of this Service Order.
 - 9. Preparation of as-built drawings which reflect field modifications during or after construction work is completed.
 - 10. In-Person submittals for entitlements, permitting, plan check, or other required submittals, and all associated fees required as a part of these submittals.

11. Providing any other services not otherwise included within the Scope of Services described in Section II of this Service Order.

VI. COMPENSATION

A. ARCHITECT’S FEE SUMMARY

SCOPE OF SERVICES	HOURLY FEE
II.A. PH 10.1 AS BUILT DOCUMENTATION	\$5,250
II.B. PH 30.0 - SCHEMATIC DESIGN (ACCESSIBILITY COCORRECTION LAYOUT)	\$3,500
II.C. PH 50.0 - CONSTRUCTION DOCUMENTS - ARCHITECTURE	\$12,500
PH 50.4 – CONSTRUCTION DOCUMENTS - COST ESTIMATE	\$5,750
PH 50.5 – CONSTRUCTION DOCUMENTS – ELECTRICAL ENGINEERING	\$9,500
II.I. PH 60.0 - BIDDING	\$3,000
II.J. PH PH 15.0 - MEETINGS	\$2,750
II.K. PH 70.0 - CONSTRUCTION ADMINISTRATION	\$4,000
PH 90.0 – ESTIMATED REIMBURSEABLE BUDGET	\$1,500
TOTAL HOURLY FEE	\$48,250

B. BILLING AND PAYMENT

1. If work is stopped for a total of more than sixty (60) calendar days, compensation shall be subject to re-negotiation.
2. In the event of termination due to the fault of parties other than DAHLIN, compensation will be paid to DAHLIN for services performed up to the date of termination based on percentage completion of work phase.
3. Invoices shall be based on the percentage of completion of the contract and shall be issued monthly via email to the Project Representative indicated in this Agreement. Invoices are due upon presentation. CITY shall review invoices and contact DAHLIN within seven (7) days if there are any questions or problems that would delay payment. Invoices become delinquent if not paid within 30 days of invoice date. Services may be suspended if not paid within forty-five (45) days, and DAHLIN shall not be liable to CITY for delay or damage resulting to CITY due to such suspension of services. Past due invoices are subject to a late charge of 1.5 percent per month. Late charges are not included in the fee.

C. PROJECT REPRESENTATIVES

1. CITY's Project Representatives are named and authorized to direct DAHLIN, and approve plans, changes in PROJECT scope, changes in PROJECT fees, amendments to the Agreement, and Additional Services and fees.
 - b. CITY's Project Representative(s) will be Lisa Ann Rapp.
 - c. DAHLIN's Project Representatives will be Gregor Markel.

Approved this date: _____
(Filled in by CITY)

CITY OF LAKEWOOD

Lisa Rapp
Director of Public Works

5050 N. Clark Avenue
Lakewood, CA 90712

VII. ANTICIPATED PROJECT SCHEDULE

A. The following is an anticipated schedule for PROJECT:

1. Notice to Proceed / Contract	Start of Project Work
2. As-Built Survey and Documentation	1 Week
3. Schematic Design Layouts	1 Week
4. CITY review and comment	2 Weeks
5. Final Design Layouts	1 Week
6. Construction Documents to 50%	2 Weeks
7. CITY review and comment	2 Weeks
8. Construction Documents 100%	2 Weeks
9. CITY review and comment	2 Weeks
10. Final Construction Documents with Final Specifications	1 Week
11. Plan check review / Permit	4 Weeks
12. Cost Estimate	2 Weeks (parallel w/ Plan Check)
13. CITY final review of documents and Authorization to bid	2 Weeks
14. Bid Period	4 Weeks
15. Bid Award and Contract Negotiation	2 Weeks
16. Mobilization and Construction	4 Weeks
17. Project acceptance and turn over	1 Week
Project Total	33 Weeks

CITY may convey a desired schedule for the performance of DAHLIN's services. DAHLIN recognizes the importance of CITY's overall project schedule, and will exert every reasonable effort to complete its scope of services as expeditiously as is consistent with professional skill and care and the orderly progress of PROJECT. DAHLIN cannot provide schedule guarantees. CITY recognizes that the target schedule dates and time periods are based on certain tasks or milestones outside of DAHLIN's control, including timely design review and approvals of submissions by CITY and governing entities, and tasks which are dependent on services and deliverables expected to be provided on a timely basis by CITY, CITY's Consultants, and CITY's General Contractor.

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Reports

COUNCIL AGENDA

January 22, 2019

TO: Honorable Mayor and City Council

SUBJECT: City Investment Policy and Quarterly Schedule of Investments –
as of December 31, 2018

INTRODUCTION

Lakewood's investment policy is reviewed and approved by the City Council annually, as required by law, the last time being in January 2018. As expressed in our Investment Policy, the city's investment objectives continue to be safety, liquidity and yield in the investment of public funds.

STATEMENT OF FACTS

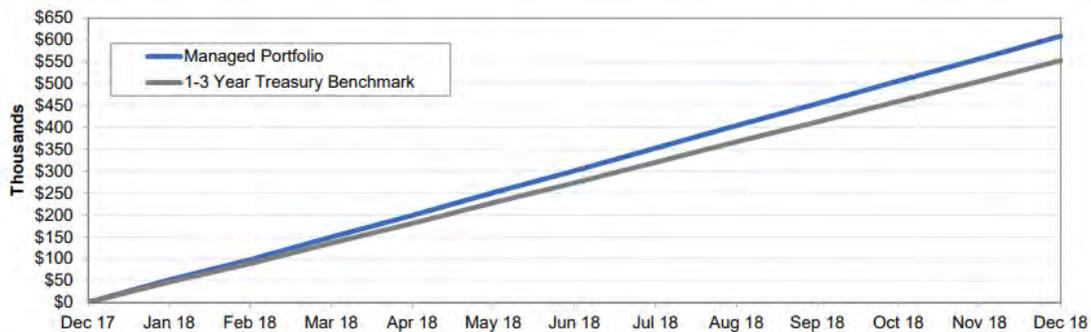
Attached is a copy of the city's updated investment policy being recommended, along with a separate "redline" version showing the proposed changes. It includes the addition of asset-backed securities to the list of authorized investments and some slight language changes that will enhance the policy's clarity. The city's first policy was adopted in 1980, and has been periodically updated since then to conform to sound investment practices and laws. The policy was last updated in January 2015 to add supranationals to the list of suitable investments, previously in January 2011 commercial paper, and in January 2012 corporate notes were added.

The City's investment portfolio includes funds managed by Public Financial Management (PFM) as well as deposits in the Local Agency Investment Fund (LAIF - a state-wide pooled account), and the California Asset Management Program (CAMP - a joint powers authority established to provide public agencies with professional investment services).

In addition to reviewing the city's investment policy, PFM's performance is also evaluated annually. This performance review includes a comparison of PFM's returns to a market index. Since inception, the portfolio under PFM's management has gained greater total return than the benchmark, the 1-3 Year Treasury Index provided by Bloomberg Financial Markets. The following tables show the one-year and "since inception" (2007) reviews of portfolio performance net fees and based on an average portfolio size of \$35 million and \$41 million, respectively. The table for the one-year review shows the portfolio exceeding the benchmark by 16 basis points – earning an additional \$21,500 over the year, net of fees for the city. The second table and graph indicate that portfolio exceeded the benchmark by 17 basis points – earning an additional \$312,576 over approximately 11 years, net of fees for the city.

One Year Benchmark Comparison

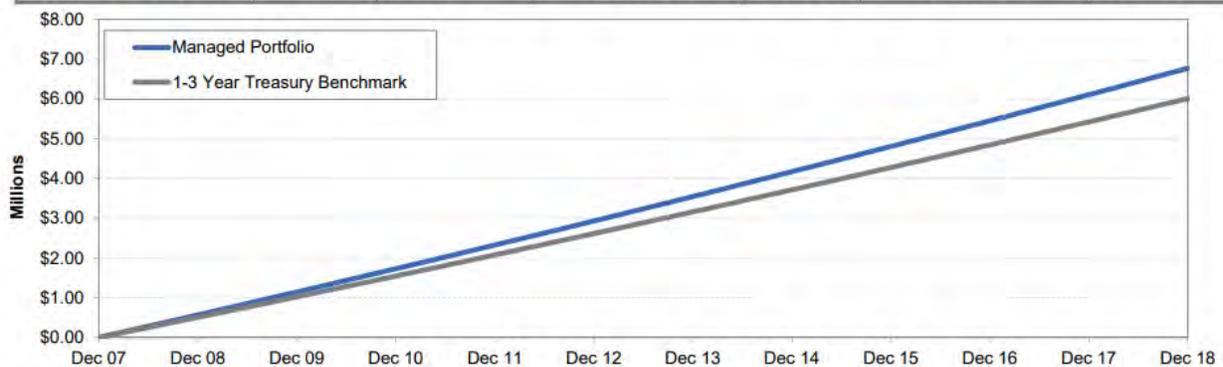
December 31, 2017 – December 31, 2018						
Investment Vehicle	Duration ²	Annual (2018) Performance	Cumulative Gross Value of \$35 million ⁴	Gross Earnings	Cumulative Net Value of \$35 million ⁴	Net Earnings ⁵
Managed Portfolio	1.61	1.74% ³	\$35,609,000	\$609,000	\$35,574,000	\$574,500
1–3 Year Treasury Index ¹	1.81	1.58%	\$35,553,000	\$553,000	\$35,553,000	\$553,000



1. Source: ICE BofA Merrill Lynch Global Bond Indices.
2. Duration is based on the City of Lakewood's portfolio and the ICE BofA Merrill Lynch Index as of December 31, 2018.
3. Annual return is based on City of Lakewood's portfolio from December 31, 2017 to December 31, 2018.
4. Assumes no withdrawals and that all funds are reinvested.
5. Assumes 0.10% (annualized) fees on only PFM managed portfolio.

Since Inception Benchmark Comparison

December 31, 2007 – December 31, 2018						
Investment Vehicle	Duration ²	Since Inception Performance	Cumulative Gross Value of \$35 million ⁴	Gross Earnings	Cumulative Net Value of \$35 million ⁴	Net Earnings ⁵
Managed Portfolio	1.61	1.62% ³	\$41,773,081	\$6,773,081	\$41,332,786	\$6,322,786
1–3 Year Treasury Index ¹	1.81	1.45%	\$41,010,210	\$6,010,210	\$41,010,210	\$6,010,210



1. Source: ICE BofA Merrill Lynch Global Bond Indices.
2. Duration is based on the City of Lakewood's portfolio and the ICE BofA Merrill Lynch Index as of December 31, 2018.
3. Inception return is based on City of Lakewood's portfolio from December 31, 2007 to December 31, 2018.
4. Assumes no withdrawals and that all funds are reinvested.
5. Assumes 0.10% (annualized) fees on only PFM managed portfolio.

Summary - Schedule of Investments as of December 31, 2018

MANAGED PORTFOLIO

Security Type	Market Value	% of Portfolio	% Change vs. 9/30/18	Permitted by Policy	In Compliance
U.S. Treasury Notes	\$ 14,843,487	41.0%	4%	100%	X
Corporate Notes	8,556,872	23.7%	1%	30%	X
Negotiable CDs	5,281,980	14.6%	0%	30%	X
Federal Agency	4,301,780	11.9%	-7%	100%	X
Supranationals	2,332,867	6.5%	0%	30%	X
Municipal Bonds	434,617	1.2%	0%	30%	X
Federal Agency CMO	429,861	1.2%	1%	30%	X
Securities Sub-Total	\$ 36,181,464	99.8%			
Accrued Interest	183,978				
Money Markey Fund	88,395	0.2%			
Total Managed Portfolio	\$36,453,837	100.0%			

POOLED INVESTMENT ACCOUNTS

Market Value

LAIF	State of CA	\$9,849,347.80
CAMP	US Bank	\$44,708.98
		<u>\$9,894,058.78</u>

BANK ACCOUNTS

Balance

City - Checking	F&M	\$3,514,516.43
City Payroll	F&M	\$543,799.79
Successor Housing - Checking	F&M	\$1,954,964.18
		<u>\$6,013,280.40</u>

Funds held in reserve as required by debt issuance or non-agency funds - not available for City expenditures:

Successor Agency – Checking	F&M	\$474,801.90
Business Dev Loan MMKT	F&M	\$796,936.75
		<u>\$1,271,738.65</u>

Total Portfolio

\$53,544,516.83

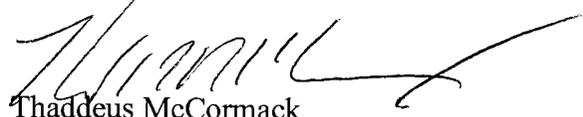
Attached is a more detailed report provided by Public Financial Management (PFM), the City's investment advisor.

RECOMMENDATION

Staff recommends that the Council adopt the attached Investment Policy, continue the investment management services of PFM, and receive and file the Quarterly Schedule of Investments for December 31, 2018.



Jose Gomez
Director of Administrative Services



Thaddeus McCormack
City Manager

CITY OF LAKEWOOD
INVESTMENT POLICY
January 22, 2019

I. Scope

This policy applies to the investment of the City of Lakewood's ("City") applies to all funds accounted for in the annual budget. Funds of the City will be invested in compliance with the provisions of, but not necessarily limited to California Government Code Section 53601 et seq. and other applicable statutes. Investments will be in accordance with these policies and written administrative procedures. This policy does not regulate the investment of bond proceeds.

II. Objectives

The objectives, in priority order, of the City of Lakewood's investment activities shall be:

Safety. Safety of principal is the primary and most important objective of the investment program. Investments of the City will be made in a manner that seeks to ensure the preservation of capital in the overall portfolio. To attain this objective, the City will endeavor to mitigate credit and market risk.

Liquidity. The City's investment portfolio will remain sufficiently liquid to enable the City to meet all operating requirements which might be reasonably anticipated. This will be achieved through maturity diversification and purchases of securities with an established secondary market.

Yield. The City's investment portfolio shall be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints, liquidity needs, and cash flow characteristics of the portfolio. Return on investment is of secondary importance compared to the safety and liquidity objectives described above.

III. Standards of Care

Prudence

The City of Lakewood adheres to the guidance provided by the "prudent investor" standard (CA 53600.3) to insure that:

"When investing, reinvesting, purchasing, acquiring, exchanging, selling, or managing public funds, a trustee shall act with care, skill, prudence, and diligence under the circumstances then prevailing, including, but not limited to, the general economic conditions and the anticipated needs of the agency, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the agency. Within the limitations of this section and considering individual investments as part of an overall strategy, investments may be acquired as authorized by law."

This standard of prudence is to be used by all investment staff and will be applied in the context of managing an overall portfolio.

Ethics and Conflicts of Interest

Officers and employees involved in the investment process shall refrain from personal business activity that could conflict with the proper execution and management of the investment program, or that could impair their ability to make impartial decisions. Employees and investment officials shall disclose any material interests in financial institutions with which they conduct business. They shall further disclose any personal financial/investment positions that could be related to the performance of the investment portfolio. Employees and officers shall refrain from undertaking personal investment transactions with the same individual with whom business is conducted on behalf of the City.

Delegation of Authority

The authority to invest City funds is delegated to the Administrative Services Director for a period of one year. Subject to review, the City Council may renew the delegation of authority each year. The Administrative Services Director and his/her designated staff, herein referred to as investment staff, are responsible for City's investment program. No person may engage in an investment transaction except as provided under the terms of this policy and the procedures established. The Administrative Services Director shall be responsible for all transactions undertaken and will establish a system of controls to regulate the activities of subordinate officials.

The City may delegate its investment decision making and execution authority to an investment advisor. The advisor shall follow the policy and such other written instructions as are provided.

IV. Authorized and Suitable Investments

Surplus funds of local agencies may only be invested in certain eligible securities as listed below. Percentage holding limits, where shown, apply at the time the security is purchased. The investment strategy for the City of Lakewood is to administer an operational portfolio. A definition of an operational portfolio is to have adequate funds available at all times to meet appropriated and projected cash flow requirements for the City of Lakewood.

The City of Lakewood does **NOT** purchase or sell securities on **MARGIN**.

1. *U.S. Treasury Obligations.* United States Treasury notes, bonds, bills, or certificates of indebtedness, or those for which the full faith and credit of the United States are pledged for the payment of principal and interest. There are no portfolio percentage limits for U.S. Treasury obligations.
2. *U.S. Government Agency Issues.* Federal agency or United States government-sponsored enterprise obligations, participations, or other instruments, including those issued by or fully guaranteed as to principal and interest by federal agencies or United States government-sponsored enterprises. There are no portfolio percentage limits for U.S. Government Agency issues.
3. *Municipal Obligations.* Municipal obligations to include the following:
 - (a) Registered Treasury Notes or Bonds of the State of California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by the state or by a department, board, agency, or authority of the state.
 - (b) Bonds, notes, warrants, or other evidences of indebtedness of any local agency within the State of California, including bonds payable solely out of the revenues from a revenue-

producing property owned, controlled, or operated by the local agency, or by a department, board, agency, or authority of the local agency.

(b) Registered Treasury Notes or Bonds of any of the other 49 United States in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 United States, in addition to California.

Obligations are required to be rated in a rating category of “AA” or its equivalent or better by a nationally recognized statistical rating organization (NRSRO). There are no portfolio percentage limits for municipal obligations.

4. *Negotiable Certificates of Deposit.* Negotiable certificates of deposit or deposit notes issued by a nationally or state-chartered bank or a state or federal savings and loan association or by a state-licensed branch of a foreign bank. Purchases are limited to securities rated in a rating category of “A” (long-term) or “A-1” (short-term) or their equivalents or better by an NRSRO. No more than 30% of the City’s portfolio may be invested in negotiable certificates of deposit.

5. *Placement Service Deposits.* Fully insured deposits placed through a deposit placement service that meets the requirements under Code Section 53601.8. No more than 30% of the City’s portfolio may be invested in placement service deposits.

6. *Money Market Funds.* Shares of beneficial interest issued by diversified management companies that are money market funds registered with the Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. Sec. 80a-1, et seq.). To be eligible for investment pursuant to this subdivision, these companies shall either: (1) attain the highest ranking or the highest letter and numerical rating provided by not less than two nationally recognized statistical rating organizations; or (2) retain an investment advisor registered or exempt from registration with the Securities and Exchange commission with not less than five years' experience managing money market mutual funds with assets under management in excess of five hundred million dollars (\$500,000,000). No more than 20% of the City’s portfolio may be invested in money market funds. The purchase price of shares shall not any commission charged by the fund. No more than 10% of the City’s portfolio may be invested in any one fund.

7. *Government Pools.* Shares of beneficial interest issued by a joint powers authority (6509.7) that invests in securities authorized by Section 53601 of the California Government Code. Each share shall represent an equal proportional interest in the underlying pool of securities owned by the joint powers authority. To be eligible under this section, the joint powers authority issuing the shares shall have retained an investment adviser that meets all of the following criteria:

(a) The adviser is registered or exempt from registration with the Securities and Exchange Commission.

(b) The adviser has not less than five years of experience investing in the securities and obligations authorized in California Government Code section subdivisions (a) to (q), inclusive.

(c) The adviser has assets under management in excess of five hundred million dollars (\$500,000,000).

There is no portfolio percentage limit for Government Pools.

8. *State of California's Local Agency Investment Fund.* Investment in LAIF may not exceed the current LAIF limit and should be reviewed periodically.

9. *Los Angeles County Pool.* Investment in the Los Angeles County Pool may not exceed the current pool limits and should be reviewed periodically.

10. *Commercial paper.* Commercial paper of “prime” quality of the highest ranking or of the highest letter and number rating as provided for by a nationally recognized statistical-rating organization. The entity that issues the commercial paper shall meet all of the following conditions in either paragraph (a) or paragraph (b):

(a) The entity meets the following criteria: (i) Is organized and operating in the United States as a general corporation. (ii) Has total assets in excess of five hundred million dollars (\$500,000,000). (iii) Has debt other than commercial paper, if any, that is rated in a rating category of “A” or its equivalent or higher by a NRSRO.

(b) The entity meets the following criteria: (i) Is organized within the United States as a special purpose corporation, trust, or limited liability company. (ii) Has program wide credit enhancements including, but not limited to, over collateralization, letters of credit, or surety bond. (iii) Has commercial paper that is rated “A-1” or higher, or the equivalent, by a NRSRO.

Purchases of commercial paper shall have a maximum maturity of 270 days nor represent more than 10% of the outstanding paper of an issuing corporation. No more than 25% of the City’s portfolio may be invested in commercial paper.

11. *Corporate Notes.* Medium-term notes, defined as all corporate and depository institution debt securities with a maximum remaining maturity of five years or less, issued by corporations organized and operating within the United States or by depository institutions licensed by the United States, or any state and operating within the United States. Medium-term corporate notes shall be rated in a rating category of “A” or its equivalent or better by a NRSRO. No more than 30% of the City’s portfolio may be invested in corporate notes.

12. *Supranationals.* Supranationals, defined as United States dollar denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by the International Bank for Reconstruction and Development, International Finance Corporation, or Inter-American Development Bank, with a maximum remaining maturity of five years or less, and eligible for purchase and sale within the United States. Supranationals shall be rated in a rating category of “AA” or its equivalent or better by a NRSRO. No more than 30% of the City’s portfolio may be invested in supranationals.

13. *Asset-Backed Securities.* A mortgage passthrough security, collateralized mortgage obligation, mortgage-backed or other pay-through bond, equipment lease-backed certificate, consumer receivable passthrough certificate, or consumer receivable-backed bond. Securities eligible for investment under this subdivision shall be rated in a rating category of “AA” or its equivalent or better by an NRSRO. Purchases of asset-backed securities shall have a maximum maturity of five years. No more than 20% of the City’s portfolio may be invested in asset-backed securities.

V. Ineligible Investments

Any security type or structure not specifically approved by this policy is hereby prohibited; these include, but are not restricted to, the following:

- (a) "Complex" derivative securities such as range notes, dual index notes, inverse floating-rate notes, leveraged or deleveraged floating-rate notes, or any other complex variable-rate or structured note.
- (b) Interest-only strips that are derived from a pool of mortgages, or any security that could result in zero interest accrual if held to maturity.
- (c) Financial Futures and Financial Options also known as forward contracts for securities.

VI. Investment Parameters

Diversification. The City's investments shall be diversified by:

- Limiting investments to avoid over concentration in securities from a specific issuer or sector. No more than 10% of the portfolio may be invested in the securities of any one issuer, regardless of security type; excluding U.S. Treasuries, federal agencies, supnationals, and pooled investments such as LAIF, LA County Pool, and Government Pools.
- Limiting investment in securities that have higher credit risks.
- Investing in securities with varying maturities.
- Continuously investing a portion of the portfolio in readily available funds such as local government investment pools or money market funds to ensure that appropriate liquidity is maintained in order to meet ongoing obligations.

Maximum Maturities. To the extent possible, the City shall attempt to match its investments with anticipated cash flow requirements. Unless stated otherwise in Section IV of this Policy, the maximum maturity of the City's eligible investments will not exceed five years unless the City Council has granted express authority to make that investment either specifically or as a part of an investment program approved by the City Council no less than three months prior to the investment.

Sale of Securities. The City does not make investments for the purpose of trading or speculation, but buys with the prevalent intent to hold securities to maturity. The prohibition of speculative investment precludes pursuit of profit through unusual risk or conjectural fluctuations in market prices. However, fluctuations in market rates or changes in credit quality may produce situations where securities may be sold at a nominal loss in order to mitigate further erosion of principal or to reinvest proceeds of sale in securities that will out-perform the original investment.

VII. Depository Services

Legal Constraints

Money must be deposited in state or national banks, state or federal savings associations, or state or federal credit unions in the state. It may be in inactive deposits, active deposits or interest-

bearing active deposits. The deposits cannot exceed the amount of the bank's or savings and loan's paid up capital and surplus.

The bank or savings and loan must secure the active and inactive deposits with eligible securities having a market value of 110% of the total amount of the deposits. State law also allows, as an eligible security, first trust deeds having a value of 150% of the total amount of the deposits. A third class of collateral is letters of credit drawn on the Federal Home Loan Bank (FHLB). As a matter of policy, the City does not accept 150% collateral in first trust deeds or 105% Letters of Credit drawn on the FHLB, even though the state statutes allow municipalities to accept them.

The treasurer may at his discretion waive security for that portion of a deposit which is insured pursuant to federal law. Currently, the first \$250,000 of a deposit is federally insured. It is to the City's advantage to waive this collateral requirement for the first \$250,000 because we receive a higher interest rate. If funds are to be collateralized, the collateral we accept is 110% of the deposit in government securities.

Depository Services

Active deposits are demand or checking accounts which receive revenues and pay disbursements. The City of Lakewood has three demand accounts:

- General checking account
- Payroll checking account
- Successor agency project account
- Successor agency housing account

Interest-bearing active deposits are money market accounts at a financial institution (i.e., bank, savings and loan, credit union). These accounts are demand accounts (i.e., checking accounts) with restricted transaction activity.

Inactive deposits are Certificates of Deposit issued in any amount for periods of time as short as 14 days and as long as several years. Interest must be calculated on a 360-day basis, actual number of days. There is no portfolio percentage limit for Inactive Deposits. The maximum maturity shall be limited to one year..

We require that each financial institution submit current financial statements which are evaluated by staff prior to the investment of funds. We use the following criteria:

The institution must have been in business at least three years.

The institution must submit audited financial statements.

The institution must have assets of at least \$50 million and a net worth to liability ratio of 3.5 to 1. For calculations, net worth does not include subordinated debt and Reserves for Allowance for Loan Losses.

City investments of less than 180 days to maturity can use a net worth to asset ratio of 3 to 1.

Investments in Credit Unions require an Equity (net worth) to Asset Value of 5.0%. The loan balance to share draft ratio is compared to industry standards, but should not exceed 90%. The

City may invest funds for a period up to 120 days in institutions with a Regular Reserve to Loan Balance ratio of at least 3.25%. For longer periods of time, the ratio must be at least 4.0%.

In addition, examination is made of the Reserve for Loan Losses category to evaluate the financial trend of the institution's asset base. Comparison is made of institution ratio values to the industry averages.

Under deposits, if data is available, we track the ratio of \$250,000 certificates of deposit (brokered money) to the total deposit base. A percent greater than 50% is an area of concern.

Whenever possible, the use of several years' financial data is evaluated to present a trend of activity in the institution.

We also require that interest be paid to the City on a monthly basis (current state law only requires quarterly payment). We do not place more than \$250,000 on a savings and loan, small bank, or credit union.

VIII. Safekeeping and Custody

Delivery vs. Payment

All investment transactions conducted with deliverable securities will be executed on a delivery versus payment basis. Deliverable securities will be held in safekeeping by a third party custodian designated by the City. The custodian will be required to provide timely (written or on-line) confirmation of receipt and monthly position and transaction reports.

IX. Reporting Requirements

Monthly Reporting

The Administrative Services Director will provide to the City Council monthly investment reports that provide a detailed summary of transactions in the City's portfolio.

Quarterly Reporting

The Administrative Services Director will provide to the City Council quarterly investment reports which provide a detailed summary of the status of the investment program. The quarterly report will contain the following:

- The type of investment, issuer, and date of maturity par and dollar amount invested on all securities, investments and moneys held by the local agency.
- A description of any of the local agency's funds, investments, or programs that are under the management of contracted parties, including lending programs.

- A current market value as of the date of the report and the source of this same valuation for all securities held by the local agency, and under management of any outside party that is not also a local agency or the State of California Local Agency Investment Fund.
- A statement of compliance with the Government Code and this policy.
- A statement denoting the ability of the local agency to meet its pool's expenditure requirements for the next six months, or provide an explanation as to why sufficient money shall, or may, not be available.

X. Performance Standards

The City's portfolios are managed with the objective of obtaining a market rate of return, commensurate with identified risk constraints and cash flow characteristics. Because the composition of the portfolio fluctuates, depending on market and credit conditions, various indices will be used to monitor performance.

XI. Investment Advisor Review

The performance of the City's investment advisor and investment advisory firm shall be reviewed annually based on the following criteria:

- Understanding of the city's overall investment program and the investment objectives and constraints unique to the city, and approach to management of the city's portfolio.
- Experience, resources, and qualifications of the firm and individuals assigned to this account.
- Experience of the firm in managing state/local/district government reserve funds.
- Performance of the managed portion of the city's portfolio.
- Reporting methodology and additional investment of financial services offered or available through affiliation.
- Fees, relative to services.

XII. Policy Considerations

This policy shall be reviewed on an annual basis. Any changes must be approved by the investment officer and any other appropriate authority, as well as the individual(s) charged with maintaining internal controls.

CITY OF LAKEWOOD
INVESTMENT POLICY
January 22, 2019

I. Scope

This policy applies to the investment of the City of Lakewood's ("City") applies to all funds accounted for in the annual budget. Funds of the City will be invested in compliance with the provisions of, but not necessarily limited to California Government Code Section 53601 et [see seq.](#) and other applicable statutes. Investments will be in accordance with these policies and written administrative procedures. This policy does not regulate the investment of bond proceeds.

II. Objectives

The objectives, in priority order, of the City of Lakewood's investment activities shall be:

Safety. Safety of principal is the primary and most important objective of the investment program. Investments of the City will be made in a manner that seeks to ensure the preservation of capital in the overall portfolio. To attain this objective, the City will endeavor to mitigate credit and market risk.

Liquidity. The City's investment portfolio will remain sufficiently liquid to enable the City to meet all operating requirements which might be reasonably anticipated. This will be achieved through maturity diversification and purchases of securities with an established secondary market.

Yield. The City's investment portfolio shall be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints, liquidity needs, and cash flow characteristics of the portfolio. Return on investment is of secondary importance compared to the safety and liquidity objectives described above.

III. Standards of Care

Prudence

The City of Lakewood adheres to the guidance provided by the "prudent investor" standard (CA 53600.3) to insure that:

"When investing, reinvesting, purchasing, acquiring, exchanging, selling, or managing public funds, a trustee shall act with care, skill, prudence, and diligence under the circumstances then prevailing, including, but not limited to, the general economic conditions and the anticipated needs of the agency, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the agency. Within the limitations of this section and considering individual investments as part of an overall strategy, investments may be acquired as authorized by law."

This standard of prudence is to be used by all investment staff and will be applied in the context of managing an overall portfolio.

Ethics and Conflicts of Interest

Officers and employees involved in the investment process shall refrain from personal business activity that could conflict with the proper execution and management of the investment program, or that could impair their ability to make impartial decisions. Employees and investment officials shall disclose any material interests in financial institutions with which they conduct business. They shall further disclose any personal financial/investment positions that could be related to the performance of the investment portfolio. Employees and officers shall refrain from undertaking personal investment transactions with the same individual with whom business is conducted on behalf of the City.

Delegation of Authority

The authority to invest City funds is delegated to the Administrative Services Director for a period of one year. Subject to review, the City Council may renew the delegation of authority each year. rests with the The Administrative Services Director and his/her designated staff, herein referred to as investment staff, are responsible for City's investment program. No person may engage in an investment transaction except as provided under the terms of this policy and the procedures established. The Administrative Services Director shall be responsible for all transactions undertaken and will establish a system of controls to regulate the activities of subordinate officials.

The City may delegate its investment decision making and execution authority to an investment advisor. The advisor shall follow the policy and such other written instructions as are provided.

IV. Authorized and Suitable Investments

Surplus funds of local agencies may only be invested in certain eligible securities as listed below. Percentage holding limits, where shown, apply at the time the security is purchased. The investment strategy for the City of Lakewood is to administer an operational portfolio. A definition of an operational portfolio is to have adequate funds available at all times to meet appropriated and projected cash flow requirements for the City of Lakewood.

The City of Lakewood does **NOT** purchase or sell securities on **MARGIN**.

1. *U.S. Treasury Obligations.* United States Treasury notes, bonds, bills, or certificates of indebtedness, or those for which the full faith and credit of the United States are pledged for the payment of principal and interest. There are no portfolio percentage limits for U.S. Treasury obligations.

2. *U.S. Government Agency Issues.* Federal agency or United States government-sponsored enterprise obligations, participations, or other instruments, including those issued by or fully guaranteed as to principal and interest by federal agencies or United States government-sponsored enterprises. There are no portfolio percentage limits for U.S. Government Agency obligations issues.

3. *Municipal ~~Investments~~ Obligations.* Municipal obligations to include the following:

(a) Registered Treasury Notes or Bonds of the State of California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by the state or by a department, board, agency, or authority of the state.

(b) Bonds, notes, warrants, or other evidences of indebtedness of any local agency within the State of California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by the local agency, or by a department, board, agency, or authority of the local agency.

(b) Registered Treasury Notes or Bonds of any of the other 49 United States in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 United States, in addition to California.

~~Registered treasury notes or bonds of any of the other 49 United States in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 United States, in addition to California. Obligations are required to be rated in a rating category of “AA” or its equivalent or better in one of the two highest categories by a nationally recognized statistical rating organization (NRSRO). There are no portfolio percentage limits for municipal obligations.~~

4. *Negotiable Certificates of Deposit.* Negotiable certificates of deposit or deposit notes issued by a nationally or state-chartered bank or a state or federal savings and loan association or by a state-licensed branch of a foreign bank. Purchases are limited to securities rated in a rating category of “A” (long-term) or “A-1” (short-term) or their equivalents or better by an NRSRO~~Eligible negotiable certificates of deposit shall be rated in category "AA" or its equivalent or better by a NRSRO.~~ –No more than 30% of the City’s portfolio may be invested in negotiable certificates of deposit ~~and no more than 10% may be invested with a single issuer.~~

5. ~~*Time Deposits/Time Certificates of Placement Service Deposits (CDs).*~~ Fully insured deposits placed through a deposit placement service that meets the requirements under Code Section 53601.8. No more than 30% of the City’s portfolio may be invested in placement service deposits.~~Time Deposits/Time Certificates of Deposit (CDs) placed with commercial banks and savings and loans. Time certificates of deposit shall meet the conditions in either paragraph (a) or paragraph (ib) below:~~

~~(a) Time certificates of deposit shall meet the requirements for deposit under Government Code Section 53635 et. seq. The Administrative Services Director, for deposits up to the current FDIC insurance limit, may waive collateral requirements if the institution insures its deposits with the Federal Deposit Insurance Corporation (FDIC). The City shall have a signed agreement with the depository per California Government Code (Hereafter Code) 53649.~~

~~————(b) Fully insured time certificates of deposit placed through a deposit placement service shall meet the requirements under Code Section 53601.8.~~

~~There is no portfolio percentage limit for Time Deposits/Time Certificates of Deposit (CDs). The maximum maturity shall be limited to one year.~~

6. *Money Market Funds.* Shares of beneficial interest issued by diversified management companies that are money market funds registered with the Securities and Exchange Commission

under the Investment Company Act of 1940 (15 U.S.C. Sec. 80a-1, et seq.). To be eligible for investment pursuant to this subdivision, these companies shall either: (1) attain the highest ranking or the highest letter and numerical rating provided by not less than two nationally recognized statistical rating organizations; or (2) retain an investment advisor registered or exempt from registration with the Securities and Exchange commission with not less than five years' experience managing money market mutual funds with assets under management in excess of five hundred million dollars (\$500,000,000). ~~A maximum of 20% of the City's portfolio may be invested in money market funds.~~

No more than 20% of the City's portfolio may be invested in money market funds. The purchase price of shares shall not ~~exceed 20% of the City's surplus money nor include~~ any commission charged by the fund. No more than 10% of the City's portfolio may be invested in any one fund.

7. - *Government Pools.* Shares of beneficial interest issued by a joint powers authority (6509.7) that invests in securities authorized by Section 53601 of the California Government Code. Each share shall represent an equal proportional interest in the underlying pool of securities owned by the joint powers authority. To be eligible under this section, the joint powers authority issuing the shares shall have retained an investment adviser that meets all of the following criteria:

~~_____~~(a) The adviser is registered or exempt from registration with the Securities and Exchange Commission.

~~_____~~(b) The adviser has not less than five years of experience investing in the securities and ~~_____~~obligations authorized in California Government Code section subdivisions (a) to (q), ~~_____~~inclusive.

~~_____~~(c) The adviser has assets under management in excess of five hundred million dollars (\$500,000,000).

There is no portfolio percentage limit for Government Pool ~~holdings~~.

8. -*State of California's Local Agency Investment Fund.* Investment in LAIF may not exceed the current LAIF limit and should be reviewed periodically.

9. -*Los Angeles County Pool.* Investment in the Los Angeles County Pool may not exceed the current pool limits and should be reviewed periodically.

10. *Commercial paper.* Commercial paper of "prime" quality of the highest ranking or of the highest letter and number rating as provided for by a nationally recognized statistical-rating organization. The entity that issues the commercial paper shall meet all of the following conditions in either paragraph (a) or paragraph (b):

(a) The entity meets the following criteria: (i) Is organized and operating in the United States as a general corporation. (ii) Has total assets in excess of five hundred million dollars (\$500,000,000). (iii) Has debt other than commercial paper, if any, that is rated in a rating category of "A" or its equivalent or higher by a NRSRO.

(b) The entity meets the following criteria: (i) Is organized within the United States as a special purpose corporation, trust, or limited liability company. (ii) Has program wide credit enhancements including, but not limited to, over collateralization, letters of credit, or surety bond. (iii) Has commercial paper that is rated "A-1" or higher, or the equivalent, by a NRSRO.

~~Purchases of eligible commercial paper may not exceed 25% of the City's portfolio, shall have a maximum maturity of 270 days nor represent more than 10% of the outstanding paper of an issuing corporation. No more than 25% of the City's portfolio may be invested in commercial paper. maturity nor represent more than 10% of the outstanding paper of an issuing corporation. Additionally, no more than 10% of the City's funds may be invested with a single issuer.~~

~~11. Corporate Notes. Medium-term notes, defined as all corporate and depository institution debt securities with a maximum remaining maturity of five years or less, issued by corporations organized and operating within the United States or by depository institutions licensed by the United States, or any state and operating within the United States. Medium-term corporate notes shall be rated in a rating category of "A" or its equivalent or better by a NRSRO. No more than 30% of the City's portfolio may be invested in corporate notes. Purchase of medium-term notes may not exceed 30% of the City's investment Portfolio. No more than 10% of the City's total investment portfolio may be invested in the debt of any one corporation.~~

~~12. Supranationals. Supranationals, defined as United States dollar denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by the International Bank for Reconstruction and Development, International Finance Corporation, or Inter-American Development Bank, with a maximum remaining maturity of five years or less, and eligible for purchase and sale within the United States. Supranationals shall be rated in a rating category of "AA" or its equivalent or better by a NRSRO. No more than 30% of the City's portfolio may be invested in supranationals. Purchase of supranationals may not exceed 30% of the Authority's investment portfolio.~~

~~13. Asset-Backed Securities. A mortgage passthrough security, collateralized mortgage obligation, mortgage-backed or other pay-through bond, equipment lease-backed certificate, consumer receivable passthrough certificate, or consumer receivable-backed bond. Securities eligible for investment under this subdivision shall be rated in a rating category of "AA" or its equivalent or better by an NRSRO. Purchases of asset-backed securities shall have a maximum maturity of five years. No more than 20% of the City's portfolio may be invested in asset-backed securities.~~

V. Ineligible Investments

Any security type or structure not specifically approved by this policy is hereby prohibited; these include, but are not restricted to, the following:

(a) "Complex" derivative securities such as range notes, dual index notes, inverse floating-rate notes, leveraged or deleveraged floating-rate notes, or any other complex variable-rate or structured note.

(b) Interest-only strips that are derived from a pool of mortgages, or any security that could result in zero interest accrual if held to maturity.

(c) Financial Futures and Financial Options also known as forward contracts for securities.

VI. Investment Parameters

Diversification. The City's investments shall be diversified by:

- Limiting investments to avoid over concentration in securities from a specific issuer or —sector. No more than 10% of the portfolio may be invested in the securities of any one issuer, regardless of security type; excluding U.S. Treasuries, federal agencies, supranationals, and pooled investments such as LAIF, LA County Pool, and Government Pools.
- Limiting investment in securities that have higher credit risks.
- Investing in securities with varying maturities.
- Continuously investing a portion of the portfolio in readily available funds such as local —government investment pools or money market funds to ensure that appropriate —liquidity is maintained in order to meet ongoing obligations.

Maximum Maturities. To the extent possible, the City shall attempt to match its investments with anticipated cash flow requirements. Unless stated otherwise in Section IV of this Policy ~~or approval made by the City's executive body~~, the maximum maturity of the City's eligible investments will not exceed five years unless the City Council has granted express authority to make that investment either specifically or as a part of an investment program approved by the City Council no less than three months prior to the investment.

Sale of Securities. The City does not make investments for the purpose of trading or speculation, but buys with the prevalent intent to hold securities to maturity. The prohibition of speculative investment precludes pursuit of profit through unusual risk or conjectural fluctuations in market prices. However, fluctuations in market rates or changes in credit quality may produce situations where securities may be sold at a nominal loss in order to mitigate further erosion of principal or to reinvest proceeds of sale in securities that will out-perform the original investment.

VII. Depository Services

Legal Constraints

Money must be deposited in state or national banks, state or federal savings associations, or state or federal credit unions in the state. It may be in inactive deposits, active deposits or interest-bearing active deposits. The deposits cannot exceed the amount of the bank's or savings and loan's paid up capital and surplus.

The bank or savings and loan must secure the active and inactive deposits with eligible securities having a market value of 110% of the total amount of the deposits. State law also allows, as an eligible security, first trust deeds having a value of 150% of the total amount of the deposits. A third class of collateral is letters of credit drawn on the Federal Home Loan Bank (FHLB). As a

matter of policy, the City does not accept 150% collateral in first trust deeds or 105% Letters of Credit drawn on the FHLB, even though the state statutes allow municipalities to accept them.

The treasurer may at his discretion waive security for that portion of a deposit which is insured pursuant to federal law. Currently, the first \$250,000 of a deposit is federally insured. It is to the City's advantage to waive this collateral requirement for the first \$250,000 because we receive a higher interest rate. If funds are to be collateralized, the collateral we accept is 110% of the deposit in government securities.

Depository Services

Active deposits are demand or checking accounts which receive revenues and pay disbursements. The City of Lakewood has three demand accounts:

General checking account

Payroll checking account

~~Redevelopment Agency bond proceeds checking account~~ Successor agency project account

Successor agency housing account

Interest-bearing active deposits are money market accounts at a financial institution (i.e., bank, savings and loan, credit union). These accounts are demand accounts (i.e., checking accounts) with restricted transaction activity. ~~The City of Lakewood has one account of this nature for the Lakewood Redevelopment Agency.~~

Inactive deposits are Certificates of Deposit issued in any amount for periods of time as short as 14 days and as long as several years. Interest must be calculated on a 360-day basis, actual number of days. There is no portfolio percentage limit for Inactive Deposits. The maximum maturity shall be limited to one year. ~~At any given time, the City may have certificates of deposit in 30 or 40 financial institutions. As a matter of policy, we do not invest in CD's for longer than one year.~~

We require that each financial institution submit current financial statements which are evaluated by staff prior to the investment of funds. We use the following criteria:

The institution must have been in business at least three years.

The institution must submit audited financial statements.

The institution must have assets of at least \$50 million and a net worth to liability ratio of 3.5 to 1. For calculations, net worth does not include subordinated debt and Reserves for Allowance for Loan Losses.

City investments of less than 180 days to maturity can use a net worth to asset ratio of 3 to 1.

Investments in Credit Unions require an Equity (net worth) to Asset Value of 5.0%. The loan balance to share draft ratio is compared to industry standards, but should not exceed 90%. The City may invest funds for a period up to 120 days in institutions with a Regular Reserve to Loan Balance ratio of at least 3.25%. For longer periods of time, the ratio must be at least 4.0%.

In addition, examination is made of the Reserve for Loan Losses category to evaluate the financial trend of the institution's asset base. Comparison is made of institution ratio values to the industry averages.

Under deposits, if data is available, we track the ratio of ~~\$250,000~~~~100,000~~ certificates of deposit (brokered money) to the total deposit base. A percent greater than 50% is an area of concern.

Whenever possible, the use of several years' financial data is evaluated to present a trend of activity in the institution.

We also require that interest be paid to the City on a monthly basis (current state law only requires quarterly payment). We do not place more than ~~\$250,000~~~~100,000~~ in a savings and loan, small bank, or credit union.

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- A description of any of the local agency's funds, investments, or programs that are under the management of contracted parties, including lending programs.
- A current market value as of the date of the report and the source of this same valuation for all securities held by the local agency, and under management of any outside party that is not also a local agency or the State of California Local Agency Investment Fund.

- A statement of compliance with the Government Code and this policy.
- A statement denoting the ability of the local agency to meet its pool's expenditure requirements for the next six months, or provide an explanation as to why sufficient money shall, or may, not be available.

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The performance of the ~~city's~~ City's investment advisor and investment advisory firm shall be reviewed annually based on the following criteria:

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- Experience, resources, and qualifications of the firm and individuals assigned to this account.
- Experience of the firm in managing state/local/district government reserve funds.
- Performance of the managed portion of the city's portfolio.
- Reporting methodology and additional investment of financial services offered or available through affiliation.
- Fees, relative to services.

XII. Policy Considerations

This policy shall be reviewed on an annual basis. Any changes must be approved by the investment officer and any other appropriate authority, as well as the individual(s) charged with maintaining internal controls.



Customer Service
PO Box 11813
Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

For the Month Ending

December 31, 2018

CITY OF LAKEWOOD

Client Management Team

Sarah Meacham

Managing Director
601 South Figueroa, Suite 4500
Los Angeles, CA 90017
213-489-4075
meachams@pfm.com

Robert Cheddar, CFA

Managing Director
213 Market Street
Harrisburg, PA 17101-2141
717-232-2723
cheddarr@pfm.com

Richard Babbe, CCM

Senior Managing Consultant
50 California Street, Suite 2300
San Francisco, CA 94111
949-230-6896
babber@pfm.com

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Cover/Disclosures
Summary Statement
Individual Accounts

Accounts included in Statement

51260100 CITY OF LAKEWOOD

CITY OF LAKEWOOD
JOSE GOMEZ
5050 NORTH CLARK AVENUE
LAKEWOOD, CA 90712

Online Access www.pfm.com

Customer Service 1-717-232-2723

**Important Disclosures****Important Disclosures**

This statement is for general information purposes only and is not intended to provide specific advice or recommendations. PFM Asset Management LLC ("PFM") is an investment advisor registered with the Securities and Exchange Commission, and is required to maintain a written disclosure statement of our background and business experience. If you would like to receive a copy of our current disclosure statement, please contact Service Operations at the address below.

Proxy Voting PFM does not normally receive proxies to vote on behalf of its clients. However, it does on occasion receive consent requests. In the event a consent request is received the portfolio manager contacts the client and then proceeds according to their instructions. PFM's Proxy Voting Policy is available upon request by contacting Service Operations at the address below.

Questions About an Account PFM's monthly statement is intended to detail our investment advisory activity as well as the activity of any accounts held by clients in pools that are managed by PFM. The custodian bank maintains the control of assets and executes (i.e., settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. PFM recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the PFM statement should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

Account Control PFM does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies; implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

Market Value Generally, PFM's market prices are derived from closing bid prices as of the last business day of the month as supplied by ICE Data Services or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although PFM believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold. Explanation of the valuation methods for money market and TERM funds is contained in the appropriate fund information statement.

Amortized Cost The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premium with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using the constant yield basis.

Tax Reporting Cost data and realized gains / losses are provided for informational purposes only. Please review for accuracy and consult your tax advisor to determine the tax consequences of your security transactions. PFM does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information that may be required to be reported to federal, state or other taxing authorities.

Financial Situation In order to better serve you, PFM should be promptly notified of any material change in your investment objective or financial situation.

Callable Securities Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented.

Portfolio The securities in this portfolio, including shares of mutual funds, are not guaranteed or otherwise protected by PFM, the FDIC (except for certain non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested. Actual settlement values, accrued interest, and amortized cost amounts may vary for securities subject to an adjustable interest rate or subject to principal paydowns. Any changes to the values shown may be reflected within the next monthly statement's beginning values.

Rating Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed. Shares of some money market and TERM funds are marketed through representatives of PFM's wholly owned subsidiary, PFM Fund Distributors, Inc. PFM Fund Distributors, Inc. is registered with the SEC as a broker/dealer and is a member of the Financial Industry Regulatory Authority ("FINRA") and the Municipal Securities Rulemaking Board ("MSRB"). You may reach the FINRA by calling the FINRA Regulator Public Disclosure Hotline at 1-888-289-9999 or at the FINRA Regulation Internet website address www.nasd.com. A brochure describing the FINRA Regulation Public Disclosure Program is also available from the FINRA upon request.

Key Terms and Definitions

Dividends on money market funds consist of interest earned, plus any discount ratably amortized to the date of maturity, plus all realized gains and losses on the sale of securities prior to maturity, less ratably amortization of any premium and all accrued expenses to the fund. Dividends are accrued daily and may be paid either monthly or quarterly. The monthly earnings on this statement represent the estimated dividend accrued for the month for any program that distributes earnings on a quarterly basis. There is no guarantee that the estimated amount will be paid on the actual distribution date.

Current Yield is the net change, exclusive of capital changes and income other than investment income, in the value of a hypothetical fund account with a balance of one share over the seven-day base period including the statement date, expressed as a percentage of the value of one share (normally \$1.00 per share) at the beginning of the seven-day period. This resulting net change in account value is then annualized by multiplying it by

365 and dividing the result by 7. The yields quoted should not be considered a representation of the yield of the fund in the future, since the yield is not fixed.

Average maturity represents the average maturity of all securities and investments of a portfolio, determined by multiplying the par or principal value of each security or investment by its maturity (days or years), summing the products, and dividing the sum by the total principal value of the portfolio. The stated maturity date of mortgage backed or callable securities are used in this statement. However the actual maturity of these securities could vary depending on the level or prepayments on the underlying mortgages or whether a callable security has or is still able to be called.

Monthly distribution yield represents the net change in the value of one share (normally \$1.00 per share) resulting from all dividends declared during the month by a fund expressed as a percentage of the value of one share at the beginning of the month. This resulting net change is then annualized by multiplying it by 365 and dividing it by the number of calendar days in the month.

YTM at Cost The yield to maturity at cost is the expected rate of return, based on the original cost, the annual interest receipts, maturity value and the time period from purchase date to maturity, stated as a percentage, on an annualized basis.

YTM at Market The yield to maturity at market is the rate of return, based on the current market value, the annual interest receipts, maturity value and the time period remaining until maturity, stated as a percentage, on an annualized basis.

Managed Account A portfolio of investments managed discretely by PFM according to the client's specific investment policy and requirements. The investments are directly owned by the client and held by the client's custodian.

Unsettled Trade A trade which has been executed however the final consummation of the security transaction and payment has not yet taken place.

Please review the detail pages of this statement carefully. If you think your statement is wrong, missing account information, or if you need more information about a transaction, please contact PFM within 60 days of receipt. If you have other concerns or questions regarding your account you should contact a member of your client management team or PFM Service Operations at the address below.

PFM Asset Management LLC
Attn: Service Operations
213 Market Street
Harrisburg, PA 17101



Managed Account Summary Statement

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Transaction Summary - Managed Account

Opening Market Value	\$36,004,710.78
Maturities/Calls	(17,841.35)
Principal Dispositions	(889,362.50)
Principal Acquisitions	907,066.84
Unsettled Trades	0.00
Change in Current Value	176,890.63
Closing Market Value	\$36,181,464.40

Cash Transactions Summary - Managed Account

Maturities/Calls	0.00
Sale Proceeds	941,453.17
Coupon/Interest/Dividend Income	56,547.25
Principal Payments	17,841.35
Security Purchases	(1,015,356.64)
Net Cash Contribution	0.00
Reconciling Transactions	0.00

Earnings Reconciliation (Cash Basis) - Managed Account

Interest/Dividends/Coupons Received	59,251.42
Less Purchased Interest Related to Interest/Coupons	(3,577.50)
Plus Net Realized Gains/Losses	(9,994.62)
Total Cash Basis Earnings	\$45,679.30

Cash Balance

Closing Cash Balance **\$88,394.67**

Earnings Reconciliation (Accrual Basis)

	Total
Ending Amortized Value of Securities	36,385,155.32
Ending Accrued Interest	183,978.42
Plus Proceeds from Sales	891,931.95
Plus Proceeds of Maturities/Calls/Principal Payments	17,841.35
Plus Coupons/Dividends Received	56,547.25
Less Cost of New Purchases	(910,644.34)
Less Beginning Amortized Value of Securities	(36,393,460.29)
Less Beginning Accrued Interest	(175,952.62)
Total Accrual Basis Earnings	\$55,397.04



Portfolio Summary and Statistics

For the Month Ending **December 31, 2018**

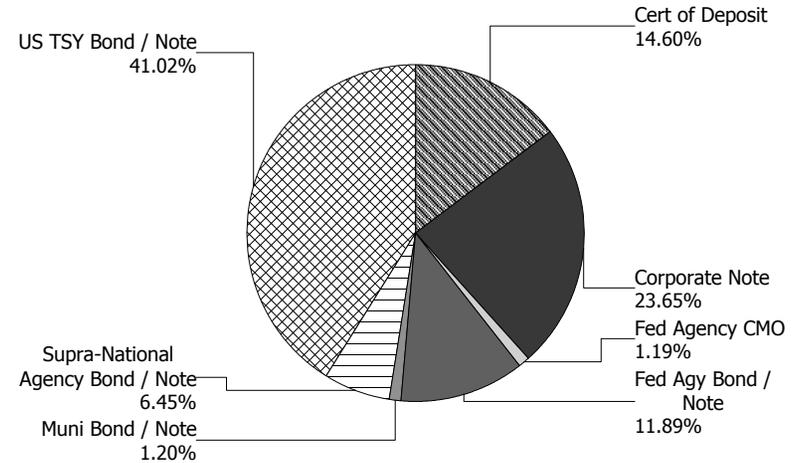
CITY OF LAKEWOOD - 51260100

Account Summary

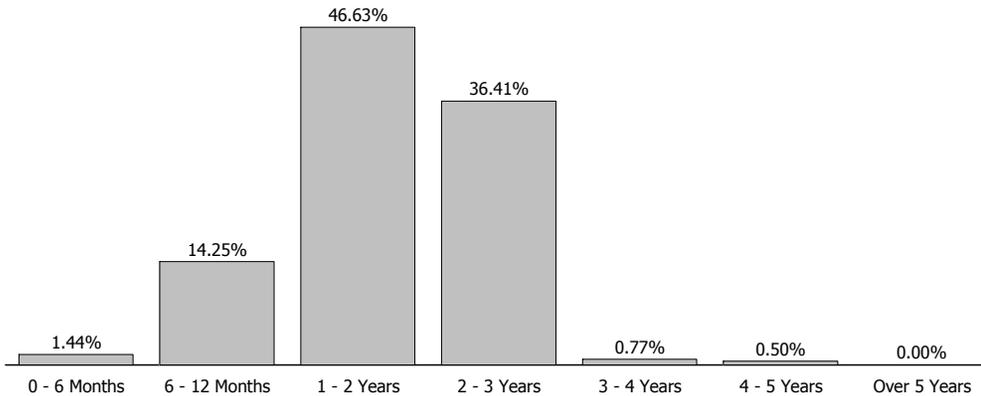
Description	Par Value	Market Value	Percent
U.S. Treasury Bond / Note	14,980,000.00	14,843,486.74	41.02
Supra-National Agency Bond / Note	2,345,000.00	2,332,867.07	6.45
Municipal Bond / Note	435,000.00	434,617.20	1.20
Federal Agency Collateralized Mortgage Obligation	426,474.03	429,861.30	1.19
Federal Agency Bond / Note	4,325,000.00	4,301,779.88	11.89
Corporate Note	8,630,000.00	8,556,871.73	23.65
Certificate of Deposit	5,300,000.00	5,281,980.48	14.60
Managed Account Sub-Total	36,441,474.03	36,181,464.40	100.00%
Accrued Interest		183,978.42	
Total Portfolio	36,441,474.03	36,365,442.82	

Unsettled Trades **0.00** **0.00**

Sector Allocation



Maturity Distribution



Characteristics

Yield to Maturity at Cost	2.20%
Yield to Maturity at Market	2.72%
Duration to Worst	1.63
Weighted Average Days to Maturity	624



Managed Account Issuer Summary

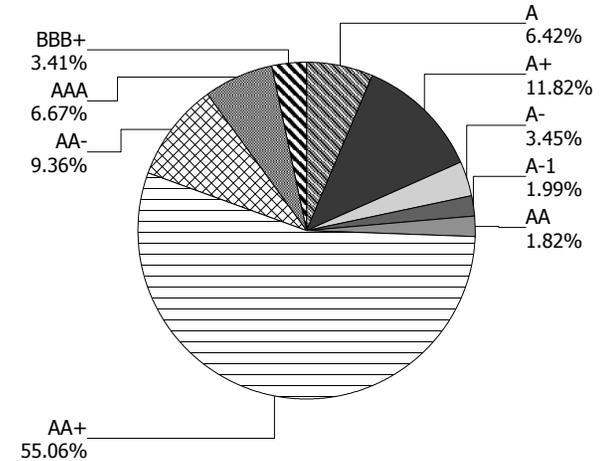
For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Issuer Summary

Issuer	Market Value of Holdings	Percent
3M COMPANY	90,727.38	0.25
AMERICAN EXPRESS CO	384,530.32	1.06
AMERICAN HONDA FINANCE	395,955.38	1.09
BANK OF AMERICA CO	343,168.36	0.95
BANK OF MONTREAL	549,359.25	1.52
BANK OF NOVA SCOTIA	551,608.20	1.52
BB&T CORPORATION	347,557.35	0.96
CALIFORNIA ST	434,617.20	1.20
CANADIAN IMPERIAL BANK OF COMMERCE	551,021.90	1.52
CATERPILLAR INC	355,200.00	0.98
CHARLES SCHWAB	175,854.00	0.49
CITIGROUP INC	341,729.68	0.94
DEERE & COMPANY	465,994.24	1.29
EXXON MOBIL CORP	345,168.25	0.95
FANNIE MAE	2,976,602.51	8.24
FEDERAL HOME LOAN BANKS	1,573,969.90	4.35
FREDDIE MAC	181,068.77	0.50
GENERAL DYNAMICS CORP	210,144.27	0.58
GOLDMAN SACHS GROUP INC	373,692.00	1.03
HERSHEY COMPANY	136,195.16	0.38
HOME DEPOT INC	268,728.92	0.74
HSBC HOLDINGS PLC	123,738.00	0.34
IBM CORP	297,085.80	0.82
INTEL CORPORATION	172,855.55	0.48
INTER-AMERICAN DEVELOPMENT BANK	757,190.97	2.09
INTERNATIONAL FINANCE CORPORATION	374,575.13	1.04
INTL BANK OF RECONSTRUCTION AND DEV	1,201,100.97	3.32
JOHNSON & JOHNSON	78,828.08	0.22
JP MORGAN CHASE & CO	346,514.00	0.96
MORGAN STANLEY	344,975.75	0.95
NATIONAL RURAL UTILITIES CO FINANCE CORP	154,294.29	0.43
NORDEA BANK AB	724,559.20	2.00

Credit Quality (S&P Ratings)





Managed Account Issuer Summary

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Issuer	Market Value of Holdings	Percent
PACCAR FINANCIAL CORP	217,299.08	0.60
PFIZER INC	236,403.42	0.65
ROYAL BANK OF CANADA	550,056.10	1.52
SKANDINAVISKA ENSKILDA BANKEN AB	720,949.43	1.99
SWEDBANK AB	367,889.25	1.02
THE BANK OF NEW YORK MELLON CORPORATION	346,693.73	0.96
THE WALT DISNEY CORPORATION	414,191.61	1.14
TOYOTA MOTOR CORP	480,359.00	1.33
UBS AG	625,353.75	1.73
UNILEVER PLC	373,194.75	1.03
UNITED PARCEL SERVICE INC	216,155.72	0.60
UNITED STATES TREASURY	14,843,486.74	41.04
VISA INC	98,913.70	0.27
WAL-MART STORES INC	420,723.94	1.16
WESTPAC BANKING CORP	641,183.40	1.77
Total	\$36,181,464.40	100.00%



Managed Account Detail of Securities Held

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 12/01/2014 1.500% 11/30/2019	912828G61	1,950,000.00	AA+	Aaa	12/01/16	12/05/16	1,953,046.87	1.45	2,571.43	1,950,944.72	1,929,891.60
US TREASURY NOTES DTD 02/02/2015 1.250% 01/31/2020	912828H52	1,400,000.00	AA+	Aaa	01/03/17	01/05/17	1,388,843.75	1.52	7,323.37	1,396,013.84	1,379,765.80
US TREASURY NOTES DTD 03/02/2015 1.375% 02/29/2020	912828J50	575,000.00	AA+	Aaa	08/31/17	09/01/17	574,977.54	1.38	2,686.38	574,989.51	566,959.20
US TREASURY NOTES DTD 04/30/2015 1.375% 04/30/2020	912828K58	575,000.00	AA+	Aaa	04/07/17	04/10/17	572,035.16	1.55	1,354.11	573,694.49	566,150.18
US TREASURY NOTES DTD 04/30/2015 1.375% 04/30/2020	912828K58	1,050,000.00	AA+	Aaa	04/03/17	04/05/17	1,045,283.20	1.53	2,472.72	1,047,932.96	1,033,839.45
US TREASURY NOTES DTD 06/30/2015 1.625% 06/30/2020	912828XH8	1,825,000.00	AA+	Aaa	06/26/17	06/28/17	1,831,558.59	1.50	81.92	1,828,304.40	1,800,333.30
US TREASURY NOTES DTD 07/31/2015 1.625% 07/31/2020	912828XM7	250,000.00	AA+	Aaa	07/05/17	07/07/17	250,156.25	1.60	1,700.07	250,082.02	246,455.00
US TREASURY NOTES DTD 10/31/2015 1.375% 10/31/2020	912828L99	100,000.00	AA+	Aaa	10/17/17	10/17/17	99,015.63	1.71	235.50	99,401.66	97,968.80
US TREASURY NOTES DTD 11/30/2015 1.625% 11/30/2020	912828M98	255,000.00	AA+	Aaa	11/01/17	11/03/17	253,894.34	1.77	364.29	254,306.01	250,796.58
US TREASURY NOTES DTD 12/31/2015 1.750% 12/31/2020	912828N48	1,200,000.00	AA+	Aaa	12/01/17	12/05/17	1,194,281.25	1.91	58.01	1,196,246.53	1,182,890.40
US TREASURY NOTES DTD 01/31/2016 1.375% 01/31/2021	912828N89	750,000.00	AA+	Aaa	01/02/18	01/04/18	735,000.00	2.05	4,315.56	739,742.43	732,802.50
US TREASURY NOTES DTD 06/02/2014 2.000% 05/31/2021	912828WN6	1,750,000.00	AA+	Aaa	06/04/18	06/06/18	1,718,896.48	2.62	3,076.92	1,724,676.45	1,730,585.50
US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	275,000.00	AA+	Aaa	10/02/18	10/04/18	273,958.01	2.89	2,856.49	274,045.99	276,858.45
US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	325,000.00	AA+	Aaa	10/01/18	10/03/18	323,730.47	2.89	3,375.85	323,838.58	327,196.35



Managed Account Detail of Securities Held

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	375,000.00	AA+	Aaa	09/10/18	09/12/18	374,721.68	2.78	3,895.21	374,752.21	377,534.25
US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	600,000.00	AA+	Aaa	09/26/18	09/28/18	597,445.31	2.91	6,232.34	597,672.50	604,054.80
US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	1,000,000.00	AA+	Aaa	09/05/18	09/07/18	1,000,820.31	2.72	10,387.23	1,000,741.49	1,006,758.00
UNITED STATES TREASURY NOTES DTD 10/15/2018 2.875% 10/15/2021	9128285F3	725,000.00	AA+	Aaa	12/11/18	12/12/18	727,067.38	2.77	3,569.92	727,030.22	732,646.58
Security Type Sub-Total		14,980,000.00					14,914,732.22	1.98	56,557.32	14,934,416.01	14,843,486.74
Supra-National Agency Bond / Note											
INTL BANK OF RECON AND DEV GLOBAL NOTES DTD 10/27/2016 1.125% 11/27/2019	459058FS7	350,000.00	AAA	Aaa	08/28/17	08/30/17	347,014.50	1.51	371.88	348,782.95	345,440.20
INTL BANK OF RECONSTRUCTION AND DEV NOTE DTD 09/19/2017 1.561% 09/12/2020	45905UP32	350,000.00	AAA	Aaa	09/12/17	09/19/17	349,160.00	1.64	1,654.23	349,516.56	343,586.60
INTER-AMERICAN DEVELOPMENT BANK DTD 11/08/2013 2.125% 11/09/2020	4581X0CD8	345,000.00	AAA	Aaa	10/02/17	10/10/17	348,197.84	1.81	1,058.96	346,948.35	341,780.12
INTERNATIONAL FINANCE CORPORATION NOTE DTD 03/16/2018 2.635% 03/09/2021	45950VLQ7	375,000.00	AAA	Aaa	03/09/18	03/16/18	374,718.75	2.66	3,074.17	374,790.48	374,575.13
INTER-AMERICAN DEVELOPMENT BANK NOTE DTD 04/19/2018 2.625% 04/19/2021	4581X0DB1	415,000.00	AAA	Aaa	04/12/18	04/19/18	414,087.00	2.70	2,178.75	414,293.74	415,410.85
INTL BANK OF RECONSTRUCTION AND DEV NOTE DTD 07/25/2018 2.750% 07/23/2021	459058GH0	510,000.00	AAA	Aaa	07/18/18	07/25/18	508,806.60	2.83	6,077.50	508,972.89	512,074.17
Security Type Sub-Total		2,345,000.00					2,341,984.69	2.26	14,415.49	2,343,304.97	2,332,867.07



Managed Account Detail of Securities Held

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Municipal Bond / Note											
CA ST TXBL GO BONDS DTD 04/25/2018 2.800% 04/01/2021	13063DGA0	435,000.00	AA-	Aa3	04/18/18	04/25/18	435,017.40	2.80	3,045.00	435,009.72	434,617.20
Security Type Sub-Total		435,000.00					435,017.40	2.80	3,045.00	435,009.72	434,617.20

Federal Agency Collateralized Mortgage Obligation											
FNMA SERIES 2015-M15 ASQ2 DTD 11/01/2015 1.898% 01/01/2019	3136AOSW1	3,683.75	AA+	Aaa	11/06/15	11/30/15	3,720.58	1.20	5.83	3,683.75	3,676.05
FNMA SERIES 2016-M9 ASQ2 DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	1,157.30	AA+	Aaa	06/09/16	06/30/16	1,168.87	1.05	1.72	1,157.67	1,151.53
FANNIE MAE SERIES 2015-M13 ASQ2 DTD 10/01/2015 1.646% 09/01/2019	3136AQDQ0	22,094.37	AA+	Aaa	10/07/15	10/30/15	22,315.63	1.08	30.31	22,108.74	21,960.50
FNA 2018-M5 A2 DTD 04/01/2018 3.560% 09/25/2021	3136B1XP4	219,538.61	AA+	Aaa	04/11/18	04/30/18	223,905.46	2.27	651.30	223,076.80	222,004.45
FHMS KP05 A DTD 12/01/2018 3.203% 07/01/2023	3137FKK39	180,000.00	AA+	Aaa	12/07/18	12/17/18	179,999.46	3.11	480.45	180,000.00	181,068.77
Security Type Sub-Total		426,474.03					431,110.00	2.55	1,169.61	430,026.96	429,861.30

Federal Agency Bond / Note											
FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	50,000.00	AA+	Aaa	08/31/16	09/02/16	49,922.00	1.05	170.83	49,982.61	49,467.20
FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	800,000.00	AA+	Aaa	10/03/16	10/05/16	799,520.00	1.02	2,733.33	799,890.03	791,475.20
FHLB GLOBAL NOTES DTD 09/09/2016 1.000% 09/26/2019	3130A9EP2	850,000.00	AA+	Aaa	10/03/16	10/05/16	849,702.50	1.01	2,243.06	849,925.71	839,721.80
FNMA NOTES DTD 02/28/2017 1.500% 02/28/2020	3135G0T29	450,000.00	AA+	Aaa	02/24/17	02/28/17	449,712.00	1.52	2,306.25	449,887.28	444,878.10
FNMA NOTES DTD 08/01/2017 1.500% 07/30/2020	3135G0T60	725,000.00	AA+	Aaa	08/31/17	09/01/17	725,188.50	1.49	4,561.46	725,104.72	713,012.13



Managed Account Detail of Securities Held

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Federal Agency Bond / Note											
FANNIE MAE NOTES DTD 11/01/2018 2.875% 10/30/2020	3135G0U84	725,000.00	AA+	Aaa	11/02/18	11/06/18	723,970.50	2.95	3,473.96	724,048.47	728,977.35
FEDERAL HOME LOAN BANKS NOTES DTD 10/12/2018 3.000% 10/12/2021	3130AF5B9	725,000.00	AA+	Aaa	11/02/18	11/06/18	724,579.50	3.02	4,772.92	724,603.43	734,248.10
Security Type Sub-Total		4,325,000.00					4,322,595.00	1.82	20,261.81	4,323,442.25	4,301,779.88
Corporate Note											
GOLDMAN SACHS GRP INC CORP NT (CALLABLE) DTD 04/25/2016 2.000% 04/25/2019	38141GVT8	50,000.00	BBB+	A3	04/20/16	04/25/16	49,861.00	2.10	183.33	49,984.94	49,825.60
GOLDMAN SACHS GRP INC CORP NT (CALLABLE) DTD 04/25/2016 2.000% 04/25/2019	38141GVT8	325,000.00	BBB+	A3	04/21/16	04/26/16	324,792.00	2.02	1,191.67	324,977.48	323,866.40
CITIGROUP INC CORP NOTES DTD 06/09/2016 2.050% 06/07/2019	172967KS9	145,000.00	BBB+	Baa1	06/02/16	06/09/16	144,924.60	2.07	198.17	144,988.79	144,388.68
IBM CREDIT CORP NOTE DTD 09/08/2017 1.625% 09/06/2019	44932HAA1	300,000.00	A	A1	09/05/17	09/08/17	299,772.00	1.66	1,557.29	299,921.37	297,085.80
WAL-MART STORES INC CORP NOTE DTD 10/20/2017 1.750% 10/09/2019	931142DY6	160,000.00	AA	Aa2	10/11/17	10/20/17	159,996.80	1.75	637.78	159,998.60	158,876.64
BB&T CORP (CALLABLE) NOTE DTD 12/08/2014 2.450% 01/15/2020	05531FAS2	350,000.00	A-	A2	01/31/17	02/03/17	353,489.15	2.10	3,954.03	351,188.73	347,557.35
JPMORGAN CHASE & CO (CALLABLE) DTD 01/23/2015 2.250% 01/23/2020	46625HKA7	350,000.00	A-	A2	05/10/17	05/15/17	350,934.50	2.15	3,456.25	350,360.37	346,514.00
MORGAN STANLEY CORP BONDS DTD 01/27/2015 2.650% 01/27/2020	61747YDW2	175,000.00	BBB+	A3	01/31/17	02/03/17	176,513.75	2.35	1,983.82	175,556.20	173,686.45
AMERICAN HONDA FINANCE DTD 02/16/2017 2.000% 02/14/2020	02665WBM2	165,000.00	A+	A2	02/13/17	02/16/17	164,765.70	2.05	1,255.83	164,910.73	163,076.27



Managed Account Detail of Securities Held

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
AMERICAN EXPRESS CREDIT (CALLABLE) NOTE DTD 03/03/2017 2.200% 03/03/2020	0258M0EE5	215,000.00	A-	A2	02/28/17	03/03/17	214,776.40	2.24	1,550.39	214,910.90	212,688.54
WALT DISNEY COMPANY CORP NOTES DTD 03/06/2017 1.950% 03/04/2020	25468PDP8	70,000.00	A+	A2	03/01/17	03/06/17	69,981.80	1.96	443.63	69,992.72	69,322.26
HSBC USA INC NOTES DTD 03/05/2015 2.350% 03/05/2020	40428HPR7	125,000.00	A	A2	03/27/18	03/29/18	123,407.50	3.03	946.53	124,020.34	123,738.00
EXXON MOBIL (CALLABLE) CORP NOTE DTD 03/06/2015 1.912% 03/06/2020	30231GAG7	175,000.00	AA+	Aaa	08/14/17	08/15/17	175,887.25	1.71	1,068.86	175,398.63	173,426.75
JOHN DEERE CAPITAL CORP NOTES DTD 03/15/2017 2.200% 03/13/2020	24422ETO2	145,000.00	A	A2	03/10/17	03/15/17	144,886.90	2.23	957.00	144,953.76	143,662.38
TOYOTA MOTOR CREDIT CORP DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	120,000.00	AA-	Aa3	04/12/17	04/17/17	120,036.00	1.94	481.00	120,015.78	118,509.60
TOYOTA MOTOR CREDIT CORP DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	255,000.00	AA-	Aa3	04/11/17	04/17/17	254,882.70	1.97	1,022.13	254,948.56	251,832.90
INTEL CORP NOTES DTD 05/11/2017 1.850% 05/11/2020	458140AZ3	175,000.00	A+	A1	05/08/17	05/11/17	174,933.50	1.86	449.65	174,969.38	172,855.55
GENERAL DYNAMICS CORP DTD 05/11/2018 2.875% 05/11/2020	369550BA5	210,000.00	A+	A2	05/08/18	05/11/18	209,256.60	3.06	838.54	209,489.47	210,144.27
HOME DEPOT INC CORP NOTES DTD 06/05/2017 1.800% 06/05/2020	437076BO4	165,000.00	A	A2	05/24/17	06/05/17	164,904.30	1.82	214.50	164,953.81	162,639.02
WALT DISNEY COMPANY CORP NOTES DTD 06/06/2017 1.800% 06/05/2020	25468PDU7	350,000.00	A+	A2	06/01/17	06/06/17	349,594.00	1.84	455.00	349,803.81	344,869.35
AMERICAN HONDA FINANCE CORP NOTES DTD 07/16/2018 3.000% 06/16/2020	02665WCH2	100,000.00	A+	A2	07/11/18	07/16/18	99,865.00	3.07	125.00	99,895.39	99,785.90
JOHN DEERE CAPITAL CORP NOTES DTD 06/22/2017 1.950% 06/22/2020	24422ETS8	75,000.00	A	A2	06/19/17	06/22/17	74,954.25	1.97	36.56	74,977.17	73,830.08
AMERICAN HONDA FINANCE CORP NOTES DTD 07/20/2017 1.950% 07/20/2020	02665WBT7	85,000.00	A+	A2	07/17/17	07/20/17	84,914.15	1.98	741.27	84,954.94	83,656.41



Managed Account Detail of Securities Held

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
BNY MELLON CORP NOTE (CALLABLE) DTD 08/17/2015 2.600% 08/17/2020	06406HDD8	175,000.00	A	A1	02/16/18	02/21/18	174,140.75	2.81	1,693.61	174,431.77	173,848.68
CATERPILLAR FINL SERVICE NOTE DTD 09/07/2017 1.850% 09/04/2020	14913Q2A6	260,000.00	A	A3	09/05/17	09/07/17	259,781.60	1.88	1,563.25	259,876.15	254,936.50
CITIGROUP INC CORP NOTES DTD 10/26/2015 2.650% 10/26/2020	172967KB6	200,000.00	BBB+	Baa1	09/22/17	09/26/17	202,224.00	2.27	956.94	201,331.85	197,341.00
AMERICAN EXPRESS CO CORP (CALLABLE) NOTE DTD 10/30/2017 2.200% 10/30/2020	025816BP3	175,000.00	BBB+	A3	10/23/17	10/30/17	174,772.50	2.25	652.36	174,859.42	171,841.78
JOHNSON & JOHNSON CORP NOTE DTD 11/10/2017 1.950% 11/10/2020	478160CH5	80,000.00	AAA	Aaa	11/08/17	11/10/17	79,914.40	1.99	221.00	79,946.39	78,828.08
PACCAR FINANCIAL CORP NOTES DTD 11/13/2017 2.050% 11/13/2020	69371RN85	100,000.00	A+	A1	11/06/17	11/13/17	99,991.00	2.05	273.33	99,994.34	98,385.80
VISA INC (CALLABLE) CORP NOTES DTD 12/14/2015 2.200% 12/14/2020	92826CAB8	100,000.00	AA-	A1	08/25/17	08/30/17	101,100.00	1.85	103.89	100,650.31	98,913.70
JOHN DEERE CAPITAL CORP NOTES DTD 01/08/2018 2.350% 01/08/2021	24422ETZ2	75,000.00	A	A2	01/03/18	01/08/18	74,961.00	2.37	846.98	74,973.45	73,971.83
AMERICAN HONDA FINANCE DTD 02/15/2018 2.650% 02/12/2021	02665WCD1	50,000.00	A+	A2	02/12/18	02/15/18	49,930.00	2.70	511.60	49,949.92	49,436.80
PACCAR FINANCIAL CORP NOTES DTD 02/27/2018 2.800% 03/01/2021	69371RN93	120,000.00	A+	A1	02/22/18	02/27/18	119,941.20	2.82	1,120.00	119,957.02	118,913.28
JOHN DEERE CAPITAL CORP NOTES DTD 03/13/2018 2.875% 03/12/2021	24422EUD9	175,000.00	A	A2	03/08/18	03/13/18	174,881.00	2.90	1,523.35	174,911.72	174,529.95
NATIONAL RURAL UTIL COOP DTD 02/26/2018 2.900% 03/15/2021	63743HER9	155,000.00	A	A2	02/21/18	02/26/18	154,827.95	2.94	1,323.53	154,873.09	154,294.29
UNILEVER CAPITAL CORP NOTES DTD 03/22/2018 2.750% 03/22/2021	904764AZ0	375,000.00	A+	A1	03/19/18	03/22/18	373,083.75	2.93	2,835.94	373,563.44	373,194.75



Managed Account Detail of Securities Held

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CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
UNITED PARCEL SERVICE CORPORATE BOND DTD 11/14/2017 2.050% 04/01/2021	911312BP0	220,000.00	A+	A1	11/09/17	11/14/17	219,652.40	2.10	1,127.50	219,764.56	216,155.72
TOYOTA MOTOR CREDIT CORP NOTES DTD 04/13/2018 2.950% 04/13/2021	89236TEU5	110,000.00	AA-	Aa3	04/10/18	04/13/18	109,956.00	2.96	703.08	109,966.17	110,016.50
BANK OF NEW YORK MELLON CORP (CALLABLE) DTD 02/19/2016 2.500% 04/15/2021	06406FAA1	175,000.00	A	A1	02/14/18	02/16/18	172,723.25	2.93	923.61	173,335.27	172,845.05
MORGAN STANLEY CORP NOTES DTD 04/21/2016 2.500% 04/21/2021	61746BEA0	175,000.00	BBB+	A3	02/13/18	02/15/18	172,060.00	3.06	850.69	172,846.18	171,289.30
HERSHEY COMPANY CORP NOTES DTD 05/10/2018 3.100% 05/15/2021	427866BA5	135,000.00	A	A1	05/03/18	05/10/18	134,906.85	3.12	534.75	134,926.34	136,195.16
CHARLES SCHWAB CORP NOTES DTD 05/22/2018 3.250% 05/21/2021	808513AW5	175,000.00	A	A2	05/17/18	05/22/18	174,994.75	3.25	631.94	174,995.68	175,854.00
WAL-MART STORES INC CORP NOTES DTD 06/27/2018 3.125% 06/23/2021	931142EJ8	260,000.00	AA	Aa2	06/20/18	06/27/18	259,987.00	3.13	180.56	259,988.58	261,847.30
CATERPILLAR FINANCIAL SERVICES CORP DTD 09/07/2018 3.150% 09/07/2021	14913Q2N8	100,000.00	A	A3	09/04/18	09/07/18	99,923.00	3.18	997.50	99,930.81	100,263.50
3M COMPANY DTD 09/14/2018 3.000% 09/14/2021	88579YBA8	90,000.00	AA-	A1	09/11/18	09/14/18	89,815.50	3.07	802.50	89,833.09	90,727.38
PFIZER INC CORP NOTE DTD 09/07/2018 3.000% 09/15/2021	717081EM1	235,000.00	AA	A1	09/04/18	09/07/18	234,682.75	3.05	2,232.50	234,715.37	236,403.42
BANK OF AMERICA CORP (CALLABLE) DTD 09/18/2017 2.328% 10/01/2021	06051GGS2	135,000.00	A-	A3	09/22/17	09/26/17	134,784.00	2.37	785.70	134,849.70	132,364.94
BANK OF AMERICA CORP (CALLABLE) DTD 09/18/2017 2.328% 10/01/2021	06051GGS2	215,000.00	A-	A3	09/13/17	09/18/17	215,000.00	2.33	1,251.30	215,000.00	210,803.42
HOME DEPOT INC DTD 12/06/2018 3.250% 03/01/2022	437076BV3	105,000.00	A	A2	11/27/18	12/06/18	104,712.30	3.34	236.98	104,717.22	106,089.90



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Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
EXXON MOBIL CORP (CALLABLE) NOTE DTD 03/06/2015 2.397% 03/06/2022	30231GAJ1	175,000.00	AA+	Aaa	11/26/18	11/28/18	169,687.00	3.38	1,339.99	169,829.81	171,741.50
Security Type Sub-Total		8,630,000.00					8,619,763.80	2.41	49,972.61	8,619,189.52	8,556,871.73
Certificate of Deposit											
SKANDINAV ENSKILDA BANKEN NY CD DTD 08/04/2017 1.840% 08/02/2019	83050FXT3	725,000.00	A-1	P-1	08/03/17	08/04/17	724,717.25	1.85	5,632.44	724,917.27	720,949.43
NORDEA BANK AB NY CD DTD 02/22/2018 2.720% 02/20/2020	65590ASN7	725,000.00	AA-	Aa3	02/20/18	02/22/18	725,000.00	2.72	7,175.89	725,000.00	724,559.20
UBS AG STAMFORD CT LT CD DTD 03/06/2018 2.900% 03/02/2020	90275DHG8	625,000.00	A+	Aa2	03/02/18	03/06/18	625,000.00	2.93	5,991.32	625,000.00	625,353.75
CANADIAN IMP BK COMM NY FLT CERT DEPOS DTD 04/10/2018 2.814% 04/10/2020	13606BVF0	550,000.00	A+	Aa2	04/06/18	04/10/18	550,000.00	2.78	3,568.63	550,000.00	551,021.90
BANK OF NOVA SCOTIA HOUSTON CD DTD 06/07/2018 3.080% 06/05/2020	06417GU22	550,000.00	A+	Aa2	06/05/18	06/07/18	549,791.00	3.10	1,223.44	549,848.62	551,608.20
BANK OF MONTREAL CHICAGO CERT DEPOS DTD 08/03/2018 3.190% 08/03/2020	06370REU9	550,000.00	A+	Aa2	08/01/18	08/03/18	550,000.00	3.23	7,359.15	550,000.00	549,359.25
WESTPAC BANKING CORP NY CD DTD 08/07/2017 2.050% 08/03/2020	96121T4A3	650,000.00	AA-	Aa3	08/03/17	08/07/17	650,000.00	2.05	5,330.00	650,000.00	641,183.40
SWEDBANK (NEW YORK) CERT DEPOS DTD 11/17/2017 2.270% 11/16/2020	87019U6D6	375,000.00	AA-	Aa2	11/16/17	11/17/17	375,000.00	2.30	1,087.71	375,000.00	367,889.25
ROYAL BANK OF CANADA NY CD DTD 06/08/2018 3.240% 06/07/2021	78012UEE1	550,000.00	AA-	Aa2	06/07/18	06/08/18	550,000.00	3.24	1,188.00	550,000.00	550,056.10
Security Type Sub-Total		5,300,000.00					5,299,508.25	2.67	38,556.58	5,299,765.89	5,281,980.48
Managed Account Sub-Total		36,441,474.03					36,364,711.36	2.20	183,978.42	36,385,155.32	36,181,464.40



Managed Account Detail of Securities Held

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Securities Sub-Total	\$36,441,474.03	\$36,364,711.36	2.20%	\$183,978.42	\$36,385,155.32	\$36,181,464.40
Accrued Interest						\$183,978.42
Total Investments						\$36,365,442.82



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Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
U.S. Treasury Bond / Note												
US TREASURY NOTES	DTD 12/01/2014 1.500% 11/30/2019	912828G61	1,950,000.00	BARCLAYS		98.97	1,929,891.60	(23,155.27)	(21,053.12)	0.90	0.90	2.65
US TREASURY NOTES	DTD 02/02/2015 1.250% 01/31/2020	912828H52	1,400,000.00	HSBC		98.55	1,379,765.80	(9,077.95)	(16,248.04)	1.06	1.06	2.61
US TREASURY NOTES	DTD 03/02/2015 1.375% 02/29/2020	912828J50	575,000.00	JPM_CHAS		98.60	566,959.20	(8,018.34)	(8,030.31)	1.14	1.14	2.60
US TREASURY NOTES	DTD 04/30/2015 1.375% 04/30/2020	912828K58	575,000.00	MERRILL		98.46	566,150.18	(5,884.98)	(7,544.31)	1.30	1.30	2.56
US TREASURY NOTES	DTD 04/30/2015 1.375% 04/30/2020	912828K58	1,050,000.00	MORGAN_S		98.46	1,033,839.45	(11,443.75)	(14,093.51)	1.30	1.30	2.56
US TREASURY NOTES	DTD 06/30/2015 1.625% 06/30/2020	912828XH8	1,825,000.00	CITIGRP		98.65	1,800,333.30	(31,225.29)	(27,971.10)	1.47	1.47	2.55
US TREASURY NOTES	DTD 07/31/2015 1.625% 07/31/2020	912828XM7	250,000.00	CITIGRP		98.58	246,455.00	(3,701.25)	(3,627.02)	1.54	1.54	2.54
US TREASURY NOTES	DTD 10/31/2015 1.375% 10/31/2020	912828L99	100,000.00	JPM_CHAS		97.97	97,968.80	(1,046.83)	(1,432.86)	1.79	1.79	2.52
US TREASURY NOTES	DTD 11/30/2015 1.625% 11/30/2020	912828M98	255,000.00	JPM_CHAS		98.35	250,796.58	(3,097.76)	(3,509.43)	1.87	1.87	2.51
US TREASURY NOTES	DTD 12/31/2015 1.750% 12/31/2020	912828N48	1,200,000.00	GOLDMAN		98.57	1,182,890.40	(11,390.85)	(13,356.13)	1.95	1.95	2.49
US TREASURY NOTES	DTD 01/31/2016 1.375% 01/31/2021	912828N89	750,000.00	MORGAN_S		97.71	732,802.50	(2,197.50)	(6,939.93)	2.02	2.02	2.51
US TREASURY NOTES	DTD 06/02/2014 2.000% 05/31/2021	912828WN6	1,750,000.00	JPM_CHAS		98.89	1,730,585.50	11,689.02	5,909.05	2.34	2.34	2.48
US TREASURY NOTES	DTD 08/15/2018 2.750% 08/15/2021	9128284W7	275,000.00	NOMURA		100.68	276,858.45	2,900.44	2,812.46	2.49	2.49	2.48
US TREASURY NOTES	DTD 08/15/2018 2.750% 08/15/2021	9128284W7	325,000.00	GOLDMAN		100.68	327,196.35	3,465.88	3,357.77	2.49	2.49	2.48
US TREASURY NOTES	DTD 08/15/2018 2.750% 08/15/2021	9128284W7	375,000.00	MERRILL		100.68	377,534.25	2,812.57	2,782.04	2.49	2.49	2.48
US TREASURY NOTES	DTD 08/15/2018 2.750% 08/15/2021	9128284W7	600,000.00	NOMURA		100.68	604,054.80	6,609.49	6,382.30	2.49	2.49	2.48
US TREASURY NOTES	DTD 08/15/2018 2.750% 08/15/2021	9128284W7	1,000,000.00	MERRILL		100.68	1,006,758.00	5,937.69	6,016.51	2.49	2.49	2.48



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Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
U.S. Treasury Bond / Note												
UNITED STATES TREASURY NOTES DTD 10/15/2018 2.875% 10/15/2021		9128285F3	725,000.00	MERRILL		101.05	732,646.58	5,579.20	5,616.36	2.65	2.65	2.48
Security Type Sub-Total			14,980,000.00				14,843,486.74	(71,245.48)	(90,929.27)	1.74	1.74	2.54
Supra-National Agency Bond / Note												
INTL BANK OF RECON AND DEV GLOBAL NOTES DTD 10/27/2016 1.125% 11/27/2019		459058FS7	350,000.00	CITIGRP		98.70	345,440.20	(1,574.30)	(3,342.75)	0.89	0.89	2.59
INTL BANK OF RECONSTRUCTION AND DEV NOTE DTD 09/19/2017 1.561% 09/12/2020		45905UP32	350,000.00	HSBC		98.17	343,586.60	(5,573.40)	(5,929.96)	1.65	1.65	2.67
INTER-AMERICAN DEVELOPMENT BANK DTD 11/08/2013 2.125% 11/09/2020		4581X0CD8	345,000.00	HSBC		99.07	341,780.12	(6,417.72)	(5,168.23)	1.80	1.80	2.64
INTERNATIONAL FINANCE CORPORATION NOTE DTD 03/16/2018 2.635% 03/09/2021		45950VLO7	375,000.00	HSBC		99.89	374,575.13	(143.62)	(215.35)	2.10	2.10	2.69
INTER-AMERICAN DEVELOPMENT BANK NOTE DTD 04/19/2018 2.625% 04/19/2021		4581X0DB1	415,000.00	CITIGRP		100.10	415,410.85	1,323.85	1,117.11	2.21	2.21	2.58
INTL BANK OF RECONSTRUCTION AND DEV NOTE DTD 07/25/2018 2.750% 07/23/2021		459058GH0	510,000.00	MORGAN_S		100.41	512,074.17	3,267.57	3,101.28	2.43	2.43	2.58
Security Type Sub-Total			2,345,000.00				2,332,867.07	(9,117.62)	(10,437.90)	1.90	1.90	2.62
Municipal Bond / Note												
CA ST TXBL GO BONDS DTD 04/25/2018 2.800% 04/01/2021		13063DGA0	435,000.00	JPM_CHAS		99.91	434,617.20	(400.20)	(392.52)	2.15	2.15	2.84
Security Type Sub-Total			435,000.00				434,617.20	(400.20)	(392.52)	2.15	2.15	2.84
Federal Agency Collateralized Mortgage Obligation												



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Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Federal Agency Collateralized Mortgage Obligation												
FNMA SERIES 2015-M15 ASQ2		3136AOSW1	3,683.75	GOLDMAN		99.79	3,676.05	(44.53)	(7.70)	0.08	0.07	3.14
DTD 11/01/2015 1.898% 01/01/2019												
FNMA SERIES 2016-M9 ASQ2		3136ASPX8	1,157.30	CSFB		99.50	1,151.53	(17.34)	(6.14)	0.37	0.35	2.89
DTD 06/01/2016 1.785% 06/01/2019												
FANNIE MAE SERIES 2015-M13 ASQ2		3136AODO0	22,094.37	MORGANST		99.39	21,960.50	(355.13)	(148.24)	0.43	0.55	2.55
DTD 10/01/2015 1.646% 09/01/2019												
FNA 2018-M5 A2		3136B1XP4	219,538.61	JPM_CHAS		101.12	222,004.45	(1,901.01)	(1,072.35)	2.10	1.38	2.58
DTD 04/01/2018 3.560% 09/25/2021												
FHMS KP05 A		3137FKK39	180,000.00	CSFB		100.59	181,068.77	1,069.31	1,068.77	2.20	2.26	2.85
DTD 12/01/2018 3.203% 07/01/2023												
Security Type Sub-Total			426,474.03				429,861.30	(1,248.70)	(165.66)	2.03	1.70	2.70

Federal Agency Bond / Note												
FNMA NOTES		3135G0P49	50,000.00	GOLDMAN		98.93	49,467.20	(454.80)	(515.41)	0.65	0.65	2.64
DTD 09/02/2016 1.000% 08/28/2019												
FNMA NOTES		3135G0P49	800,000.00	HSBC		98.93	791,475.20	(8,044.80)	(8,414.83)	0.65	0.65	2.64
DTD 09/02/2016 1.000% 08/28/2019												
FHLB GLOBAL NOTES		3130A9EP2	850,000.00	TD SEC U		98.79	839,721.80	(9,980.70)	(10,203.91)	0.72	0.72	2.67
DTD 09/09/2016 1.000% 09/26/2019												
FNMA NOTES		3135G0T29	450,000.00	JPM_CHAS		98.86	444,878.10	(4,833.90)	(5,009.18)	1.14	1.14	2.50
DTD 02/28/2017 1.500% 02/28/2020												
FNMA NOTES		3135G0T60	725,000.00	NOMURA		98.35	713,012.13	(12,176.37)	(12,092.59)	1.54	1.54	2.57
DTD 08/01/2017 1.500% 07/30/2020												
FANNIE MAE NOTES		3135G0U84	725,000.00	TD		100.55	728,977.35	5,006.85	4,928.88	1.77	1.77	2.57
DTD 11/01/2018 2.875% 10/30/2020												
FEDERAL HOME LOAN BANKS NOTES		3130AF5B9	725,000.00	CITIGRP		101.28	734,248.10	9,668.60	9,644.67	2.64	2.64	2.52
DTD 10/12/2018 3.000% 10/12/2021												
Security Type Sub-Total			4,325,000.00				4,301,779.88	(20,815.12)	(21,662.37)	1.39	1.39	2.59

Corporate Note



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Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Corporate Note												
GOLDMAN SACHS GRP INC CORP NT (CALLABLE)	DTD 04/25/2016 2.000% 04/25/2019	38141GVT8	50,000.00	GOLDMAN	03/25/19	99.65	49,825.60	(35.40)	(159.34)	0.30	0.32	3.07
GOLDMAN SACHS GRP INC CORP NT (CALLABLE)	DTD 04/25/2016 2.000% 04/25/2019	38141GVT8	325,000.00	MIZUHO	03/25/19	99.65	323,866.40	(925.60)	(1,111.08)	0.30	0.32	3.07
CITIGROUP INC CORP NOTES	DTD 06/09/2016 2.050% 06/07/2019	172967KS9	145,000.00	CITIGRP		99.58	144,388.68	(535.92)	(600.11)	0.43	0.43	3.01
IBM CREDIT CORP NOTE	DTD 09/08/2017 1.625% 09/06/2019	44932HAA1	300,000.00	JPM_CHAS		99.03	297,085.80	(2,686.20)	(2,835.57)	0.67	0.67	3.08
WAL-MART STORES INC CORP NOTE	DTD 10/20/2017 1.750% 10/09/2019	931142DY6	160,000.00	MORGAN_S		99.30	158,876.64	(1,120.16)	(1,121.96)	0.76	0.76	2.67
BB&T CORP (CALLABLE) NOTE	DTD 12/08/2014 2.450% 01/15/2020	05531FAS2	350,000.00	GOLDMAN	12/15/19	99.30	347,557.35	(5,931.80)	(3,631.38)	0.99	1.00	3.14
JPMORGAN CHASE & CO (CALLABLE)	DTD 01/23/2015 2.250% 01/23/2020	46625HKA7	350,000.00	HSBC	12/23/19	99.00	346,514.00	(4,420.50)	(3,846.37)	1.02	1.03	3.21
MORGAN STANLEY CORP BONDS	DTD 01/27/2015 2.650% 01/27/2020	61747YDW2	175,000.00	WELLS_FA		99.25	173,686.45	(2,827.30)	(1,869.75)	1.04	1.04	3.37
AMERICAN HONDA FINANCE	DTD 02/16/2017 2.000% 02/14/2020	02665WBM2	165,000.00	MIZUHO		98.83	163,076.27	(1,689.43)	(1,834.46)	1.09	1.09	3.07
AMERICAN EXPRESS CREDIT (CALLABLE) NOTE	DTD 03/03/2017 2.200% 03/03/2020	0258M0EE5	215,000.00	MERRILL	02/01/20	98.92	212,688.54	(2,087.86)	(2,222.36)	1.12	1.14	3.14
WALT DISNEY COMPANY CORP NOTES	DTD 03/06/2017 1.950% 03/04/2020	25468PDP8	70,000.00	CITIGRP		99.03	69,322.26	(659.54)	(670.46)	1.14	1.14	2.79
HSBC USA INC NOTES	DTD 03/05/2015 2.350% 03/05/2020	40428HPR7	125,000.00	HSBC		98.99	123,738.00	330.50	(282.34)	1.14	1.14	3.23
EXXON MOBIL (CALLABLE) CORP NOTE	DTD 03/06/2015 1.912% 03/06/2020	30231GAG7	175,000.00	GOLDMAN	02/06/20	99.10	173,426.75	(2,460.50)	(1,971.88)	1.13	1.15	2.69
JOHN DEERE CAPITAL CORP NOTES	DTD 03/15/2017 2.200% 03/13/2020	24422ETO2	145,000.00	MITSU		99.08	143,662.38	(1,224.52)	(1,291.38)	1.17	1.17	2.99
TOYOTA MOTOR CREDIT CORP	DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	120,000.00	HSBC		98.76	118,509.60	(1,526.40)	(1,506.18)	1.26	1.26	2.93



Managed Account Fair Market Value & Analytics

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Corporate Note											
TOYOTA MOTOR CREDIT CORP DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	255,000.00	MERRILL		98.76	251,832.90	(3,049.80)	(3,115.66)	1.26	1.26	2.93
INTEL CORP NOTES DTD 05/11/2017 1.850% 05/11/2020	458140AZ3	175,000.00	MORGAN_S		98.77	172,855.55	(2,077.95)	(2,113.83)	1.33	1.33	2.77
GENERAL DYNAMICS CORP DTD 05/11/2018 2.875% 05/11/2020	369550BA5	210,000.00	MERRILL		100.07	210,144.27	887.67	654.80	1.32	1.32	2.82
HOME DEPOT INC CORP NOTES DTD 06/05/2017 1.800% 06/05/2020	437076BQ4	165,000.00	JPM_CHAS		98.57	162,639.02	(2,265.28)	(2,314.79)	1.39	1.39	2.83
WALT DISNEY COMPANY CORP NOTES DTD 06/06/2017 1.800% 06/05/2020	25468PDU7	350,000.00	DEUTSCHE		98.53	344,869.35	(4,724.65)	(4,934.46)	1.39	1.39	2.85
AMERICAN HONDA FINANCE CORP NOTES DTD 07/16/2018 3.000% 06/16/2020	02665WCH2	100,000.00	SOCGEN		99.79	99,785.90	(79.10)	(109.49)	1.41	1.41	3.15
JOHN DEERE CAPITAL CORP NOTES DTD 06/22/2017 1.950% 06/22/2020	24422ETS8	75,000.00	DEUTSCHE		98.44	73,830.08	(1,124.17)	(1,147.09)	1.44	1.44	3.04
AMERICAN HONDA FINANCE CORP NOTES DTD 07/20/2017 1.950% 07/20/2020	02665WBT7	85,000.00	BARCLAYS		98.42	83,656.41	(1,257.74)	(1,298.53)	1.50	1.50	3.00
BNY MELLON CORP NOTE (CALLABLE) DTD 08/17/2015 2.600% 08/17/2020	06406HDD8	175,000.00	HSBC	07/17/20	99.34	173,848.68	(292.07)	(583.09)	1.54	1.57	3.02
CATERPILLAR FINL SERVICE NOTE DTD 09/07/2017 1.850% 09/04/2020	1491302A6	260,000.00	BARCLAYS		98.05	254,936.50	(4,845.10)	(4,939.65)	1.62	1.62	3.05
CITIGROUP INC CORP NOTES DTD 10/26/2015 2.650% 10/26/2020	172967KB6	200,000.00	GOLDMAN		98.67	197,341.00	(4,883.00)	(3,990.85)	1.75	1.75	3.41
AMERICAN EXPRESS CO CORP (CALLABLE) NOTE DTD 10/30/2017 2.200% 10/30/2020	025816BP3	175,000.00	RBC	09/29/20	98.20	171,841.78	(2,930.72)	(3,017.64)	1.75	1.77	3.22
JOHNSON & JOHNSON CORP NOTE DTD 11/10/2017 1.950% 11/10/2020	478160CH5	80,000.00	JPM_CHAS		98.54	78,828.08	(1,086.32)	(1,118.31)	1.80	1.80	2.76
PACCAR FINANCIAL CORP NOTES DTD 11/13/2017 2.050% 11/13/2020	69371RN85	100,000.00	CITIGRP		98.39	98,385.80	(1,605.20)	(1,608.54)	1.81	1.81	2.94
VISA INC (CALLABLE) CORP NOTES DTD 12/14/2015 2.200% 12/14/2020	92826CAB8	100,000.00	FIFTH_3R	11/14/20	98.91	98,913.70	(2,186.30)	(1,736.61)	1.87	1.89	2.77
JOHN DEERE CAPITAL CORP NOTES DTD 01/08/2018 2.350% 01/08/2021	24422ETZ2	75,000.00	HSBC		98.63	73,971.83	(989.17)	(1,001.62)	1.93	1.93	3.06



Managed Account Fair Market Value & Analytics

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Corporate Note											
AMERICAN HONDA FINANCE DTD 02/15/2018 2.650% 02/12/2021	02665WCD1	50,000.00	MIZUHO		98.87	49,436.80	(493.20)	(513.12)	2.02	2.02	3.20
PACCAR FINANCIAL CORP NOTES DTD 02/27/2018 2.800% 03/01/2021	69371RN93	120,000.00	JPM_CHAS		99.09	118,913.28	(1,027.92)	(1,043.74)	2.06	2.06	3.23
JOHN DEERE CAPITAL CORP NOTES DTD 03/13/2018 2.875% 03/12/2021	24422EUD9	175,000.00	BARCLAYS		99.73	174,529.95	(351.05)	(381.77)	2.10	2.10	3.00
NATIONAL RURAL UTIL COOP DTD 02/26/2018 2.900% 03/15/2021	63743HER9	155,000.00	RBC		99.54	154,294.29	(533.66)	(578.80)	2.10	2.10	3.11
UNILEVER CAPITAL CORP NOTES DTD 03/22/2018 2.750% 03/22/2021	904764AZ0	375,000.00	CITIGRP		99.52	373,194.75	111.00	(368.69)	2.13	2.13	2.97
UNITED PARCEL SERVICE CORPORATE BOND DTD 11/14/2017 2.050% 04/01/2021	911312BP0	220,000.00	JPM_CHAS		98.25	216,155.72	(3,496.68)	(3,608.84)	2.17	2.17	2.86
TOYOTA MOTOR CREDIT CORP NOTES DTD 04/13/2018 2.950% 04/13/2021	89236TEU5	110,000.00	JPM_CHAS		100.02	110,016.50	60.50	50.33	2.18	2.18	2.94
BANK OF NEW YORK MELLON CORP (CALLABLE) DTD 02/19/2016 2.500% 04/15/2021	06406FAA1	175,000.00	BNP_PARI	03/15/21	98.77	172,845.05	121.80	(490.22)	2.17	2.19	3.06
MORGAN STANLEY CORP NOTES DTD 04/21/2016 2.500% 04/21/2021	61746BEA0	175,000.00	GOLDMAN		97.88	171,289.30	(770.70)	(1,556.88)	2.21	2.21	3.46
HERSHEY COMPANY CORP NOTES DTD 05/10/2018 3.100% 05/15/2021	427866BA5	135,000.00	MERRILL		100.89	136,195.16	1,288.31	1,268.82	2.27	2.27	2.71
CHARLES SCHWAB CORP NOTES DTD 05/22/2018 3.250% 05/21/2021	808513AW5	175,000.00	CSFB		100.49	175,854.00	859.25	858.32	2.28	2.28	3.04
WAL-MART STORES INC CORP NOTES DTD 06/27/2018 3.125% 06/23/2021	931142EJ8	260,000.00	CITIGRP		100.71	261,847.30	1,860.30	1,858.72	2.37	2.37	2.83
CATERPILLAR FINANCIAL SERVICES CORP CORP DTD 09/07/2018 3.150% 09/07/2021	14913Q2N8	100,000.00	BARCLAYS		100.26	100,263.50	340.50	332.69	2.53	2.53	3.05
3M COMPANY DTD 09/14/2018 3.000% 09/14/2021	88579YBA8	90,000.00	CITIGRP		100.81	90,727.38	911.88	894.29	2.56	2.56	2.69
PFIZER INC CORP NOTE DTD 09/07/2018 3.000% 09/15/2021	717081EM1	235,000.00	CSFB		100.60	236,403.42	1,720.67	1,688.05	2.56	2.56	2.77



Managed Account Fair Market Value & Analytics

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Corporate Note												
BANK OF AMERICA CORP (CALLABLE)	06051GGS2	135,000.00	UBS	10/01/20	98.05	132,364.94	(2,419.06)	(2,484.76)	1.74	2.62	3.07	
DTD 09/18/2017 2.328% 10/01/2021												
BANK OF AMERICA CORP (CALLABLE)	06051GGS2	215,000.00	MERRILL	10/01/20	98.05	210,803.42	(4,196.58)	(4,196.58)	1.74	2.62	3.07	
DTD 09/18/2017 2.328% 10/01/2021												
HOME DEPOT INC	437076BV3	105,000.00	JPM_CHAS		101.04	106,089.90	1,377.60	1,372.68	2.98	2.98	2.91	
DTD 12/06/2018 3.250% 03/01/2022												
EXXON MOBIL CORP (CALLABLE) NOTE	30231GAJ1	175,000.00	CITIGRP	01/06/22	98.14	171,741.50	2,054.50	1,911.69	2.97	3.01	3.01	
DTD 03/06/2015 2.397% 03/06/2022												

Security Type Sub-Total		8,630,000.00				8,556,871.73	(62,892.07)	(62,317.79)	1.58	1.62	3.01
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Certificate of Deposit												
SKANDINAV ENSKILDA BANKEN NY CD	83050FXT3	725,000.00	BARCLAYS		99.44	720,949.43	(3,767.82)	(3,967.84)	0.57	0.57	2.72	
DTD 08/04/2017 1.840% 08/02/2019												
NORDEA BANK AB NY CD	65590ASN7	725,000.00	GOLDMAN		99.94	724,559.20	(440.80)	(440.80)	1.10	1.10	2.77	
DTD 02/22/2018 2.720% 02/20/2020												
UBS AG STAMFORD CT LT CD	90275DHG8	625,000.00	UBS		100.06	625,353.75	353.75	353.75	1.14	1.14	2.88	
DTD 03/06/2018 2.900% 03/02/2020												
CANADIAN IMP BK COMM NY FLT CERT DEPOS	13606BVF0	550,000.00	MERRILL		100.19	551,021.90	1,021.90	1,021.90	0.03	1.24	2.70	
DTD 04/10/2018 2.814% 04/10/2020												
BANK OF NOVA SCOTIA HOUSTON CD	06417GU22	550,000.00	GOLDMAN		100.29	551,608.20	1,817.20	1,759.58	1.39	1.39	2.87	
DTD 06/07/2018 3.080% 06/05/2020												
BANK OF MONTREAL CHICAGO CERT DEPOS	06370REU9	550,000.00	BMO		99.88	549,359.25	(640.75)	(640.75)	1.53	1.53	3.28	
DTD 08/03/2018 3.190% 08/03/2020												
WESTPAC BANKING CORP NY CD	96121T4A3	650,000.00	JPM_CHAS		98.64	641,183.40	(8,816.60)	(8,816.60)	1.57	1.57	2.82	
DTD 08/07/2017 2.050% 08/03/2020												
SWEDBANK (NEW YORK) CERT DEPOS	87019U6D6	375,000.00	MERRILL		98.10	367,889.25	(7,110.75)	(7,110.75)	1.81	1.81	3.35	
DTD 11/17/2017 2.270% 11/16/2020												
ROYAL BANK OF CANADA NY CD	78012UEE1	550,000.00	RBC		100.01	550,056.10	56.10	56.10	2.32	2.32	3.23	
DTD 06/08/2018 3.240% 06/07/2021												

Security Type Sub-Total		5,300,000.00				5,281,980.48	(17,527.77)	(17,785.41)	1.23	1.36	2.93
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Managed Account Fair Market Value & Analytics

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Managed Account Sub-Total		36,441,474.03				36,181,464.40	(183,246.96)	(203,690.92)	1.61	1.63	2.72
Securities Sub-Total		\$36,441,474.03				\$36,181,464.40	(\$183,246.96)	(\$203,690.92)	1.61	1.63	2.72%
Accrued Interest						\$183,978.42					
Total Investments						\$36,365,442.82					



Managed Account Security Transactions & Interest

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Transaction Type	Trade	Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
BUY											
	11/27/18	12/06/18	HOME DEPOT INC DTD 12/06/2018 3.250% 03/01/2022	437076BV3	105,000.00	(104,712.30)	0.00	(104,712.30)			
	12/07/18	12/17/18	FHMS KP05 A DTD 12/01/2018 3.203% 07/01/2023	3137FKK39	180,000.00	(179,999.46)	(256.24)	(180,255.70)			
	12/11/18	12/12/18	UNITED STATES TREASURY NOTES DTD 10/15/2018 2.875% 10/15/2021	9128285F3	725,000.00	(727,067.38)	(3,321.26)	(730,388.64)			

Transaction Type Sub-Total **1,010,000.00** **(1,011,779.14)** **(3,577.50)** **(1,015,356.64)**

INTEREST											
	12/01/18	12/25/18	FANNIE MAE SERIES 2015-M13 ASQ2 DTD 10/01/2015 1.646% 09/01/2019	3136AODO0	24,183.67	0.00	33.17	33.17			
	12/01/18	12/25/18	FNMA SERIES 2016-M9 ASQ2 DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	8,585.00	0.00	12.77	12.77			
	12/01/18	12/25/18	FNMA SERIES 2015-M15 ASQ2 DTD 11/01/2015 1.898% 01/01/2019	3136AOSW1	7,237.03	0.00	11.45	11.45			
	12/01/18	12/25/18	FNA 2018-M5 A2 DTD 04/01/2018 3.560% 09/25/2021	3136B1XP4	224,309.68	0.00	672.24	672.24			
	12/03/18	12/03/18	MONEY MARKET FUND	MONEY0002	0.00	0.00	78.38	78.38			
	12/05/18	12/05/18	BANK OF NOVA SCOTIA HOUSTON CD DTD 06/07/2018 3.080% 06/05/2020	06417GU22	550,000.00	0.00	8,375.89	8,375.89			
	12/05/18	12/05/18	WALT DISNEY COMPANY CORP NOTES DTD 06/06/2017 1.800% 06/05/2020	25468PDU7	350,000.00	0.00	3,150.00	3,150.00			
	12/05/18	12/05/18	HOME DEPOT INC CORP NOTES DTD 06/05/2017 1.800% 06/05/2020	437076BQ4	165,000.00	0.00	1,485.00	1,485.00			
	12/07/18	12/07/18	CITIGROUP INC CORP NOTES DTD 06/09/2016 2.050% 06/07/2019	172967KS9	145,000.00	0.00	1,486.25	1,486.25			
	12/07/18	12/07/18	ROYAL BANK OF CANADA NY CD DTD 06/08/2018 3.240% 06/07/2021	78012UEE1	550,000.00	0.00	8,860.50	8,860.50			
	12/14/18	12/14/18	VISA INC (CALLABLE) CORP NOTES DTD 12/14/2015 2.200% 12/14/2020	92826CAB8	100,000.00	0.00	1,100.00	1,100.00			
	12/16/18	12/16/18	AMERICAN HONDA FINANCE CORP NOTES DTD 07/16/2018 3.000% 06/16/2020	02665WCH2	100,000.00	0.00	1,250.00	1,250.00			



Managed Account Security Transactions & Interest

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Transaction Type		Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
Trade	Settle									
INTEREST										
12/22/18	12/22/18	JOHN DEERE CAPITAL CORP NOTES DTD 06/22/2017 1.950% 06/22/2020	24422ETS8	75,000.00	0.00	731.25	731.25			
12/23/18	12/23/18	WAL-MART STORES INC CORP NOTES DTD 06/27/2018 3.125% 06/23/2021	931142EJ8	260,000.00	0.00	3,972.22	3,972.22			
12/31/18	12/31/18	US TREASURY NOTES DTD 06/30/2015 1.625% 06/30/2020	912828XH8	1,825,000.00	0.00	14,828.13	14,828.13			
12/31/18	12/31/18	US TREASURY NOTES DTD 12/31/2015 1.750% 12/31/2020	912828N48	1,200,000.00	0.00	10,500.00	10,500.00			
Transaction Type Sub-Total				5,584,315.38	0.00	56,547.25	56,547.25			
PAYDOWNS										
12/01/18	12/25/18	FANNIE MAE SERIES 2015-M13 ASQ2 DTD 10/01/2015 1.646% 09/01/2019	3136AQDQ0	2,089.30	2,089.30	0.00	2,089.30	(20.92)	0.00	
12/01/18	12/25/18	FNA 2018-M5 A2 DTD 04/01/2018 3.560% 09/25/2021	3136B1XP4	4,771.07	4,771.07	0.00	4,771.07	(94.90)	0.00	
12/01/18	12/25/18	FNMA SERIES 2015-M15 ASQ2 DTD 11/01/2015 1.898% 01/01/2019	3136AOSW1	3,553.28	3,553.28	0.00	3,553.28	(35.53)	0.00	
12/01/18	12/25/18	FNMA SERIES 2016-M9 ASQ2 DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	7,427.70	7,427.70	0.00	7,427.70	(74.27)	0.00	
Transaction Type Sub-Total				17,841.35	17,841.35	0.00	17,841.35	(225.62)	0.00	
SELL										
11/28/18	12/05/18	FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	50,000.00	49,386.50	134.72	49,521.22	(535.50)	(594.21)	FIFO
12/06/18	12/10/18	FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	725,000.00	716,445.00	2,054.17	718,499.17	(7,424.00)	(8,280.64)	FIFO
12/07/18	12/14/18	FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	175,000.00	172,917.50	515.28	173,432.78	(1,809.50)	(2,017.30)	FIFO
Transaction Type Sub-Total				950,000.00	938,749.00	2,704.17	941,453.17	(9,769.00)	(10,892.15)	
Managed Account Sub-Total					(55,188.79)	55,673.92	485.13	(9,994.62)	(10,892.15)	



Managed Account Security Transactions & Interest

For the Month Ending **December 31, 2018**

CITY OF LAKEWOOD - 51260100

Total Security Transactions	(\$55,188.79)	\$55,673.92	\$485.13	(\$9,994.62)	(\$10,892.15)
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*Housing
Successor*

**CITY OF LAKEWOOD SUCCESSOR AGENCY - HOUSING
FUND SUMMARY 12/13/2018**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 352 through 352. Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager.

3901	HOUSING SUCCESSOR AGENCY	2,699.00
		<hr/>
		2,699.00

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

**CITY OF LAKEWOOD SUCCESSOR AGENCY - HOUSING
SUMMARY CHECK REGISTER**

<u>CHECK #</u>	<u>CHECK DATE</u>	<u>VEND #</u>	<u>VENDOR NAME</u>	<u>GROSS</u>	<u>DISC.</u>	<u>CHECK AMOUNT</u>
352	12/13/2018	5183	SEA CREST SERVICES. INC.	2,699.00	0.00	2,699.00
Totals:				<u>2,699.00</u>	<u>0.00</u>	<u>2,699.00</u>