

AGENDA
REGULAR CITY COUNCIL MEETING
COUNCIL CHAMBERS
5000 CLARK AVENUE
LAKEWOOD, CALIFORNIA

October 23, 2018

ADJOURNED MEETING: Appeal of the Decision of the Planning and Environment Commission Regarding Conditional Use Permit No. 566, Amendment No. 3, 2729-2735 Carson Street 6:00 p.m.
EXECUTIVE BOARD ROOM

CALL TO ORDER 7:30 p.m.

INVOCATION:

PLEDGE OF ALLEGIANCE: Girl Scout Troop 2993

ROLL CALL: Mayor Steve Croft
Vice Mayor Todd Rogers
Council Member Diane DuBois
Council Member Ron Piazza
Council Member Jeff Wood

ANNOUNCEMENTS AND PRESENTATIONS:

Presentation to Young Marines Regarding Red Ribbon Week

Presentation by Captain Rick Harpham, Lakewood Sheriff's Station, Regarding Quarterly Public Safety Report

ROUTINE ITEMS:

All items listed within this section of the agenda are considered to be routine and will be enacted by one motion without separate discussion. Any Member of Council may request an item be removed for individual discussion or further explanation. All items removed shall be considered immediately following action on the remaining items.

RI-1 MEETING MINUTES - Staff recommends City Council approve Minutes of the Meeting held September 11, 2018

RI-2 PERSONNEL TRANSACTIONS - Staff recommends City Council approve report of personnel transactions.

RI-3 REGISTERS OF DEMANDS - Staff recommends City Council approve registers of demands.

RI-4 CITY COUNCIL COMMITTEES' ACTIVITIES - Staff recommends City Council approve report of City Council Committees' activities.

RI-5 MONTHLY REPORT OF INVESTMENT TRANSACTIONS - SEPTEMBER 2018 - Staff recommends City Council approve monthly report of investment transactions.

City Council Agenda

October 23, 2018

Page 2

ROUTINE ITEMS: - Continued

RI-6 QUARTERLY BUDGET REPORT OF MAJOR FUNDS AS OF SEPTEMBER 30, 2018 - Staff recommends City Council receive and file.

RI-7 AGREEMENT FOR 2018 HALLOWEEN CARNIVAL ATTRACTIONS - Staff recommends City Council approve agreement for 2018 Halloween carnival attractions with Elite Special Events.

PUBLIC HEARINGS:

1.1 AWARD OF BID FOR PUBLIC WORKS PROJECT NO. 2018-5, IMPROVEMENTS TO THE EXISTING RYNERSON PARK - Staff recommends City Council adopt plans, specifications, and working details for project; award contract for PW2018-5, in the amount of \$664,168 to the low bidder, Fleming Environmental, and authorize Mayor to sign contract; authorize staff to approve a cumulative total of change orders not to exceed \$66,000; and authorize Willdan to perform project management and inspection services up to an allowance of \$66,000.

REPORTS:

3.1 LANDSCAPE AND TURF MAINTENANCE REPORT - Staff recommends the City Council receive and file.

3.2 BUDGET ADVISORY GROUP - Staff recommends the City Council approve formation of a Budget Advisory Group, whose members include various stakeholders in the community.

3.3 QUARTERLY SCHEDULE OF INVESTMENTS AS OF SEPTEMBER 30, 2018 - Staff recommends the City Council receive and file.

ORAL COMMUNICATIONS:

CLOSED SESSION:

PUBLIC EMPLOYEE PERFORMANCE EVALUATION, Pursuant to Government Code §54957

Title: City Manager

ADJOURNMENT

In compliance with the Americans with Disabilities Act, if you are a qualified individual with a disability and need an accommodation to participate in the City Council meeting, please contact the City Clerk's Office, 5050 Clark Avenue, Lakewood, CA, at 562/866-9771, ext. 2200; or at cityclerk@lakewoodcity.org at least 48 hours in advance to ensure that reasonable arrangements can be made to provide accessibility to the meeting.

Agenda items are on file in the Office of the City Clerk, 5050 Clark Avenue, Lakewood, and are available for public review during regular business hours. Any supplemental material distributed after the posting of the agenda will be made available for public inspection during normal business hours in the City Clerk's Office. For your convenience, the agenda and the supporting documents are available in an alternate format by request and are also posted on the City's website at www.lakewoodcity.org

COUNCIL AGENDA

October 23, 2018

TO: The Honorable Mayor and City Council

SUBJECT: Appeal of Conditional Use Permit No. 566, Amendment No. 3, 2729-2735 Carson Street

INTRODUCTION

The City has received an appeal of the Planning and Environment Commission's (PEC's) decision to approve a Conditional Use Permit (CUP) and the related Categorical Exemptions for the expansion and renovation of an existing carwash and second freestanding sign at 2729-2735 Carson Street.

STATEMENT OF FACTS

On August 2, 2018 the PEC conducted a public hearing for CUP No. 566, Amendment No. 3, and following the hearing, the PEC adopted Resolution No. 25-2018 approving CUP No. 566, Amendment No. 3, and the related Categorical Exemptions. On August 22, 2018, the appellant submitted an appeal of the PEC's approval of CUP No. 566, Amendment No. 3. The appeal letter claims that the project would negatively affect the quality of life of nearby residents in terms of traffic, noise and public health. The August 2, 2018 PEC meeting items are attached to this report.

LMC Section 9407.4 HEARING BEFORE CITY COUNCIL states that, when the City Council reviews or considers an appeal of a CUP, no new matter or evidence shall be received or considered by the City Council, and that the City Council shall make its determination on the basis of the record brought before it on appeal or review.

LMC Section 9407.5. NEW HEARING DE NOVO, states that the City Council may, by majority action at any time during the course of the review of a decision of the Planning Commission under this Part brought before it by either appeal or review, determine that a new hearing should be heard for the purpose of taking new or additional evidence in respect to this matter. In such an event, a new hearing shall be set by the City Council, at which time the public will be entitled to appear to present new or additional evidence for or against said application.

RESPONSE TO APPEAL

The appellant's letter states that the reason for the appeal is due to the lack of mitigation factors for traffic, noise, and public health of nearby R-1 property owners and residents and that the approval of CUP No. 566, Amendment No. 3 will negatively affect the quality of life for the residents directly adjacent to the carwash. The appellant's contention that the PEC erred in its August 2, 2018 approval of CUP No. 566, Amendment No. 3 is analyzed below.

The project applicant submitted a Traffic Review which was included with the staff report and which was provided to the PEC and made available to the public prior to the PEC meeting. The Traffic Review found that the intersection and roadway segments adjacent to the site are operating at an acceptable level of service and would continue to do so with

the proposed project (page 5-2). Furthermore, the PEC Resolution 25-2018 incorporates recommendations from the Traffic Review to minimize impacts from the project.

A Noise Impact Analysis (NIA) was prepared and included with the staff report which was provided to the PEC and made available to the public prior to the PEC meeting. The NIA found that the projected noise levels with the proposed project would be less than 65 decibels at all nearby single-family homes. Noise levels above 65 decibels are considered significant per LMC Sections 9376 and 9376.1. Therefore, the noise levels projected to be generated by the project are considered less than significant. The Resolution incorporates recommendations from the NIA to minimize noise impacts from the project.

To protect public health, the Resolution requires that a hazardous materials analysis be prepared to determine whether hazardous materials are present in the office building which would be demolished as part of this project. The analysis must propose a method of demolition which would protect people in the surrounding area from negative health impacts. The Resolution also contains conditions designed to prevent dust from leaving the site during grading and construction.

The project's Initial Study found that all of the project's environmental impacts will be less than significant or nonexistent without mitigation measures. Nevertheless, conditions of approval were incorporated into the PEC Resolution 25-2018 to ensure that impacts will be minimized. The Planning and Environment Commission properly considered all testimony and all documents related to this case before reaching a decision to approve CUP No. 566, Amendment No. 3.

RECOMMENDATION

Staff recommends the City Council DENY the appeal and AFFIRM the PEC's decision to approve CUP No. 566, Amendment No. 3.

Sonia Dias Southwell, AICP
Director of Community Development

Thaddeus McCormack
City Manager

ATTACHMENTS

1. Report to the PEC on the application for CUP No. 566, Amendment No. 3, which includes:
 - a. Staff report on the application for CUP No. 566, Amendment No. 3.
 - b. Vicinity Map and Aerial View of the property and the surrounding area.
 - c. The following exhibits:
 - i) "A" – Site Plan
 - ii) "B" – Tunnel Equipment Plan (1 of 2)
 - iii) "C" – Tunnel Equipment Plan (2 of 2)
 - iv) "D" – Elevations
 - v) "E" – Roof Plans for Carwash and Canopies
 - vi) "F" – Planting Plan
 - vii) "G" – Irrigation Plan
 - viii) "H" – Photometric Analysis
 - ix) "T" – Storm Water Runoff Analysis
 - x) "J" – Projected Noise Levels
 - xi) "K" – Key Results from Traffic Study
 - d. PEC Resolution No. 25-2018 approving CUP No. 566, Amendment No. 3. with conditions of approval (signed).
 - e. Development Review Board Action Letter for Case No. 8732 dated May 15. 2018.
 - f. Initial Study, Environmental Checklist and appendices pursuant to CEQA.
2. Copy of the slideshow from the August 2, 2018 PEC public hearing.
3. Documents from the appellant appealing the PEC approval of CUP No. 566, Amendment No. 3.
4. Copy of the audio recording from the August 2, 2018 PEC public hearing.

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Routine Items

Routine Item 1 – City Council Minutes
will be available prior to the meeting.

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COUNCIL AGENDA
October 23, 2018

TO: The Honorable Mayor and City Council

SUBJECT: Report of Personnel Transactions

<u>Name</u>	<u>Title</u>	<u>Schedule</u>	<u>Effective Date</u>
1. FULL-TIME EMPLOYEES			
A. Appointments			
None			
B. Changes			
None			
C. Separations			
None			
2. PART-TIME EMPLOYEES			
A. Appointments			
Doug Smith	Maintenance Services Aide II	B	10/07/2018
B. Changes			
George Aguilera	Maintenance Aide I Maintenance Services Aide III	A to B	10/07/2018
Jesus Barrios	Maintenance Services Aide II Maintenance Trainee I	B to B	10/21/2018
Michelle Cervantes	Paratransit Comm Operator V Paratransit Specialist II	B to B	10/07/2018
Paul Daeng	Maintenance Services Aide II Maintenance Services Aide III	B to B	10/07/2018
Enrique Esquivel	Maintenance Services Aide II Maintenance Services Aide III	B to B	10/07/2018
Orlando Gallardo	Maintenance Services Aide II Maintenance Services Aide III	B to B	10/07/2018

Bryan Marquez	Maintenance Services Aide II Maintenance Services Aide III	B to B	10/07/2018
Nathaniel Noriega	Maintenance Aide I Maintenance Trainee I	A to B	10/21/2018
Stephen Sturz	Paratransit Vehicle Operator III Paratransit Vehicle Operator IV	B to B	10/07/2018
Rodney Williams	Maintenance Services Aide II Maintenance Services Aide III	B to B	10/07/2018

C. Separations

Tyler Bautista	Maintenance Trainee II	B	10/02/2018
Olivia Harris	DASH Paratransit Specialist II	B	10/07/2018


Thaddeus McCormack
City Manager

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**CITY OF LAKEWOOD
FUND SUMMARY 10/11/2018**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 89795 through 89894. Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager.

1010	GENERAL FUND	147,587.83
1020	CABLE TV	5,790.10
1030	CDBG CURRENT YEAR	1,625.00
1050	COMMUNITY FACILITY	315.86
1622	LA CNTY MEASURE M	431.98
3001	CAPITAL IMPROV PROJECT FUND	180,605.79
3060	PROPOSITION "A"	7.00
5010	GRAPHICS AND COPY CENTER	75.00
5020	CENTRAL STORES	2,485.52
5030	FLEET MAINTENANCE	4,688.29
6020	GEOGRAPHIC INFORMATION SYSTEM	168.07
7500	WATER UTILITY FUND	417,249.35
8030	TRUST DEPOSIT	3,506.37
		764,536.16

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
89795	10/04/2018	5165	HALLIDAY PRODUCTS INC.	1,649.66	0.00	1,649.66
89796	10/11/2018	4842	A T & T CORP	240.88	0.00	240.88
89797	10/11/2018	4113	SHAKER NERMINE	1,750.00	0.00	1,750.00
89798	10/11/2018	2701	AIRE RITE A/C & REFRIGERATION INC	5,504.17	0.00	5,504.17
89799	10/11/2018	4189	ALLIED INTERNATIONAL	284.70	0.00	284.70
89800	10/11/2018	1700	ALLIED REFRIGERATION INC	124.80	0.00	124.80
89801	10/11/2018	4684	AMAZON.COM LLC	1,119.72	0.00	1,119.72
89802	10/11/2018	4126	AUTOZONE PARTS INC	100.70	0.00	100.70
89803	10/11/2018	443	B&M LAWN AND GARDEN INC	44.37	0.00	44.37
89804	10/11/2018	307	CALIF. STATE DISBURSEMENT UNIT	153.62	0.00	153.62
89805	10/11/2018	53983	CALIF STATE FRANCHISE TAX BOARD	1,083.24	0.00	1,083.24
89806	10/11/2018	5132	CALIFORNIA COMMERCIAL POOLS. INC.	2,873.75	0.00	2,873.75
89807	10/11/2018	51331	CERRITOS POOL SUPPLY	138.72	0.00	138.72
89808	10/11/2018	45894	CINTAS CORPORATION	73.47	0.00	73.47
89809	10/11/2018	4397	CM SCHOOL SUPPLY	74.14	0.00	74.14
89810	10/11/2018	3778	COMMERCIAL AOUATIC SERVICES INC	419.93	0.00	419.93
89811	10/11/2018	53451	COMMUNITY FAMILY GUIDANCE CTR	750.00	0.00	750.00
89812	10/11/2018	4959	COTTON. KARON	136.50	0.00	136.50
89813	10/11/2018	58618	DURHAM SCHOOL SERVICES	6,504.87	0.00	6,504.87
89814	10/11/2018	51930	EWING IRRIGATION PRODUCTS INC	4,106.56	0.00	4,106.56
89815	10/11/2018	4435	ELLIOTT AUTO SUPPLY COMPANY INC	59.58	0.00	59.58
89816	10/11/2018	5030	FATHOM WATER MANAGEMENT INC.	108,975.39	0.00	108,975.39
89817	10/11/2018	5182	FRED ALLEN ENTERPRISES. INC.	1,814.54	0.00	1,814.54
89818	10/11/2018	5006	FREMONTIA HORTICULTURAL. INC	892.43	0.00	892.43
89819	10/11/2018	3188	GALLS LLC/OUARTEMASTER LLC	606.82	0.00	606.82
89820	10/11/2018	5005	GIEMONT. GREGORY S.	261.00	0.00	261.00
89821	10/11/2018	54961	HACH COMPANY	1,043.80	0.00	1,043.80
89822	10/11/2018	58838	HANSON AGGREGATES LLC	300.00	0.00	300.00
89823	10/11/2018	35477	HARA M LAWNMOWER CENTER	62.12	0.00	62.12
89824	10/11/2018	42031	HOME DEPOT	1,229.36	0.00	1,229.36
89825	10/11/2018	4622	JHM SUPPLY INC	1,961.08	0.00	1,961.08
89826	10/11/2018	59873	JJS PALOMO'S STEEL INC	49.61	0.00	49.61
89827	10/11/2018	4180	JONES RICHARD D. A PROF LAW CORP	1,417.50	0.00	1,417.50
89828	10/11/2018	4699	KEY CODE MEDIA. INC.	5,619.00	0.00	5,619.00
89829	10/11/2018	53311	LAKEWOOD MEALS ON WHEELS	875.00	0.00	875.00
89830	10/11/2018	21300	LOS ANGELES CO FIRE DEPT	6,038.00	0.00	6,038.00
89831	10/11/2018	45069	LOS ANGELES CO/DEPT PW BLDG SVCS	28,911.29	0.00	28,911.29
89832	10/11/2018	36844	LA COUNTY DEPT OF PUBLIC WORKS	5,038.70	0.00	5,038.70
89833	10/11/2018	4887	MATHESON TRI-GAS. INC.	13.22	0.00	13.22
89834	10/11/2018	52588	MILLER DON & SONS	384.51	0.00	384.51
89835	10/11/2018	4207	NOVAK. NORA	150.00	0.00	150.00
89836	10/11/2018	4443	O'REILLY AUTOMOTIVE STORES INC	501.16	13.27	487.89
89837	10/11/2018	47554	OFFICE DEPOT BUSINESS SVCS	51.74	0.00	51.74
89838	10/11/2018	465	ONTIVEROS. FRANK	85.06	0.00	85.06

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
89839	10/11/2018	5136	OPUS INSPECTION, INC.	1,396.13	0.00	1,396.13
89840	10/11/2018	5016	P & R PAPER SUPPLY COMPANY, INC.	204.87	0.00	204.87
89841	10/11/2018	65659	PHASE II SYSTEMS INC	826.42	0.00	826.42
89842	10/11/2018	3888	RP AUTOMOTIVE UAG CERRITOS I LLC	352.46	0.00	352.46
89843	10/11/2018	51171	PERS LONG TERM CARE PROGRAM	70.64	0.00	70.64
89844	10/11/2018	2174	PETTY CASH/LOVENEL REVELDEZ	1,326.82	0.00	1,326.82
89845	10/11/2018	1615	PFM ASSET MANAGEMENT LLC	3,092.40	0.00	3,092.40
89846	10/11/2018	4494	PIERSON, JEREMY L.	43.20	0.00	43.20
89847	10/11/2018	39640	RAYVERN LIGHTING SUPPLY CO INC	666.58	0.00	666.58
89848	10/11/2018	47285	ROTARY CORP	137.99	0.00	137.99
89849	10/11/2018	65297	S.T.E.A.M.	14,422.76	0.00	14,422.76
89850	10/11/2018	4309	SAFESHRED	25.00	0.00	25.00
89851	10/11/2018	1841	SAFETY DRIVER'S ED. LLC	58.50	0.00	58.50
89852	10/11/2018	5036	SFG RETIREMENT PLAN CONSULTING LLC	2,400.00	0.00	2,400.00
89853	10/11/2018	26900	SO CALIF SECURITY CENTERS INC	3.29	0.00	3.29
89854	10/11/2018	5022	MWB COPY PRODUCTS, INC.	114.98	0.00	114.98
89855	10/11/2018	29400	SOUTHERN CALIFORNIA EDISON CO	30,374.60	0.00	30,374.60
89856	10/11/2018	56039	SULLY MILLER	375.98	0.00	375.98
89857	10/11/2018	59212	TETRA TECH INC	156,069.08	0.00	156,069.08
89858	10/11/2018	59212	TETRA TECH INC	22,887.05	0.00	22,887.05
89859	10/11/2018	4364	THE RINKS-LAKEWOOD ICE	175.50	0.00	175.50
89860	10/11/2018	2533	TNEMEC COMPANY INC.	219.18	0.00	219.18
89861	10/11/2018	66451	TRICOM FIRE AND ELECTRIC CO	885.00	0.00	885.00
89862	10/11/2018	35089	UNDERGROUND SERVICE ALERT	326.80	0.00	326.80
89863	10/11/2018	49848	USA BLUE BOOK A DIVISION OF	23.96	0.00	23.96
89864	10/11/2018	4907	VARSITY BRANDS HOLDING CO INC	891.33	0.00	891.33
89865	10/11/2018	64652	CELLCO PARTNERSHIP	211.82	0.00	211.82
89866	10/11/2018	33200	WALTERS WHOLESALE ELECTRIC CO	65.16	0.00	65.16
89867	10/11/2018	7400	WATER REPLENISHMENT DISTRICT OF	312,717.33	0.00	312,717.33
89868	10/11/2018	3943	WATERLINE TECHNOLOGIES INC	2,563.19	0.00	2,563.19
89869	10/11/2018	40925	WEST COAST ARBORISTS INC	11,558.85	0.00	11,558.85
89870	10/11/2018	3837	WORTHINGTON FORD	168.77	0.00	168.77
89871	10/11/2018	3699	ALUNAN, ELENA	250.00	0.00	250.00
89872	10/11/2018	3699	BROUGHTON, CHARLES	1,250.00	0.00	1,250.00
89873	10/11/2018	3699	CHAMPLIN, COLLEEN	84.00	0.00	84.00
89874	10/11/2018	3699	DE VARA, MYRA	250.00	0.00	250.00
89875	10/11/2018	3699	DELATORRE, DANIELLE	1,250.00	0.00	1,250.00
89876	10/11/2018	3699	DIONISIO, MARIE ANTONETTE T.	250.00	0.00	250.00
89877	10/11/2018	3699	GARCIA, MARIBEL	250.00	0.00	250.00
89878	10/11/2018	3699	HOLGUIN, CLAUDIA	250.00	0.00	250.00
89879	10/11/2018	3699	JOHNSON, KIM	250.00	0.00	250.00
89880	10/11/2018	3699	MONTALVO, TANYA	250.00	0.00	250.00
89881	10/11/2018	3699	PAIGE-WILSON, CARRIE	250.00	0.00	250.00
89882	10/11/2018	3699	PALACIO, NIA	240.00	0.00	240.00

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
89883	10/11/2018	3699	PIERCE. AMY	55.00	0.00	55.00
89884	10/11/2018	3699	PINEDA. ROMINA	250.00	0.00	250.00
89885	10/11/2018	3699	RAMSEY. ROSS	100.00	0.00	100.00
89886	10/11/2018	3699	SALVADOR. JARED	250.00	0.00	250.00
89887	10/11/2018	3699	ST. JOSEPH'S HIGH SCHOOL	250.00	0.00	250.00
89888	10/11/2018	3699	URIEGA-ANGUIANO. MARTHA	15.00	0.00	15.00
89889	10/11/2018	3699	VIVINT SOLAR DEVELOPMENT	138.92	0.00	138.92
89890	10/11/2018	3699	VIVINT SOLAR DEVELOPMENT	100.16	0.00	100.16
89891	10/11/2018	3699	VIVINT SOLAR DEVELOPMENT	138.92	0.00	138.92
89892	10/11/2018	3699	VIVINT SOLAR DEVELOPMENT	99.16	0.00	99.16
89893	10/11/2018	3699	VIVINT SOLAR DEVELOPMENT	98.86	0.00	98.86
89894	10/11/2018	3699	VIVINT SOLAR DEVELOPMENT	99.06	0.00	99.06
Totals:				<u>764,549.43</u>	<u>13.27</u>	<u>764,536.16</u>

**CITY OF LAKEWOOD
FUND SUMMARY 10/18/2018**

In accordance with section 2521 of the Lakewood Municipal Code there is presented herewith a summary of obligations to be paid by voucher 89895 through 90040. Each of the following demands has been audited by the Director of Administrative Services and approved by the City Manager.

1010	GENERAL FUND	487,847.50
1020	CABLE TV	614.97
1030	CDBG CURRENT YEAR	750.00
1050	COMMUNITY FACILITY	4,516.31
1621	LA CNTY MEASURE R	6,367.00
3001	CAPITAL IMPROV PROJECT FUND	113,757.29
3060	PROPOSITION "A"	122,597.00
3070	PROPOSITION "C"	283.08
5010	GRAPHICS AND COPY CENTER	418.39
5020	CENTRAL STORES	2,845.99
5030	FLEET MAINTENANCE	25,964.34
7500	WATER UTILITY FUND	95,206.69
8030	TRUST DEPOSIT	1,000.00
		<hr/>
		862,168.56

Council Approval

_____ Date

_____ City Manager

Attest

_____ City Clerk

_____ Director of Administrative Services

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
89895	10/18/2018	62243	4IMPRINT	707.83	0.00	707.83
89896	10/18/2018	4113	SHAKER NERMINE	1,750.00	0.00	1,750.00
89897	10/18/2018	4369	ACME BALLOON CO	450.00	0.00	450.00
89898	10/18/2018	58000	AMERICAN TRUCK & TOOL RENTAL INC	164.33	0.00	164.33
89899	10/18/2018	4126	AUTOZONE PARTS INC	5.40	0.00	5.40
89900	10/18/2018	5158	BANNER BANK	5,682.64	0.00	5,682.64
89901	10/18/2018	5112	BELLFLOWER AUTOMOTIVE HECTOR	838.46	0.00	838.46
89902	10/18/2018	4800	BISHOP COMPANY	303.35	0.00	303.35
89903	10/18/2018	1935	BREA. CITY OF	32,064.00	0.00	32,064.00
89904	10/18/2018	5164	CALIFORNIA SCIENCE CENTER FOUNDATION	1,244.35	0.00	1,244.35
89905	10/18/2018	5178	CAVANAUGH & ASSOCIATES. P.A.	4,750.00	0.00	4,750.00
89906	10/18/2018	43135	CERRITOS. CITY OF - WATER DIVISION	65,884.00	0.00	65,884.00
89907	10/18/2018	45894	CINTAS CORPORATION	64.14	0.00	64.14
89908	10/18/2018	5008	COLOR CARD ADMINISTRATOR CORP.	37.54	0.00	37.54
89909	10/18/2018	3778	COMMERCIAL AOUATIC SERVICES INC	1,639.24	0.00	1,639.24
89910	10/18/2018	4737	COMPUTER PROTECTION TECHNOLOGY INC	728.00	0.00	728.00
89911	10/18/2018	4963	COUCH. RON JR.	387.50	0.00	387.50
89912	10/18/2018	60195	CR TRANSFER INC	2,758.68	0.00	2,758.68
89913	10/18/2018	4442	DANIEL'S TIRE SERVICE INC	205.21	0.00	205.21
89914	10/18/2018	4997	DE LA RIVA CONSTRUCTION. INC.	98,154.28	0.00	98,154.28
89915	10/18/2018	4734	DOSSIER SYSTEMS. INC.	1,383.00	0.00	1,383.00
89916	10/18/2018	4435	ELLIOTT AUTO SUPPLY COMPANY INC	342.19	0.00	342.19
89917	10/18/2018	4947	FILE KEEPERS. LLC	202.50	0.00	202.50
89918	10/18/2018	5006	FREMONTIA HORTICULTURAL. INC	663.30	0.00	663.30
89919	10/18/2018	4884	FRONTIER CALIFORNIA INC.	2,545.55	0.00	2,545.55
89920	10/18/2018	59433	GANAHL LUMBER COMPANY	39.14	0.00	39.14
89921	10/18/2018	4422	GARIBALDO'S NURSERY	76.65	0.00	76.65
89922	10/18/2018	64215	GOLD COAST AWARDS INC	61.27	0.00	61.27
89923	10/18/2018	65779	GOLDEN STATE WATER COMPANY	11,562.57	0.00	11,562.57
89924	10/18/2018	5174	GOMEZ. CINDY KENNEDY	200.00	0.00	200.00
89925	10/18/2018	52540	GONSALVES JOE A & SON	4,526.00	0.00	4,526.00
89926	10/18/2018	61769	GRAUTEN. EVELYN R	187.20	0.00	187.20
89927	10/18/2018	42031	HOME DEPOT	5,888.64	0.00	5,888.64
89928	10/18/2018	36589	IMMEDIATE MEDICAL CARE	350.00	0.00	350.00
89929	10/18/2018	49843	INOUYE. MICHAEL JOHN	22.10	0.00	22.10
89930	10/18/2018	4622	JHM SUPPLY INC	146.67	0.00	146.67
89931	10/18/2018	4180	JONES RICHARD D. A PROF LAW CORP	1,341.82	0.00	1,341.82
89932	10/18/2018	63573	KDC INC	4,058.00	0.00	4,058.00
89933	10/18/2018	2453	LAKEWOOD EDUCATION FOUNDATION	2,398.71	0.00	2,398.71
89934	10/18/2018	53992	YMCA OF GREATER LONG BEACH	850.00	0.00	850.00
89935	10/18/2018	4783	LANDCARE HOLDINGS INC	7,184.28	0.00	7,184.28
89936	10/18/2018	20700	LONG BEACH PUBLIC TRANSPORTATION CO	122,597.00	0.00	122,597.00
89937	10/18/2018	21100	LOS ANGELES CO DIST ATTORNEY	107.99	0.00	107.99
89938	10/18/2018	36844	LA COUNTY DEPT OF PUBLIC WORKS	2,784.38	0.00	2,784.38

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
89939	10/18/2018	22600	MARTIN & CHAPMAN CO	11,523.00	0.00	11,523.00
89940	10/18/2018	23130	MC MASTER-CARR SUPPLY CO	146.38	0.00	146.38
89941	10/18/2018	332	MERRIMAC PETROLEUM INC	18,132.58	0.00	18,132.58
89942	10/18/2018	4443	O'REILLY AUTOMOTIVE STORES INC	27.52	4.15	23.37
89943	10/18/2018	47554	OFFICE DEPOT BUSINESS SVCS	366.83	0.00	366.83
89944	10/18/2018	3975	OUTDOOR CREATIONS INC	5,557.13	0.00	5,557.13
89945	10/18/2018	5188	PACIFIC GYPSUM SUPPLY. INC.	94.11	0.00	94.11
89946	10/18/2018	51905	NED PAN. INC.	1,631.00	0.00	1,631.00
89947	10/18/2018	50512	PATHWAYS VOLUNTEER HOSPICE	750.00	0.00	750.00
89948	10/18/2018	15600	LONG BEACH PUBLISHING CO	809.34	0.00	809.34
89949	10/18/2018	5108	F & S FOOD SERVICES. INC.	733.65	0.00	733.65
89950	10/18/2018	27730	ROSEMEAD OIL PRODUCTS	509.55	0.00	509.55
89951	10/18/2018	4956	ROSS AVIATION INVESTMENT. LLC	4,769.27	0.00	4,769.27
89952	10/18/2018	45437	S & J SUPPLY CO	10,924.72	0.00	10,924.72
89953	10/18/2018	56359	S Y NURSERY	1,594.32	0.00	1,594.32
89954	10/18/2018	63960	SOUTHERN COUNTIES OIL CO	3,227.80	0.00	3,227.80
89955	10/18/2018	51723	SCMAF OFFICE	450.00	0.00	450.00
89956	10/18/2018	62286	J R SIMPLOT COMPANY	1,730.10	0.00	1,730.10
89957	10/18/2018	52279	SMART & FINAL INC	126.93	0.00	126.93
89958	10/18/2018	26900	SO CALIF SECURITY CENTERS INC	130.31	0.00	130.31
89959	10/18/2018	47054	SOUTHEAST AREA ANIMAL CONTROL AUTH	229,057.00	0.00	229,057.00
89960	10/18/2018	29400	SOUTHERN CALIFORNIA EDISON CO	9,065.85	0.00	9,065.85
89961	10/18/2018	29500	SOUTHERN CALIFORNIA GAS CO	3,428.25	0.00	3,428.25
89962	10/18/2018	4026	SPASEFF TED C	387.50	0.00	387.50
89963	10/18/2018	4972	CHARTER COMMUNICATIONS HOLDINGS. LLC	4,447.76	0.00	4,447.76
89964	10/18/2018	49529	SPICERS PAPER INC	418.39	0.00	418.39
89965	10/18/2018	2995	STRICTLY BACKFLOW INC	495.00	0.00	495.00
89966	10/18/2018	5128	SUKUT CONSTRUCTION. LLC	107,970.09	0.00	107,970.09
89967	10/18/2018	63988	T2 SYSTEMS INC	20,654.58	0.00	20,654.58
89968	10/18/2018	38679	WESTERN EXTERMINATOR COMPANY	594.33	0.00	594.33
89969	10/18/2018	5137	TECHNISOIL GLOBAL INC.	1,326.83	0.00	1,326.83
89970	10/18/2018	2372	TGIS CATERING SVCS INC	8,328.44	0.00	8,328.44
89971	10/18/2018	60685	TURF STAR	439.78	0.00	439.78
89972	10/18/2018	5028	UNISAFE INC.	173.55	0.00	173.55
89973	10/18/2018	49848	USA BLUE BOOK A DIVISION OF	474.77	0.00	474.77
89974	10/18/2018	4840	VERITIV OPERATING COMPANY	942.85	0.00	942.85
89975	10/18/2018	64652	CELLCO PARTNERSHIP	6,162.65	0.00	6,162.65
89976	10/18/2018	17640	WAXIE ENTERPRISES INC	1,337.47	0.00	1,337.47
89977	10/18/2018	1939	WAYNE HARMEIER INC	147.83	0.00	147.83
89978	10/18/2018	37745	WESTERN EXTERMINATOR CO	54.50	0.00	54.50
89979	10/18/2018	35146	WILLDAN ASSOCIATES	11,017.00	0.00	11,017.00
89980	10/18/2018	3699	AGUILAR. CLARA	250.00	0.00	250.00
89981	10/18/2018	3699	AIHARA. LAURA	40.00	0.00	40.00
89982	10/18/2018	3699	AVALOS. JACQUELINE	20.00	0.00	20.00

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
89983	10/18/2018	3699	BOLLING. JENNIFER	40.00	0.00	40.00
89984	10/18/2018	3699	BORDERS. BROOKE	250.00	0.00	250.00
89985	10/18/2018	3699	BUTLER. CHRISTINA	10.00	0.00	10.00
89986	10/18/2018	3699	CHAMPLIN. COLLEEN	43.00	0.00	43.00
89987	10/18/2018	3699	CHAN. ALYSON	250.00	0.00	250.00
89988	10/18/2018	3699	CHENG. SOPHAL	40.00	0.00	40.00
89989	10/18/2018	3699	CONTRERAS. MELANIE	30.00	0.00	30.00
89990	10/18/2018	3699	DAUGHTREY. PATRICIA	250.00	0.00	250.00
89991	10/18/2018	3699	ENRIOUEZ. MARIA	40.00	0.00	40.00
89992	10/18/2018	3699	FALES. LEAH	20.00	0.00	20.00
89993	10/18/2018	3699	GREITL. JOE	20.00	0.00	20.00
89994	10/18/2018	3699	HARLOW. DAWN	20.00	0.00	20.00
89995	10/18/2018	3699	HOUK. VERONICA	10.00	0.00	10.00
89996	10/18/2018	3699	IRVING. AMBER	250.00	0.00	250.00
89997	10/18/2018	3699	JARDINIANO. SHARYLEE	10.00	0.00	10.00
89998	10/18/2018	3699	KAWAMOTO. ANDREA	20.00	0.00	20.00
89999	10/18/2018	3699	KEOGH. KRISTA	30.00	0.00	30.00
90000	10/18/2018	3699	KIM. AUDREY	10.00	0.00	10.00
90001	10/18/2018	3699	KRUIS. BRIGETTE	10.00	0.00	10.00
90002	10/18/2018	3699	LAJENISS. JENNIFER	38.00	0.00	38.00
90003	10/18/2018	3699	LEYRAN. MHICO	250.00	0.00	250.00
90004	10/18/2018	3699	LOPEZ. JEANETTE	30.00	0.00	30.00
90005	10/18/2018	3699	MARTIN. DIANA	20.00	0.00	20.00
90006	10/18/2018	3699	MELENA. ALBA	40.00	0.00	40.00
90007	10/18/2018	3699	MELTREGER. JUDITH	73.00	0.00	73.00
90008	10/18/2018	3699	MILLAN. JENNIFER	30.00	0.00	30.00
90009	10/18/2018	3699	MONROE. KRISTEN	20.00	0.00	20.00
90010	10/18/2018	3699	MONTES. SUSANNE	20.00	0.00	20.00
90011	10/18/2018	3699	NAVARRO. MIREYA	30.00	0.00	30.00
90012	10/18/2018	3699	NOEL. PAVIELLE	110.00	0.00	110.00
90013	10/18/2018	3699	OLSIN. SHELLY	10.00	0.00	10.00
90014	10/18/2018	3699	ORTIZ. JOANNA	10.00	0.00	10.00
90015	10/18/2018	3699	ORTIZ. PATRICIA	40.00	0.00	40.00
90016	10/18/2018	3699	OWENS. SANDRA	30.00	0.00	30.00
90017	10/18/2018	3699	OZOUDE. EZINNE	250.00	0.00	250.00
90018	10/18/2018	3699	PADILLA. CARLA	40.00	0.00	40.00
90019	10/18/2018	3699	PALANCA. YOSHIMI	30.00	0.00	30.00
90020	10/18/2018	3699	PEARCE. JENNIFER	18.00	0.00	18.00
90021	10/18/2018	3699	PENONES. ELIAS	30.00	0.00	30.00
90022	10/18/2018	3699	PETRONE. GENE	10.00	0.00	10.00
90023	10/18/2018	3699	PHAN. DUC	50.00	0.00	50.00
90024	10/18/2018	3699	PITTS. LONNI	250.00	0.00	250.00
90025	10/18/2018	3699	PREMIERE BUILDERS AND HARDSCAPE	227.84	0.00	227.84
90026	10/18/2018	3699	PULLIAM. DEJA	250.00	0.00	250.00

**CITY OF LAKEWOOD
SUMMARY CHECK REGISTER**

CHECK #	CHECK DATE	VEND #	VENDOR NAME	GROSS	DISC.	CHECK AMOUNT
90027	10/18/2018	3699	OUARLES. JACOUELYN	250.00	0.00	250.00
90028	10/18/2018	3699	RALLO. SHEALEAN	20.00	0.00	20.00
90029	10/18/2018	3699	RAMIREZ. SILVIA	30.00	0.00	30.00
90030	10/18/2018	3699	REYES. JULISA	250.00	0.00	250.00
90031	10/18/2018	3699	ROLLO. ELISABETH	20.00	0.00	20.00
90032	10/18/2018	3699	SMEARER. DEB	20.00	0.00	20.00
90033	10/18/2018	3699	STAFFORD. ALLISON	20.00	0.00	20.00
90034	10/18/2018	3699	TILL. CHRISTINA	18.00	0.00	18.00
90035	10/18/2018	3699	VEGA. MIGUEL	30.00	0.00	30.00
90036	10/18/2018	3699	VILLANUEVA. DINAH	90.00	0.00	90.00
90037	10/18/2018	3699	WALICAN. LIGAYA	40.00	0.00	40.00
90038	10/18/2018	3699	WOODS. TONI	30.00	0.00	30.00
90039	10/18/2018	3699	YACUTA. CRISTINA J.	250.00	0.00	250.00
90040	10/18/2018	3699	YU. YON	38.00	0.00	38.00
Totals:				<u>862,172.71</u>	<u>4.15</u>	<u>862,168.56</u>

**CITY OF LAKEWOOD
SUMMARY ACH/WIRE REGISTER SEP 2018**

ACH date	Amount	Recipient	Purpose	Period
9/4/18	86,685.45	CalPERS	PERS contribution	Aug 12-25, 2018
9/6/18	95,269.26	CalPERS	PERS Health	Sep 2018
9/12/18	234,544.00	CJPIA	INSURANCE: PROPERTY, EARTHQUAKE & FLOOD, ME- CHANICAL BREAKDOWN, OTHER VEHICLES PHYSICAL DAMAGE	FY 17/18
9/13/18	93,714.37	IRS via F&M	Fed taxes	Aug 26-Sep 8, 2018
9/13/18	6,734.50	Southland C/U	employee savings account	Aug 26-Sep 8, 2018
9/13/18	3,813.50	F&A Fed C/U	employee savings account	Aug 26-Sep 8, 2018
9/13/18	3,564.42	MidAmerica	ARS aka APPLE	Aug 26-Sep 8, 2018
9/13/18	11,378.14	VOYA	VOYA 401(a)	Aug 26-Sep 8, 2018
9/13/18	3,425.00	PARS via U.S. Bank	excess stackable plan	Aug 26-Sep 8, 2018
9/13/18	5,808.57	PARS via U.S. Bank	stackable plan	Aug 26-Sep 8, 2018
9/13/18	21,031.88	VOYA	VOYA 457 & ROTH	Aug 26-Sep 8, 2018
9/14/18	26,002.10	EDD	State taxes	Aug 26-Sep 8, 2018
9/14/18	86,023.16	CalPERS	PERS contribution	Aug 26-Sep 8, 2018
9/14/18	74,309.76	MidAmerica	HRA aka CEMRB	Jul-Sep 2018
9/21/18	57,989.10	City Light & Power	monthly maint fee	Sep-18
9/27/18	14,139.00	CJPIA	UNDERGROUND STORAGE TANKS, POLLUTION LIABILITY INSURANCE	FY 18-19
9/27/18	95,650.06	IRS via F&M	Fed taxes	Sep 9-22, 2018
9/27/18	6,734.50	Southland C/U	employee savings account	Sep 9-22, 2018
9/27/18	3,813.50	F&A Fed C/U	employee savings account	Sep 9-22, 2018
9/27/18	3,763.56	MidAmerica	ARS aka APPLE	Sep 9-22, 2018
9/27/18	19,948.66	VOYA	VOYA 401(a)	Sep 9-22, 2018
9/27/18	9,175.58	PARS via U.S. Bank	stackable plan	Sep 9-22, 2018
9/27/18	21,431.88	VOYA	VOYA 457 & ROTH	Sep 9-22, 2018
9/28/18	26,543.08	EDD	State taxes	Sep 9-22, 2018
9/28/18	88,561.11	CalPERS	PERS contribution	Sep 9-22, 2018

Council Approval

Date

City Manager

Attest

City Clerk

Director of Administrative Services

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TO: The Honorable Mayor and City Council

SUBJECT: Report of City Council Committees' Activities

INTRODUCTION

A brief update is provided for City Council review on the activities of the following standing committee: Capital Improvement Project Committee.

STATEMENT OF FACT

On October 1, 2018, the Capital Improvement Project Committee met and discussed:

Americans with Disabilities Act

Background information was given on the Americans with Disabilities Act (ADA), which prohibits discrimination based on disability and imposes accessibility requirements on public accommodations. Many municipalities falsely believe that those accessibility requirements are only applicable to facilities built or altered after the passage of the law. In fact, the law, which was enacted in 1990, required that existing structures (i.e. facilities in existence when the law was passed) be brought into compliance by 1995, or when a major alteration is made, whichever is first. Therefore, full compliance with the law would require a public agency to remove all barriers to accessibility in all of its facilities, regardless of how old they are. The Committee discussed the city's efforts to comply with the law, specifically its assessment of city facilities and identification of various ADA related projects, the total cost of which is approximately \$6 million dollars.

Pavement Management Evaluation

A report was given with a list of street paving priorities, as requested by the committee members at the last meeting. Staff will create a schedule.

Bond Financing for CIP Projects

For purposes of better understanding how CIP projects could be funded in the future, the Committee discussed the two general funding strategies; 1) Pay as you go; and, 2) Borrowing/Financing. As it relates to Borrowing/Financing, background information was given on different types of bonds that could be used to finance CIP projects. Staff and committee members discussed the procedural and mechanical aspects of bond financing, such as timelines for repayment; timeframes for putting bond measures on a ballot; and the possibility of rebating certain expenses for Lakewood residents. The discussion was meant to provide background and general information, and not geared toward a specific proposal or imminent action.

Facilities Condition Assessment

The Committee reviewed a draft of the Executive Summary to the recently completed Facilities Condition Assessment Study. A more thorough review of the report will be given at a subsequent meeting. The draft findings estimate that the city has approximately \$34 million in deferred maintenance. The full report will have detailed individual summaries for all of the city facilities, which, due to the amount of information and complexity, will be presented to the Committee over a several month-long period (perhaps three or four facilities per meeting), beginning in December or January.

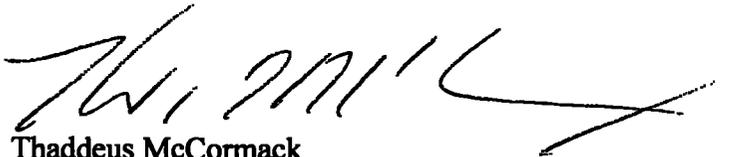
The report's summary of the city-owned Post Office building was presented to the Committee, to give a feel of the format and substance of the facility-specific summaries. The city leases the Post Office to the Federal Government. The building was constructed in 1962, so there are issues due to its age. The report identifies \$1.4 million in repairs currently needed. Given the age of the building, the Committee discussed the relative merit of making all of the repairs. The lease expires in February 2019, and the Post Office has expressed its desire to extend it another five years. Staff would like to have a discussion with the Postmaster about the possibility of relocating the Post Office elsewhere in Lakewood, rather than expend scarce funds to repair an aging facility. The lease agreement between the Post Office and the city includes some maintenance that is the responsibility of the city.

Rynerson Park Project Update

Staff went out to bid for site improvements, bridge improvements, and two picnic shelters. The picnic shelters will be bid as alternate items, and included if the budget allows. The bid opening is scheduled for October 16. At that time, we will know if the shelters can be included, or if additional funding is needed. Staff and committee members discussed the estimated amount needed for the shelters, and the advantages and disadvantages of installing the shelters at a later time. It was recommended that a Park Development Committee meeting be held in advance of the October 23 Council meeting, so that recommendations can be made to the entire City Council as to how to proceed. Additionally, it was noted that the sewer lateral bid has been awarded, and will start on Monday, October 8, 2018.

RECOMMENDATION

It is recommended that the City Council receive and file this report.



Thaddeus McCormack
City Manager

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COUNCIL AGENDA
October 23, 2018

TO: The Honorable Mayor and City Council

SUBJECT: Monthly Report of Investment Transactions – September 2018

INTRODUCTION

In accordance with California Government Code Section 53607, the City Council has delegated to the City Treasurer the responsibility to invest or to reinvest funds, or to sell or exchange securities so purchased. The California Government Code Section 53607 requires that, if such responsibility has been delegated, then the Treasurer “shall make a monthly report of those transactions to the legislative body.” In compliance with this requirement, the Monthly Report of Investment Transactions is being rendered to be received and filed.

STATEMENT OF MONTHLY ACTIVITY

<u>Date</u>	<u>Amount at Cost</u>	<u>Investment (1)</u>	<u>Transaction</u>
9/01/2018	\$ 1,717.33	CORP	Interest 2.800%
9/01/2018	\$ 54.02	FNMA	Interest 1.785%
9/01/2018	\$ 20.06	FNMA	Interest 1.898%
9/01/2018	\$ 762.83	FNMA	Interest 3.560%
9/01/2018	\$ 101.24	FNMA	Interest 1.646%
9/01/2018	\$ 3,940.84	FNMA	Paydown 1.898%
9/01/2018	\$ 7,104.82	FNMA	Paydown 3.560%
9/01/2018	\$ 25,726.20	FNMA	Paydown 1.785%
9/01/2018	\$ 10,301.06	FNMA	Paydown 1.646%
9/03/2018	\$ 2,365.00	CORP	Interest 2.200%
9/04/2018	\$ 682.50	CORP	Interest 1.950%
9/04/2018	\$ 55.47	MMF	Interest
9/04/2018	\$ 9,163.19	CD	Interest 2.900%
9/04/2018	\$ 99,923.00	CORP	Purchase 3.1519%
9/04/2018	\$ 234,682.75	CORP	Purchase 3.000%
9/04/2018	\$ 2,405.00	CORP	Interest 1.850%
9/05/2018	\$ 1,468.75	CORP	Interest 2.350%
9/05/2018	\$ 1,002,539.06	TREAS	Purchase 2.750%
9/05/2018	\$ 99,582.61	CORP	Sell 1.450%
9/05/2018	\$ 197,598.90	CORP	Sell 1.100%
9/05/2018	\$ 148,186.25	CORP	Sell 1.100%
9/05/2018	\$ 227,231.90	CORP	Sell 1.100%
9/05/2018	\$ 525,763.16	CD	Sell 1.890%
9/06/2018	\$ 1,673.00	CORP	Interest 1.912%
9/06/2018	\$ 2,437.50	CORP	Interest 1.625%
9/07/2018	\$ 49,546.62	TREAS	Sell 1.500%
9/09/2018	\$ 4,747.50	CORP	Interest 2.635%
9/10/2018	\$ 79.76	CAMP	Interest 2.12%
9/10/2018	\$ 375,506.33	TREAS	Purchase 2.750%
9/10/2018	\$ 376,955.21	CORP	Sell 2.125%

Council Agenda
October 23, 2018

<u>Date</u>	<u>Amount at Cost</u>	<u>Investment (1)</u>	<u>Transaction</u>
9/11/2018	\$ 89,815.50	CORP	Purchase ^{3.00%}
9/12/2018	\$ 128,958.70	CORP	Sell ^{1.350%}
9/12/2018	\$ 2,733.50	CORP	Interest ^{1.561%}
9/12/2018	\$ 2501.65	CORP	Interest ^{2.875%}
9/13/2018	\$ 1,595.00	CORP	Interest ^{2.200%}
9/13/2018	\$ 550,000.00	LAIF	Withdrawal
9/15/2018	\$ 2,484.74	CORP	Interest ^{2.900%}
9/20/2018	\$ 1,000,000.00	LAIF	Withdrawal
9/22/2018	\$ 5,156.25	CORP	Interest ^{2.750%}
9/26/2018	\$ 599,418.14	TREAS	Purchase ^{2.750%}
9/26/2018	\$ 4,250.00	CORP	Interest ^{1.000%}
9/26/2018	\$ 501,909.40	CORP	Sell ^{1.000%}
9/27/2018	\$ 850,000.00	LAIF	Withdrawal
9/28/2018	\$ 78.10	CAMP	Interest ^{2.14%}

(1) Investment Vehicle Terms:

- **TREAS** - Treasury Obligations of the U.S. Government to provide for the cash flow needs of the Federal Government.
- **FNMA** - Federal National Mortgage Association is a government-sponsored, privately owned corporation established to create a secondary market for Federal Housing Administration mortgages. This includes Collateralized Mortgage Obligations (CMOs).
- **CD** - Negotiable Certificates of Deposit instruments issued by large banks and freely traded in secondary markets as short term (2 to 52 weeks), large denomination (\$100,000 minimum) CDs, that are either issued at a discount on its par value, or at a fixed interest rate payable at maturity.
- **CORP** - Medium-term corporate notes, defined as all corporate and depository institution debt securities with a maximum remaining maturity of five years or less, issued by corporations organized and operating within the United States or by depository institutions licensed by the United States, or any state and operating within the United States. Medium-term corporate notes are in a rating category of "A" or better.
- **LAIF** (Local Agency Investment Fund, State of California) - The Treasurer of the State of California administers this investment pool, providing a high-level of liquidity and strong safety through diversification of investments.
- **CAMP** (California Asset Management Program) - A Joint Powers Authority established in 1989 by treasurers and finance directors of several California public agencies to provide professional investment services at a reasonable cost. Participation is limited to California public agencies.
- **MMF** (Money Market Fund) - This is a money market interest-bearing checking account that is fully insured and collateralized.

RECOMMENDATION

It is recommended that the City Council receive and file this report.



Jose Gomez
Director of Finance & Administrative Services



Thaddeus McCormack
City Manager

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TO: The Honorable Mayor and City Council

SUBJECT: Quarterly Budget Report of Major Funds – as of September 30, 2018

INTRODUCTION

The City is in the first year of the 2018-2020 Two-Year Budget adopted in June 2018. Following is a quarterly review as of September 30, 2018 for the City's two major funds, the General Fund and the Water Utility Enterprise Fund.

STATEMENT OF FACT

General Fund

The General Fund is the largest City fund and one which the Council has discretionary authority. It includes sub-funds, which are Special Olympics, CATV, The Centre and retiree benefits.

The General Fund operational expenditures are trending as expected and are aligned with the adopted budget. Overall, 20.3% of the year's total expenditure budget has been utilized through the end of the first quarter. Given the normal time lag in processing costs incurred, the figure seems appropriate. Employee Services and Contract Services represent the two largest expenditure categories. Both are tracking below budget at 21.3% and 14.9%, respectively. An area worth noting is the insurance expenditure line item. While the City's insurance premiums/contributions are slightly above the budgeted amount (approximately \$9,500), they are usually paid in lump sum during July for coverage during entire fiscal year.

ACCOUNT DESCRIPTION	ADOPTED BUDGET	YTD EXPENDITURES	% USED
50 EMPLOYEE SERVICES	\$ 20,340,757	\$ 4,329,347	21.3%
51 CONTRACT SERVICES	24,268,814	3,622,251	14.9%
52 FACILITIES EXPENSE	1,836,938	349,767	19.0%
53 OFFICE EXPENSE	139,329	27,724	19.9%
54 MEETING EXPENSE	126,280	3,755	3.0%
55 SPECIAL DEPARTMENT	1,401,236	270,152	19.3%
56 INSURANCE	1,187,905	1,197,448	100.8%
57 INTERDEPT TRANS	1,043,501	57,135	5.5%
58 CIPs / CAPITAL OUTLAY	1,050,892	600,090	57.1%
EXPENDITURE TOTAL	\$ 51,395,652	\$ 10,457,668	20.3%

Quarterly Budget Report

October 23, 2018

Page 2

General Fund revenues through the first quarter are tracking as expected and according to annual seasonal trends. For the most part, revenues lag expenses in the first quarter. This delay is to be expected due to the collection and reconciliation process prior to allocation of taxes to the City. Additionally, some revenues are typically received as single payments later in the fiscal year (i.e. SCE franchise fees and Prop A revenues). The following table provides a snapshot:

ACCOUNT DESCRIPTION	ADOPTED BUDGET	AMOUNT REC'D	% REC'D
40 PROPERTY TAXES			
C/Y SECRD	4,815,000	-	0.0%
C/Y UNSCRD	180,000	132,744	73.7%
P/Y SEC/UN	0	-	0.0%
OTH PROP	28,300	-	0.0%
PNLTS&INT	16,000	-	0.0%
RESDUAL PT	150,000	-	0.0%
	\$ 5,189,300	\$ 132,744	2.6%
41 OTHER TAXES			
SALES TAX	14,827,440	10,962	0.1%
FRANCHISE TAX	1,507,000	-	0.0%
BUSINESS LICENSE TAX	615,000	554,350	90.1%
DOCUMENTARY TRANSFER TAX	258,000	36,795	14.3%
TRANSIENT OCCUPANCY TAX	68,000	-	0.0%
UTILITY USERS TAX	3,220,000	552,721	17.2%
	\$ 20,495,440	\$ 1,154,828	5.6%
42 LICENSES & PERMITS			
BUILDING & SAFETY PERMITS	1,330,940	330,735	24.8%
OTHER	10,000	11	0.1%
	\$ 1,340,940	\$ 330,746	24.7%
43 FINE FORFEIT PENALTY			
	\$ 807,700	\$ 200,404	24.8%
44 USE OF MONEY & PROPERTY			
INTEREST EARNINGS	443,000	119,610	27.0%
LEASES	571,033	127,466	22.3%
RENTALS	250,775	58,398	23.3%
CENTRE CONCESSIONS	352,000	30,834	8.8%
	\$ 1,616,808	\$ 336,308	20.8%
45 FROM OTHER AGENCIES			
FEDERAL GRANTS	529,085	-	0.0%
MOTOR VEHICLE LICENSE FEES	8,919,000	-	0.0%
SUCCESSOR AGENCY	286,000	123,331	43.1%
	\$ 9,734,085	\$ 123,331	1.3%

Quarterly Budget Report

October 23, 2018

Page 3

ACCOUNT DESCRIPTION	ADOPTED BUDGET	AMOUNT REC'D	% REC'D
46 CURRENT SERVICE CHARGE			
REFUSE COLLECTION CHG	5,618,000	850,421	15.1%
RCS FEES	943,836	370,806	39.3%
PUBLIC SAFETY FEES	942,500	147,291	15.6%
BUILDING & SAFETY FEES	466,099	175,419	37.6%
OTHER CHARGES	108,500	46,057	42.4%
CATV SUBSCRIBER FEES	170,000	-	0.0%
	\$ 8,248,935	\$ 1,589,994	19.3%
47 OTHER REVENUE			
PROP A	750,000	-	0.0%
E-WASTE	5,000	-	0.0%
SALE OF FIXED ASSETS	100,000	119,000	119.0%
	\$ 855,000	\$ 119,000	13.9%
49 BUDGETED TRANSFERS			
TRANSFER FROM GAS TAX	2,022,259	-	0.0%
TRANSFER FROM WATER	1,746,315	-	0.0%
	\$ 3,768,574	\$ -	0.0%
REVENUE TOTAL	\$ 52,056,782	3,987,355	7.7%

Water Utility Enterprise Fund

Water expenses are under budget overall. As anticipated, disproportionate insurance and CIP expenses were incurred early in the fiscal year.

ACCOUNT DESCRIPTION	ADOPTED BUDGET	YTD EXPENSE	% USED
50 EMPLOYEE SERVICES	\$ 2,367,003	\$ 486,287	20.5%
51 CONTRACT SERVICES	1,447,717	154,725	10.7%
52 FACILITIES EXPENSE	2,195,950	7,333	0.3%
53 OFFICE EXPENSE	3,000	183	6.1%
54 MEETING EXPENSE	6,000	354	5.9%
55 SPECIAL DEPARTMENT	720,922	57,450	8.0%
56 OTHER OPERATING	4,318,789	1,252,196	29.0%
57 INTERDEPT TRANS	200,910	6,726	3.3%
58 CIPs / CAPITAL OUTLAY	270,000	258,490	95.7%
59 BUDGETED TRANSFERS	1,746,315	-	0.0%
EXPENSE TOTAL	\$ 13,276,606	\$ 2,223,744	16.7%

Quarterly Budget Report

October 23, 2018

Page 4

Overall water revenues are trending slightly below the anticipated mark. Water meter sales, the largest revenue source, are highly sensitive to weather patterns and may vary significantly depending on rainfall experienced.

ACCOUNT DESCRIPTION	ADOPTED BUDGET	YTD REVENUE	% REC'D
44000 INVEST. EARNINGS	\$ 185,000	\$ 49,950	27.0%
44100 RENTS & CONCESSIONS	53,682	12,421	23.1%
45800 OTHER GRANTS	67,809	0	0.0%
46415 OTHER REVENUE	200,000	15,851	7.9%
48000 METERED WATER SALES	11,254,656	1,916,721	17.0%
48010 WTR SALES-INTERCONNECT	1,647,884	283,264	17.2%
48050 FIRE PROTECTION SERVICES	154,846	26,637	17.2%
48100 RECLAIMED WATER	439,085	142,862	32.5%
48250 SERVICE INITIATION FEES	45,000	13,350	29.7%
48300 SERVICE RESTOR. CHARGE	75,000	46,009	61.3%
48350 OTHER OPERATING INCOME	100,000	0	0.0%
REVENUE TOTAL	\$ 14,222,962	\$ 2,507,066	17.6%

RECOMMENDATION

It is recommended that the Lakewood City Council receive and file the Quarterly Budget Report of Major Funds.



Jose Gomez
Director of Administrative Services



Thaddeus McCormack
City Manager

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TO: The Honorable Mayor and City Council

SUBJECT: Agreement for 2018 Halloween Carnival Attractions

INTRODUCTION:

The City of Lakewood offers Halloween Carnivals at eight city parks on Halloween night each year. Carnivals are safe, fun, family-oriented options for Lakewood residents on the holiday. Each park provides a themed event with games, contests, entertainment, and four parks offer a haunted house. Two of the most highly attended facilities—Bolivar and Mayfair Parks—also offer inflatable attractions at a minimal cost for attendees.

STATEMENT OF FACT

Since 1956, the Recreation and Community Services Department has provided the community with a fun and safe Halloween event at eight city parks. These parks, nestled within neighborhoods, offer residents an opportunity to celebrate the spooky night within walking distance of most Lakewood homes.

Every park offers carnival games at which participants earn candy for winning. The games are hosted by volunteers from local high schools, sports teams, and service organizations. Several parks offer scary haunted houses for older attendees. Contests and a slate of entertainment run throughout the events, with a costume contest taking place at all parks at 7:00 pm.

To augment entertainment options, inflatable attractions are offered at Bolivar and Mayfair Parks, at a cost of one dollar for one ticket, or an all-night wristband for \$20. Staff have worked closely with Elite Special Events for many years. The contractor has proven to be trusted, reliable, and provides safe attractions for this and other events throughout the year. The attractions are provided at no cost to the city. The contractor will remit payment, in the amount of 10% of total proceeds, to the city following the event.

RECOMMENDATION

Staff recommends that the City Council approve agreement for 2018 Halloween carnival attractions with Elite Special Events.

Valarie Frost, Director *VF*
Recreation and Community Services


Thaddeus McCormack
City Manager

AGREEMENT

2018 HALLOWEEN CARNIVALS ATTRACTIONS

THIS AGREEMENT made and entered into on the 23rd day of October, 2018 by and between the CITY OF LAKEWOOD, a municipal corporation (“City”), and ELITE SPECIAL EVENTS, a corporation (“Contractor”).

W I T N E S S E T H

WHEREAS, City has approved and scheduled Halloween Carnivals; and

WHEREAS, City desires to provide amusements as part of its Halloween Carnival; and

WHEREAS, Contractor represents that it has the equipment, supplies and technical personnel to provide said amusements; and

WHEREAS, Contractor is desirous of providing said amusements:

NOW, THEREFORE, in consideration of the foregoing recitals and the covenants and agreements of each of the parties as hereinafter set forth, the parties hereto do mutually agree as follows:

1. **SCOPE OF SERVICES.** Contractor agrees to provide at its own cost and expense:
 - A. Amusements as listed in Exhibit A with the understanding that the Contractor may at its request substitute amusements not listed, provided City approval in writing is received for said substitution.
 - B. All necessary personnel, equipment and supplies for this event including, but not limited to game concessions, generating plants, necessary electrical junction boxes, and cleanup crews.
 - C. Appropriate and clean uniforms for all Contractor’s employees and amusement or game operators.
 - D. To have amusement rides set-up and ready for inspection by 5:30 p.m. on Wednesday, October 31, 2018.
 - E. Provide a list of names of employees working the event by Monday, October 26, 2018.

2. **TIME & LOCATION OF PERFORMANCE.** Contractor agrees to provide said amusements on Wednesday, October 31, 2018 from 6:00 p.m. – 8:30 p.m. at the following locations:

Bolivar Park
3300 Del Amo Blvd.
Lakewood, CA 90712

Mayfair Park
5720 Clark Avenue
Lakewood, CA 90712

City reserves the right to change the time, place and manner of said event in any respect at any time prior to thirty (30) days before Wednesday, October 31, 2018.

3. **COMPENSATION FOR SERVICES.** As compensation for being allowed to operate said family entertainment and amusements, Contractor shall give the City of Lakewood 10% of gross revenue collected from each facility for which a performance is offered and City shall incur no out of pocket expenses as a result.
4. **NON-DISCRIMINATION IN EMPLOYMENT PRACTICES.** Contractor shall not discriminate in the employment of persons in connection with the performance of services as provided for in this agreement on the basis of race, color, creed, national origin, sex, ancestry, religion, age or handicaps.
5. **WORKER'S COMPENSATION INSURANCE.** Contractor agrees to maintain, where necessary and required by law, Worker's Compensation Insurance. It is further agreed that Contractor and all members, officers, and employees, subcontractors, their agents, officers, and employees engaged in the performance of any services in connection with this Contract, shall not be deemed officers, agents, or employees of City, and City shall not be liable or responsible to them for anything whatsoever, other than the payment of consideration herein set forth.
6. **INDEPENDENT CONTRACTOR.** It is expressly understood and agreed that Contractor has been retained as an independent contractor, as distinguished from an employee or agent of City to perform the aforementioned services. Contractor acknowledges the independent contractual relationship, and releases City from any liability or obligation to make deductions or withholding for the compensation of any officer, agent or employee thereof. in respect to unemployment, income tax, disability, social security, health, pension or retirement benefits. It is expressly understood no officer, agent or employee of Contractor shall have any City status or benefit, including health, retirement, and worker's compensation benefits.

Contractor acknowledges its independent contractor status in performing said services, and assumes the risk to itself, all agents, employees and subcontractors, and their agents or employees, of personal injury or death, and all risk of property damage or loss of any property arising out of the performance of said services by or on behalf of City, the work site, the place to work, or the duties bestowed upon Contractor pursuant to this agreement, and does hereby release City, its officers and personnel from any liability to Contractor, or its officers, agents, employees, subcontractors, or agents or employees thereof, for any loss or damage thereby incurred, or for contributions as a joint tortfeasor therefor.

7. **LIABILITIES AND INDEMNIFICATION.** Contractor shall indemnify, defend, and hold harmless City, and its officers employees, and agents (“City indemnitees”), from and against any and all causes of action, claims, liabilities, obligations, judgments, or damages, including reasonable attorneys’ fees and costs of litigation (“claims”), arising from Contractor’s activities in the performance of the services under this Agreement, excepting only those actions, claims, liabilities, obligations, judgments, or damages arising out of the sole negligence of City indemnitees. In the event City indemnitees are made a party to any action, lawsuit, or other adversarial proceeding alleging negligent or wrongful conduct on the part of Contractor:
- a. Contractor shall provide a defense to City indemnitees or at City’s option reimburse City indemnitees their costs of defense, including reasonable attorneys’ fees, incurred in defense of such claims; and
 - b. Contractor shall promptly pay any final judgment or portion thereof rendered against City indemnitees.
8. **INSURANCE.** Prior to the beginning of and throughout the duration of work done pursuant to this Agreement and in conformance with the requirements set forth below, Contractor will provide evidence of and maintain insurance by insurers with an A.M. Best rating of no less than A- for Financial Strength and in the Financial Size Category of 11 or better. Contractor will use existing coverage to comply with these requirements. If that existing coverage does not meet the requirements set forth here, it will be amended to do so. Contractor acknowledges that the insurance coverage and policy limits set forth in this section constitute the minimum amount of coverage required. Any insurance proceeds available to City in excess of the limits and coverage required in this Agreement and which is applicable to a given loss, will be available to City.

Contractor shall provide the following types and amounts of insurance:

- A. **Commercial General Liability Insurance** using Insurance Services Office “Commercial General Liability” policy form CG 00 01 or the exact equivalent. Defense costs must be paid in addition to limits. There shall be no cross liability exclusion for claims or suits by one insured against another. Limits shall be no less than \$1,000,000 per occurrence for all covered losses and no less than \$2,000,000 general aggregate.
- B. **Worker’s Compensation** on a state-approved policy form providing statutory benefits as required by law with employer’s liability limits no less than \$1,000,000 per accident for all covered losses.
- C. **Business Auto Coverage** on ISO Business Auto Coverage form CA 00 01 including symbol 1 (Any Auto) or the exact equivalent. Limits shall be no less than \$1,000,000 per accident, combined single limit. If Contractor owns no vehicles, this requirement may be satisfied by a non-owned auto endorsement to the general liability policy described above. If Contractor or Contractor’s employees will use personal autos in any way on this project, Contractor shall provide evidence of personal auto liability coverage for each such person.

- D. Excess or Umbrella Liability Insurance (Over Primary)** if used to meet limit requirements, shall provide coverage at least as broad as specified for the underlying coverages. Any such coverage provided under an umbrella liability policy shall include a “drop down” provision with a maximum \$25,000 self-insured retention for liability not covered by primary but covered by the umbrella. Coverage shall be “pay on behalf”, with defense costs payable in addition to policy limits. There shall be no cross liability exclusion precluding coverage for claims or suits by one insured against another. Coverage shall be applicable to City for injury to employees of Contractor, subcontractors or others involved in the Work. The scope of coverage provided is subject to approval of City following receipt of proof of insurance as required herein.
- E.** Contractor agrees to endorse third party liability coverage required herein to include as additional insured City, its officials, employees and agents, using ISO endorsement CG 20 10 11 85. Contractor also agrees to require all contractors, subcontractors, and any one else involved in this agreement on behalf of the contractor (hereinafter “indemnifying parties”) to comply with these provisions.
- F.** Contractor agrees to waive subrogation rights against City regardless of the applicability of any insurance proceeds, and to require all indemnifying parties to do likewise.
- G.** All insurance coverage maintained or procured by Contractor or required of others by Contractor pursuant to this agreement shall be endorsed to delete the subrogation condition as to City, or must specifically allow the named insured to waive subrogation prior to a loss.
- H.** All coverage types and limits required are subject to approval, modification and additional requirements by City. Contractor shall not make any reductions in scope or limits of coverage that may affect City’s protection without City’s prior written consent.
- I.** Proof of compliance with these insurance requirements, consisting of endorsements and certificates of insurance shall be delivered to City prior to the execution of this Agreement. If such proof of insurance is not delivered as required, or if such insurance is canceled at any time and not replacement coverage is provided, City has the right, but not the duty, to obtain any insurance it deems necessary to protect its interests. Any premium so paid by City shall be charged to and promptly paid by Contractor or deducted from sums due Contractor.
- J.** Contractor agrees to endorse the insurance provided pursuant to these requirements, to require 30 days notice to City prior to cancellation of such liability coverage or any material alteration or non-renewal of any such coverage, and to require indemnifying parties to do likewise.
- K.** It is acknowledged by the parties of this agreement that all insurance coverage required to be provided by Contractor indemnifying party, is intended to apply first and on a primary non-contributing basis in relation to any other insurance or self insurance available to City.

- L. Contractor agrees not to self-insure or to use any self-insured retentions or deductibles on any portion of the insurance required herein and further agrees that it will not allow any indemnifying party to self-insure its obligations to City. If contractor's existing coverage includes a deductible or self-insured retention, the deductible or self-insured retention must be declared to City. City may review options with the contractor, which may include reduction or elimination of the deductible or self-insured retention, substitution of other coverage, or other solutions.
- M. Contractor will renew the required coverage annually as long as City, or its employees or agents face an exposure from operations of any type pursuant to this Agreement. This obligation applies whether or not this Agreement is canceled or terminated for any reason. Termination of this obligation is not effective until City executes a written statement to that effect.
9. **SUBCONTRACTING.** Contractor shall not assign, sublet, or transfer any part or portion of this agreement, or any responsibility hereunder without the prior approval of City.
10. **GENERAL RESPONSIBILITIES.** Contractor agrees that the development, presentation and maintenance of amusements at Halloween Carnivals at Bolivar and Mayfair parks shall be its sole responsibility. It is further agreed and understood, however, that the time, place and manner of said presentation, any fees or charges for said presentation, and the overall format of said presentation shall be subject to the approval of City, and City reserves the right to terminate said presentation at any time, or to change the time, place and manner of said presentation in any respect at any time, without further notice in the reasonable discretion of the responsible City officer serving as representative of the City when that officer has determined such termination is necessary for the public health, safety, convenience or welfare.
11. **SET-UP/BREAKDOWN TIME.** City agrees to allow Contractor a period of four hours prior to the event for set-up and two hours at the conclusion of the event for breakdown.
12. **ASSUMPTION OF RISK.** Contractor does hereby assume all risk to itself, its personnel, subcontractors, and agents and employees thereof, of personal injury or death, and all risk of property damage or loss to any property, wares, or materials of the foregoing from whatever source, and said Contractor further releases City, the City Council, and all officers and employees thereof, from any liability therefor, or for contribution as a joint tortfeasor therefor.
13. **WASTE.** Contractor shall not utilize any of the grounds, buildings or premises of City so as to commit waste, and where damaged by it shall restore the same to the same condition it was in prior to the commencement of this agreement, reasonable wear and tear excepted.

14. **LAWS, ORDINANCE AND PERMITS.** Contractor shall comply with all applicable laws, ordinances and codes of the State, County, and City (See Exhibit B for applicable City Ordinances), and Contractor shall obtain, at Contractor's cost, all necessary permits and approvals for said amusement including a license to do business within the City of Lakewood.

15. **NOTICES.** Any written notice to the parties hereto shall be deposited in the United States mail, postage prepaid, addressed as follows:

CITY: Director of Recreation and Community Services
5050 Clark Avenue
P.O. Box 158
Lakewood, CA 90714

CONTRACTOR: Ted Holcomb
Elite Special Events
11278 Los Alamitos Blvd. #101
Los Alamitos, CA 90720
562-799-7737

IN WITNESS WHEREOF the parties hereto have caused this agreement to be executed the day and year first above written.

CITY OF LAKEWOOD

By _____
Mayor

ATTEST:

By _____
City Clerk

APPROVED AS TO FORM:

CONTRACTOR

City Attorney

By _____
Title

EXHIBIT "A"

LIST OF AMUSEMENTS AND PRICES FOR 2018 HALLOWEEN CARNIVALS

Amusements for Mayfair Park

Giant Slide
Giant Obstacle Course
Mechanical Bull,
Jousting Arena
Rock-Climbing Wall
Sports Game Arena
Sports Jumper
Sports Game
Wrecking Ball

Amusements for Bolivar Park

Extreme Obstacle
Tiger Slide
Log Ride Slide
Dolphin Slide
Princess Bounce house
3-1 sports inflatable

Ticket Prices

\$1 for 1 ticket
\$25 for 20 tickets
\$20 ride all night wristband

EXHIBIT " B "
PERTINENT CITY OF LAKEWOOD MUNICIPAL CODES

1. It shall be unlawful for any person or organization conducting a carnival to employ any person to work at such carnival who has been convicted of any felony, or any misdemeanor which constitutes a violent crime, or who is required to register as a sex offender pursuant to Section 290 of the California Penal Code. Persons working at carnival shall be subject to spot checks by law enforcement personnel, and shall provide identification promptly when asked to do so by law enforcement personnel. (LMC 6404.H)
2. Unlawful to use or possess any portable barbecue, grill, smoker, cooking equipment, apparatus, fire pit or hibachi. (LMC 4220.H)
3. Dogs prohibited. (LMC 4222)
4. Alcohol prohibited. (LMC 4500)
5. Operation of vehicles, motorcycles, motor driven bicycles, bicycles or skateboard prohibited. (LMC 4220.1)
6. Unlawful to remain in any park or recreational area when ordered to leave. (LMC 4220.2)
7. Baseball played with a hard ball prohibited except on designated fields during approved times. (LMC 4220.J)
8. Roller-skating on sidewalks and pedestrian paths prohibited. (LMC 4220.J)
9. Golf prohibited. (LMC 4220.J)
10. Tackle football prohibited. (LMC 4220. J)
11. Operation of powered model airplanes, model helicopters, model rockets or any power driven aircraft prohibited. (LMC 4220.J)

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Public Hearings

TO: The Honorable Mayor and City Council

SUBJECT: Award of Bid for Public Works Project No. 2018-05
Improvements to the Existing Rynerson Park

INTRODUCTION

On October 16, 2018 the City Clerk received four bids for the Improvements to the Existing Rynerson Park project. The work generally consists of park restroom building and miscellaneous demolition, septic tank abandonment, pad preparation for new factory-built restroom building, site improvements to include concrete walkways, concrete ramps, parking striping and related improvements at the existing Rynerson Park, Lakewood California.

STATEMENT OF FACT

On May 8, 2018 Council authorized the purchase of a prefabricated restroom from the Public Restroom Company. The restroom will be delivered and installed in early December, and site work is required to prepare the subgrade pad at the site of the restroom building and provide utility hookups. The site work includes demolition of the old building and septic systems, preparation of the building pad, utility stub outs, and connecting walkways. The work also includes some ADA improvements to parking, as well as pedestrian bridge improvements. In addition, Additive Alternates for picnic shelter installation was included in the bids.

The City Clerk received and opened four bids for the proposed project on October 16, 2018. Following is the bid summary:

RANK	BIDDER	BASE BID AMOUNT
1	Fleming Environmental	\$664,168
2	EC Construction	\$720,660
3	Green Giant Landscaping	\$879,000
4	De La Riva Construction	\$898,995

The lowest responsible bidder is Fleming Environmental in the base bid amount of \$664,168. Fleming provided Additive Alternate bids of \$222,882 for the installation of one picnic shelter and related improvements, and \$217,882 for the installation of the other picnic shelter and related improvements. Due to the high cost, Staff recommends we do not include the Additive Alternates in the award of bid.

Staff has verified with the State Contractors License Board that Fleming Environmental is properly licensed for the work. References contacted by staff provided favorable comments on the quality of their work with one exception, and they have completed similar installations for various public facilities.

There are adequate funds in the project budget to complete the full scope of contract work and to cover a 10% contingency during installation.

SUMMARY

Bids have been received on Public Works Contract 18-05. Staff recommends the contract be awarded to the lowest responsible bidder, Fleming Environmental and that \$66,000 in project funds be authorized for contingency purposes.

RECOMMENDATION

Staff recommends that the City Council:

- (1) Adopt the plans, specifications, and working details for the subject project.
- (2) Award a contract for the "Improvements to the Existing Rynerson Park", Public Works Contract 2018-05, in the amount of \$664,168 to the low bidder Fleming Environmental. and authorize the Mayor to sign the contract in a form approved by the City Attorney.
- (3) Authorize staff to approve a cumulative total of change orders, as necessary not to exceed \$66,000.
- (4) Authorize Willdan to perform project management and inspection services up to an allowance of \$66,000 under their existing Engineering-Services Agreement.

Lisa Ann Rapp *LAR* for
Director of Public Works

Thaddeus McCormack
Thaddeus McCormack
City Manager

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Reports

COUNCIL AGENDA

October 23, 2018

TO: The Honorable Mayor and City Council

SUBJECT: Landscape and Turf Maintenance Report

INTRODUCTION

The Environmental Resources Division (ERD) maintains the turf, landscape and unimproved areas owned by the city. ERD staff services over 200 bus stops twice per week, removes illegally dumped items from 45 alleyways, and performs turf and landscape renovations throughout city property. Completion of service requests and work orders calling for pest control, landscape maintenance, and irrigation repairs are also a component of the weekly work schedule.

STATEMENT OF FACTS

The majority of work performed by the landscape and turf crews is calendared on a routine schedule. Park meadows are mowed and high image areas are trimmed on a weekly basis. Hedges and planters along streets and water well sites are trimmed and manicured weekly.

Some areas in Lakewood receive service as needed. Alleyways are cleared of debris and illegally dumped items as reported through service requests. Additionally, overhanging vegetation is removed from pedestrian right-of-ways.

LANDSCAPE MAINTENANCE

The landscape crew provides scheduled maintenance twice per week at the Community Gardens, and weekly maintenance at the Weingart Senior Center. In addition to facility maintenance, the landscape crew is responsible for delivery and pickup of EZ-Up canopies, tables, chairs, cones and other requested supplies and equipment for events including the Community Run, the Pan Am Fiesta, Civic Center Block Party, Summer Stampede Car Show, and Halloween carnivals.

In a cost savings effort, the ERD has been given the opportunity to develop and install a drought tolerant landscape plan at the newly renovated Burns Community Center. They have also taken over the turf and irrigation maintenance at Bolivar Park where the new storm water capture project was installed.

CAPITAL PROJECT SUPPORT

With the construction portion of the Burns Community Center project winding down, city crews have begun the first phase of landscape improvements in the parking lot and courtyard. Phase I includes the installation of water conserving irrigation systems and all new plant material. Yellow oleander bushes will line the back of the parking lot along the flood channel and purple hopseed bushes will separate the parking lot from its neighbor to the south. Crepe myrtle and tabebuia trees

will be planted throughout the parking lot and courtyard providing both height and color to the landscape. Following phase I, the second phase will take place on the northwest corner of the property. Crews will build a dry creek of boulders and pebbles on the upper portion of the slope. Palo Verde trees and several varieties of drought tolerant plants will surround the creek. Finally, the lower portion of the slope will be seeded to create fresh turf. Maintaining internal control of the planting improvements cuts spending on the project by utilizing staff rather than contractors. Furthermore, installing familiar irrigation, while planning for maintenance of materials, provides manpower efficiencies into the future.

Following the contractor's release of the Bolivar Park Stormwater Capture Project, responsibility for the turf and landscape has been assumed by the Landscape crew. The areas north of McCormick Pool and surrounding the new picnic shelters were left in a state not commensurate with Lakewood standards. To begin the reparation process, the area was aerated and fertilized before sand and topper was applied to level areas in the turf. An irrigation schedule was determined and has been implemented to maintain green and healthy growth. When the seasons begin to change and the weather cools, crews will apply a cold season grass variety with topper in an effort to establish and maintain year-round growth.

TURF MAINTENANCE

Environmental Resources turf crews maintain over 200 acres of turf throughout city parks, facilities, street panels, and medians. Staff carries out mowing, edging, blowing, fertilization, aeration, and renovation of these areas. To complement city efforts, Environmental Resources oversees a mowing service contract for maintenance of large meadows at city parks and on medians located in eastern Lakewood. The Turf Maintenance crew prides itself on ensuring our city streetscapes and parks are cared for at a level that is recognizable when entering the city.

SUMMARY

The maintenance of turf and landscape throughout the city supports Lakewood's commitment to preserve neighborhood quality of life. Providing well-maintained city streets with manicured turf and landscaped areas remains a priority. Environmental Resources staff is an invaluable part of keeping Lakewood looking well maintained along major streets, at city parks, and at city-owned properties. Additionally, the crews provide intricate support that contribute to the success of many public programs and city events occurring throughout the year.

RECOMMENDATION

It is recommended that the City Council receive and file this report.

Valarie Frost, Director *VF*
Recreation and Community Services


Thaddeus McCormack
City Manager

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COUNCIL AGENDA

October 23, 2018

TO: The Honorable Mayor and City Council

SUBJECT: Budget Advisory Group

INTRODUCTION

One of the action items stemming from the passage of the Fiscal Year 2018-2020 budget is to form a "Budget Advisory Group." The group is prompted by the city's current fiscal challenges due to increased pension costs and flat or declining revenue streams, among other reasons. This group will include members from within and outside the city.

STATEMENT OF FACTS

The group's goal is to better understand the city's fiscal condition, including any possible mitigation measures that may be necessary in the future to rectify the structural deficit. The group will hear presentations on and discuss the City's fiscal challenges. These discussions will be done in a transparent manner to build consensus and trust among group members.

In consultation with the mayor, the proposed composition of the group is as follows:

- Mayor and Vice Mayor
- City staff, including City Manager, Director of Administrative Services, Assistant to the City Manager and Public Information Officer
- Chairpersons of the Community Safety Commission, Planning and Environment Commission and Recreation and Community Services Commission
- Current President of the Lakewood City Employees Association
- Current President of the Lakewood Association of Management Professionals
- Senior Property Manager of Macerich
- Chairperson of the Greater Lakewood Chamber of Commerce
- Senior Executive Director of the Lakewood Family YMCA
- Current President of the Lakewood Rotary Club
- Current President of Soroptomist International of Lakewood/Long Beach

Proposed timeline:

- First meeting of Budget Advisory Group in early November
- Meet subsequently December, January and February
- Drafting a report to the City Council about the Budget Advisory Group's discussions in time for consideration of mid-year budget adjustment in a City Council budget study session in March

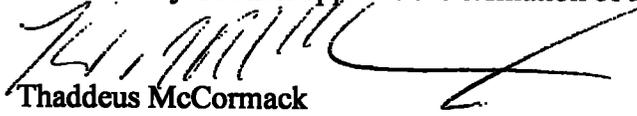
Budget Advisory Group

Page 2

October 23, 2018

RECOMMENDATION

That the City Council approve the formation of a Budget Advisory Group.

A handwritten signature in black ink, appearing to read 'Thaddeus McCormack', with a long horizontal flourish extending to the right.

Thaddeus McCormack

City Manager

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TO: The Honorable Mayor and City Council

SUBJECT: Quarterly Schedule of Investments – September 30, 2018

INTRODUCTION

The City invests idle funds in compliance with the California Government Code and the City's investment policy, which the City Council last reviewed and approved in January 2018. The investments represented in this report are allocated to a variety of funds such as the General Fund, Water Utility Fund, Redevelopment Successor Agency Funds, Restricted Special Revenue Funds, and Fiduciary Funds.

The City's investment objectives, in order of priority, are safety, liquidity and yield. To meet these objectives, the City utilizes the following types of investments:

U.S. Treasury Notes

Treasury Obligations of the U.S. Government to provide for the cash flow needs of the Federal Government.

Federal Agency Bonds or Notes:

Federal agency or United States government-sponsored enterprise obligations, participations, or other instruments, including those issued by or fully guaranteed as to principal and interest by federal agencies or United States government-sponsored enterprises. This includes Collateralized Mortgage Obligations (CMOs).

- **FHLB (Federal Home Loan Bank Bonds)**
Bonds and discount notes issued by the Federal Home Loan Bank to provide funding to member institutions and make available money to the residential mortgage market.
- **FHLMC (Federal Home Loan Mortgage Corp)**
A publicly chartered agency that buys qualifying residential mortgages from lenders, packages them into new securities backed by those pooled mortgages, provides certain guarantees and then re-sells the securities on the open market.
- **FNMA (Federal National Mortgage Association)**
A government-sponsored, privately owned corporation established to create a secondary market for Federal Housing Administration mortgages.
- **FFCB (Federal Farm Credit Bank)**
The Federal Farm Credit Bank is an independent agency of the U.S. Government that issues bonds and discount notes to provide short- and long-term credit and credit-related services to farmers, ranchers, rural homeowners, producers and harvesters.

Supra-National Agency Bonds or Notes

Supranational bonds and notes are debt of international or multi-lateral financial agencies. The debt is used to finance economic/infrastructure development, environmental protection, poverty

reduction and renewable energy around the globe, rated AAA, highly liquid and issued in a range of maturities.

Negotiable Certificates of Deposit (CDs)

Negotiable CDs are issued by large banks and are freely traded in secondary markets as short term (2 to 52 weeks), large denomination (\$100,000 minimum) CDs, that are either issued at a discount on its par value, or at a fixed interest rate payable at maturity.

Municipal Bonds or Notes:

Registered treasury notes or bonds issued by states or municipalities, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 United States, in addition to California.

Corporate Notes:

Medium-term notes, defined as all corporate and depository institution debt securities with a maximum remaining maturity of five years or less, issued by corporations organized and operating within the United States or by depository institutions licensed by the United States, or any state and operating within the United States. Medium-term corporate notes shall be rated in a rating category of "A" or its equivalent or better.

Commercial Paper:

Commercial paper of "prime" quality of the highest ranking or of the highest letter and number rating as provided for by a nationally recognized statistical-rating organization.

Pooled Funds:

- **LAIF (Local Agency Investment Fund, State of California)**
The Treasurer of the State of California administers this investment pool, providing a high-level of liquidity and strong safety through diversification of investments.
- **CAMP (California Asset Management Program)**
A Joint Powers Authority established in 1989 by the treasurers and finance directors of several California public agencies to provide professional investment services at a reasonable cost. Participation is limited to California public agencies.
- **Los Angeles County Pool**
This pool is very similar to LAIF. It is well-diversified, very liquid, and offers competitive yields.
- **Money Market Fund (MMF)**
This is a money market interest-bearing checking account that is fully insured and collateralized.

SUMMARY

MANAGED PORTFOLIO						
Security Type	Market Value	% of Portfolio	% Change vs. 6/30/18	Permitted by Policy	In Compliance	
U.S. Treasury Notes	\$ 13,403,645	37.2%	14%	100%	X	
Corporate Notes	8,833,977	24.5%	-7%	30%	X	
Negotiable CDs	5,283,394	14.7%	-10%	30%	X	
Federal Agency	5,595,483	15.5%	-6%	100%	X	
Supranationals	2,313,171	6.4%	-15%	30%	X	
Municipal Bonds	433,556	1.2%	0%	30%	X	
Securities Sub-Total	\$ 35,863,226	99.5%				
Accrued Interest	165,072	0.5%				
Total Managed Portfolio	\$ 36,028,298	100%				
POOLED INVESTMENT ACCOUNTS						Market Value
LAIF		State of CA				\$11,235,741.69
CAMP		US Bank				\$44,443.50
						<u>\$11,280,185.19</u>
BANK ACCOUNTS						Balance
City - Checking		F&M				\$3,257,152.69
City Payroll		F&M				\$74,455.55
Successor Housing - Checking		F&M				\$495,569.90
						<u>\$3,827,178.14</u>
<i>Funds held in reserve as required by debt issuance or non-agency funds - not available for City expenditures:</i>						
Successor Agency – Checking		F&M				\$1,967,220.11
Business Dev Loan MMKT		F&M				\$796,721.44
						<u>\$2,763,941.55</u>
Total Portfolio						\$53,899,602.88

Attached is a more detailed report provided by Public Financial Management (PFM), the City's investment advisor.

STAFF RECOMMENDATION

It is recommended that the City Council receive and file this report.



Jose Gomez
Director of Finance & Administrative Services



Thaddeus McCormack
City Manager



Customer Service
 PO Box 11813
 Harrisburg, PA 17108-1813

ACCOUNT STATEMENT

For the Month Ending

September 30, 2018

CITY OF LAKEWOOD

Client Management Team

Lauren Brant

Managing Director
 50 California Street, Suite 2300
 San Francisco, CA 94111
 415-982-5544
 brantl@pfm.com

Robert Cheddar, CFA

Managing Director
 213 Market Street
 Harrisburg, PA 17101-2141
 717-232-2723
 cheddarr@pfm.com

Paulina Woo

Director
 50 California Street, Suite 2300
 San Francisco, CA 94111
 415-982-5544
 woop@pfm.com

Contents

- Cover/Disclosures
- Summary Statement
- Individual Accounts

Accounts included in Statement

51260100 CITY OF LAKEWOOD

CITY OF LAKEWOOD
 JOSE GOMEZ
 5050 NORTH CLARK AVENUE
 LAKEWOOD, CA 90712

Online Access www.pfm.com

Customer Service 1-717-232-2723



Important Disclosures

Important Disclosures

This statement is for general information purposes only and is not intended to provide specific advice or recommendations. PFM Asset Management LLC ("PFM") is an investment advisor registered with the Securities and Exchange Commission, and is required to maintain a written disclosure statement of our background and business experience. If you would like to receive a copy of our current disclosure statement, please contact Service Operations at the address below.

Proxy Voting PFM does not normally receive proxies to vote on behalf of its clients. However, it does on occasion receive consent requests. In the event a consent request is received the portfolio manager contacts the client and then proceeds according to their instructions. PFM's Proxy Voting Policy is available upon request by contacting Service Operations at the address below.

Questions About an Account PFM's monthly statement is intended to detail our investment advisory activity as well as the activity of any accounts held by clients in pools that are managed by PFM. The custodian bank maintains the control of assets and executes (i.e., settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. PFM recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the PFM statement should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

Account Control PFM does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies; implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

Market Value Generally, PFM's market prices are derived from closing bid prices as of the last business day of the month as supplied by ICE Data Services or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although PFM believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold. Explanation of the valuation methods for money market and TERM funds is contained in the appropriate fund information statement.

Amortized Cost The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premium with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using the constant yield basis.

Tax Reporting Cost data and realized gains / losses are provided for informational purposes only. Please review for accuracy and consult your tax advisor to determine the tax consequences of your security transactions. PFM does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information that may be required to be reported to federal, state or other taxing authorities.

Financial Situation In order to better serve you, PFM should be promptly notified of any material change in your investment objective or financial situation.

Callable Securities Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented.

Portfolio The securities in this portfolio, including shares of mutual funds, are not guaranteed or otherwise protected by PFM, the FDIC (except for certain non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested. Actual settlement values, accrued interest, and amortized cost amounts may vary for securities subject to an adjustable interest rate or subject to principal paydowns. Any changes to the values shown may be reflected within the next monthly statement's beginning values.

Rating Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed. Shares of some money market and TERM funds are marketed through representatives of PFM's wholly owned subsidiary, PFM Fund Distributors, Inc. PFM Fund Distributors, Inc. is registered with the SEC as a broker/dealer and is a member of the Financial Industry Regulatory Authority ("FINRA") and the Municipal Securities Rulemaking Board ("MSRB"). You may reach the FINRA by calling the FINRA Regulator Public Disclosure Hotline at 1-888-289-9999 or at the FINRA Regulation Internet website address www.nasd.com. A brochure describing the FINRA Regulation Public Disclosure Program is also available from the FINRA upon request.

Key Terms and Definitions

Dividends on money market funds consist of interest earned, plus any discount ratably amortized to the date of maturity, plus all realized gains and losses on the sale of securities prior to maturity, less ratably amortization of any premium and all accrued expenses to the fund. Dividends are accrued daily and may be paid either monthly or quarterly. The monthly earnings on this statement represent the estimated dividend accrued for the month for any program that distributes earnings on a quarterly basis. There is no guarantee that the estimated amount will be paid on the actual distribution date.

Current Yield is the net change, exclusive of capital changes and income other than investment income, in the value of a hypothetical fund account with a balance of one share over the seven-day base period including the statement date, expressed as a percentage of the value of one share (normally \$1.00 per share) at the beginning of the seven-day period. This resulting net change in account value is then annualized by multiplying it by

365 and dividing the result by 7. The yields quoted should not be considered a representation of the yield of the fund in the future, since the yield is not fixed.

Average maturity represents the average maturity of all securities and investments of a portfolio, determined by multiplying the par or principal value of each security or investment by its maturity (days or years), summing the products, and dividing the sum by the total principal value of the portfolio. The stated maturity date of mortgage backed or callable securities are used in this statement. However the actual maturity of these securities could vary depending on the level or prepayments on the underlying mortgages or whether a callable security has or is still able to be called.

Monthly distribution yield represents the net change in the value of one share (normally \$1.00 per share) resulting from all dividends declared during the month by a fund expressed as a percentage of the value of one share at the beginning of the month. This resulting net change is then annualized by multiplying it by 365 and dividing it by the number of calendar days in the month.

YTM at Cost The yield to maturity at cost is the expected rate of return, based on the original cost, the annual interest receipts, maturity value and the time period from purchase date to maturity, stated as a percentage, on an annualized basis.

YTM at Market The yield to maturity at market is the rate of return, based on the current market value, the annual interest receipts, maturity value and the time period remaining until maturity, stated as a percentage, on an annualized basis.

Managed Account A portfolio of investments managed discretely by PFM according to the client's specific investment policy and requirements. The investments are directly owned by the client and held by the client's custodian.

Unsettled Trade A trade which has been executed however the final consummation of the security transaction and payment has not yet taken place.

Please review the detail pages of this statement carefully. If you think your statement is wrong, missing account information, or if you need more information about a transaction, please contact PFM within 60 days of receipt. If you have other concerns or questions regarding your account you should contact a member of your client management team or PFM Service Operations at the address below.

PFM Asset Management LLC
Attn: Service Operations
213 Market Street
Harrisburg, PA 17101



Managed Account Summary Statement

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Transaction Summary - Managed Account

Opening Market Value	\$35,818,906.31
Maturities/Calls	(47,072.92)
Principal Dispositions	(2,247,195.64)
Principal Acquisitions	2,397,408.55
Unsettled Trades	0.00
Change in Current Value	(58,820.16)
Closing Market Value	\$35,863,226.14

Cash Transactions Summary - Managed Account

Maturities/Calls	0.00
Sale Proceeds	2,255,732.75
Coupon/Interest/Dividend Income	46,374.53
Principal Payments	47,072.92
Security Purchases	(2,401,884.78)
Net Cash Contribution	0.00
Reconciling Transactions	0.00

Earnings Reconciliation (Cash Basis) - Managed Account

Interest/Dividends/Coupons Received	54,911.64
Less Purchased Interest Related to Interest/Coupons	(4,476.23)
Plus Net Realized Gains/Losses	(17,721.97)
Total Cash Basis Earnings	\$32,713.44

Cash Balance

Closing Cash Balance	\$42,628.33
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Earnings Reconciliation (Accrual Basis)

	Total
Ending Amortized Value of Securities	36,292,155.01
Ending Accrued Interest	165,072.20
Plus Proceeds from Sales	2,255,732.75
Plus Proceeds of Maturities/Calls/Principal Payments	47,072.92
Plus Coupons/Dividends Received	46,374.53
Less Cost of New Purchases	(2,401,884.78)
Less Beginning Amortized Value of Securities	(36,204,856.02)
Less Beginning Accrued Interest	(157,508.94)
Total Accrual Basis Earnings	\$42,157.67



Portfolio Summary and Statistics

For the Month Ending **September 30, 2018**

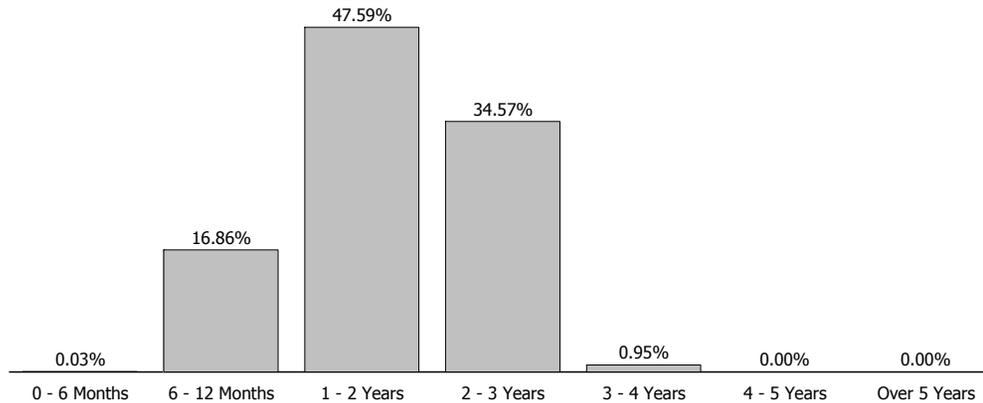
CITY OF LAKEWOOD - 51260100

Account Summary

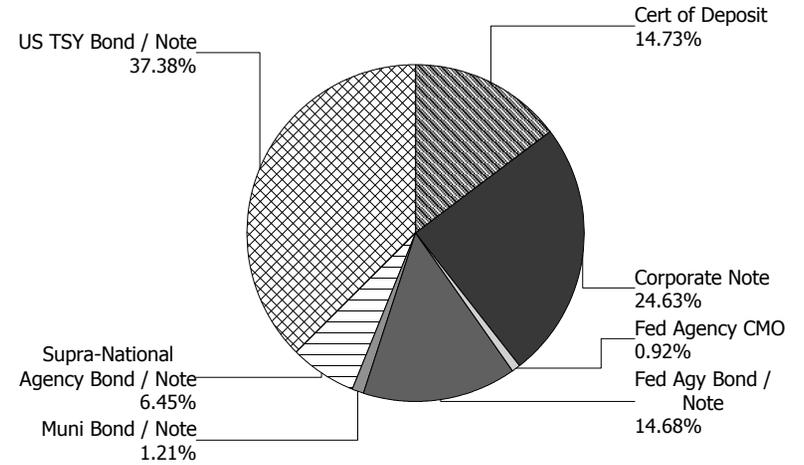
Description	Par Value	Market Value	Percent
U.S. Treasury Bond / Note	13,655,000.00	13,403,645.39	37.38
Supra-National Agency Bond / Note	2,345,000.00	2,313,171.22	6.45
Municipal Bond / Note	435,000.00	433,555.80	1.21
Federal Agency Collateralized Mortgage Obligation	328,302.86	330,277.56	0.92
Federal Agency Bond / Note	5,350,000.00	5,265,205.35	14.68
Corporate Note	8,935,000.00	8,833,976.66	24.63
Certificate of Deposit	5,300,000.00	5,283,394.16	14.73
Managed Account Sub-Total	36,348,302.86	35,863,226.14	100.00%
Accrued Interest		165,072.20	
Total Portfolio	36,348,302.86	36,028,298.34	

Unsettled Trades **0.00** **0.00**

Maturity Distribution



Sector Allocation



Characteristics

Yield to Maturity at Cost	2.04%
Yield to Maturity at Market	2.85%
Duration to Worst	1.71
Weighted Average Days to Maturity	649



Managed Account Issuer Summary

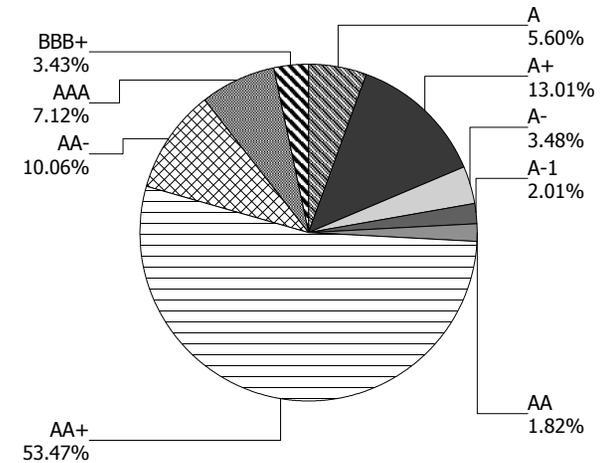
For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Issuer Summary

Issuer	Market Value of Holdings	Percent
3M COMPANY	89,847.09	0.25
AMERICAN EXPRESS CO	383,899.50	1.07
AMERICAN HONDA FINANCE	395,361.83	1.10
BANK OF AMERICA CO	342,423.56	0.95
BANK OF MONTREAL	549,294.35	1.53
BANK OF NOVA SCOTIA	552,655.95	1.54
BB&T CORPORATION	347,357.50	0.97
CALIFORNIA ST	433,555.80	1.21
CANADIAN IMPERIAL BANK OF COMMERCE	551,389.85	1.54
CATERPILLAR INC	353,578.34	0.99
CHARLES SCHWAB	175,021.70	0.49
CHEVRON CORPORATION	322,971.03	0.90
CITIGROUP INC	341,685.73	0.95
DEERE & COMPANY	464,173.24	1.29
EXXON MOBIL CORP	172,901.93	0.48
FANNIE MAE	4,093,133.21	11.41
FEDERAL HOME LOAN BANKS	836,230.00	2.33
FREDDIE MAC	666,119.70	1.86
GENERAL DYNAMICS CORP	209,492.64	0.58
GOLDMAN SACHS GROUP INC	373,582.13	1.04
HERSHEY COMPANY	134,948.57	0.38
HOME DEPOT INC	162,362.48	0.45
HONEYWELL INTERNATIONAL	94,069.76	0.26
HSBC HOLDINGS PLC	123,630.00	0.34
IBM CORP	296,868.30	0.83
INTEL CORPORATION	172,198.95	0.48
INTER-AMERICAN DEVELOPMENT BANK	750,580.36	2.09
INTERNATIONAL FINANCE CORPORATION	371,610.00	1.04
INTL BANK OF RECONSTRUCTION AND DEV	1,190,980.86	3.32
JOHNSON & JOHNSON	78,383.12	0.22
JP MORGAN CHASE & CO	346,418.45	0.97
MICROSOFT CORP	162,998.55	0.45

Credit Quality (S&P Ratings)





Managed Account Issuer Summary

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Issuer	Market Value of Holdings	Percent
MORGAN STANLEY	344,863.40	0.96
NATIONAL RURAL UTILITIES CO FINANCE CORP	153,921.20	0.43
NORDEA BANK AB	722,005.03	2.01
PACCAR FINANCIAL CORP	216,397.08	0.60
PFIZER INC	234,947.83	0.66
ROYAL BANK OF CANADA	552,961.20	1.54
SKANDINAVISKA ENSKILDA BANKEN AB	720,302.00	2.01
SWEDBANK AB	367,619.63	1.03
THE BANK OF NEW YORK MELLON CORPORATION	345,448.43	0.96
THE WALT DISNEY CORPORATION	412,429.08	1.15
TOYOTA MOTOR CORP	478,832.62	1.34
UBS AG	626,496.25	1.75
UNILEVER PLC	371,386.50	1.04
UNITED PARCEL SERVICE INC	214,228.96	0.60
UNITED STATES TREASURY	13,403,645.39	37.38
VISA INC	98,269.60	0.27
WAL-MART STORES INC	419,077.56	1.17
WESTPAC BANKING CORP	640,669.90	1.79
Total	\$35,863,226.14	100.00%



Managed Account Detail of Securities Held

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 12/01/2014 1.500% 11/30/2019	912828G61	1,950,000.00	AA+	Aaa	12/01/16	12/05/16	1,953,046.87	1.45	9,829.92	1,951,203.33	1,923,263.55
US TREASURY NOTES DTD 02/02/2015 1.250% 01/31/2020	912828H52	1,400,000.00	AA+	Aaa	01/03/17	01/05/17	1,388,843.75	1.52	2,948.37	1,395,102.02	1,372,875.00
US TREASURY NOTES DTD 03/02/2015 1.375% 02/29/2020	912828J50	575,000.00	AA+	Aaa	08/31/17	09/01/17	574,977.54	1.38	677.05	574,987.23	564,039.35
US TREASURY NOTES DTD 04/30/2015 1.375% 04/30/2020	912828K58	575,000.00	AA+	Aaa	04/07/17	04/10/17	572,035.16	1.55	3,308.59	573,448.88	562,534.00
US TREASURY NOTES DTD 04/30/2015 1.375% 04/30/2020	912828K58	1,050,000.00	AA+	Aaa	04/03/17	04/05/17	1,045,283.20	1.53	6,041.78	1,047,544.02	1,027,236.00
US TREASURY NOTES DTD 06/30/2015 1.625% 06/30/2020	912828XH8	1,825,000.00	AA+	Aaa	06/26/17	06/28/17	1,831,558.59	1.50	7,494.65	1,828,848.09	1,788,357.65
US TREASURY NOTES DTD 07/31/2015 1.625% 07/31/2020	912828XM7	250,000.00	AA+	Aaa	07/05/17	07/07/17	250,156.25	1.60	684.44	250,094.79	244,707.00
US TREASURY NOTES DTD 10/31/2015 1.375% 10/31/2020	912828L99	100,000.00	AA+	Aaa	10/17/17	10/17/17	99,015.63	1.71	575.41	99,320.32	97,058.60
US TREASURY NOTES DTD 11/30/2015 1.625% 11/30/2020	912828M98	255,000.00	AA+	Aaa	11/01/17	11/03/17	253,894.34	1.77	1,392.57	254,216.38	248,495.46
US TREASURY NOTES DTD 12/31/2015 1.750% 12/31/2020	912828N48	1,200,000.00	AA+	Aaa	12/01/17	12/05/17	1,194,281.25	1.91	5,307.07	1,195,787.64	1,171,500.00
US TREASURY NOTES DTD 01/31/2016 1.375% 01/31/2021	912828N89	750,000.00	AA+	Aaa	01/02/18	01/04/18	735,000.00	2.05	1,737.43	738,540.35	725,010.00
US TREASURY NOTES DTD 06/02/2014 2.000% 05/31/2021	912828WN6	1,750,000.00	AA+	Aaa	06/04/18	06/06/18	1,718,896.48	2.62	11,762.30	1,722,123.41	1,710,898.00
US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	375,000.00	AA+	Aaa	09/10/18	09/12/18	374,721.68	2.78	1,317.09	374,726.38	373,608.38
US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	600,000.00	AA+	Aaa	09/26/18	09/28/18	597,445.31	2.91	2,107.34	597,452.33	597,773.40



Managed Account Detail of Securities Held

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
U.S. Treasury Bond / Note											
US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	1,000,000.00	AA+	Aaa	09/05/18	09/07/18	1,000,820.31	2.72	3,512.23	1,000,802.29	996,289.00
Security Type Sub-Total		13,655,000.00					13,589,976.36	1.90	58,696.24	13,604,197.46	13,403,645.39

Supra-National Agency Bond / Note											
INTL BANK OF RECON AND DEV GLOBAL NOTES DTD 10/27/2016 1.125% 11/27/2019	459058FS7	350,000.00	AAA	Aaa	08/28/17	08/30/17	347,014.50	1.51	1,356.25	348,449.91	343,323.05
INTL BANK OF RECONSTRUCTION AND DEV NOTE DTD 09/19/2017 1.561% 09/12/2020	45905UP32	350,000.00	AAA	Aaa	09/12/17	09/19/17	349,160.00	1.64	288.35	349,446.37	340,806.55
INTER-AMERICAN DEVELOPMENT BANK DTD 11/08/2013 2.125% 11/09/2020	4581X0CD8	345,000.00	AAA	Aaa	10/02/17	10/10/17	348,197.84	1.81	2,891.77	347,206.05	339,350.63
INTERNATIONAL FINANCE CORPORATION NOTE DTD 03/16/2018 2.635% 03/09/2021	45950VLO7	375,000.00	AAA	Aaa	03/09/18	03/16/18	374,718.75	2.66	603.85	374,767.27	371,610.00
INTER-AMERICAN DEVELOPMENT BANK NOTE DTD 04/19/2018 2.625% 04/19/2021	4581X0DB1	415,000.00	AAA	Aaa	04/12/18	04/19/18	414,087.00	2.70	4,902.19	414,219.40	411,229.73
INTL BANK OF RECONSTRUCTION AND DEV NOTE DTD 07/25/2018 2.750% 07/23/2021	459058GH0	510,000.00	AAA	Aaa	07/18/18	07/25/18	508,806.60	2.83	2,571.25	508,876.95	506,851.26
Security Type Sub-Total		2,345,000.00					2,341,984.69	2.26	12,613.66	2,342,965.95	2,313,171.22

Municipal Bond / Note											
CA ST TXBL GO BONDS DTD 04/25/2018 2.800% 04/01/2021	13063DGA0	435,000.00	AA-	Aa3	04/18/18	04/25/18	435,017.40	2.80	5,278.00	435,010.77	433,555.80
Security Type Sub-Total		435,000.00					435,017.40	2.80	5,278.00	435,010.77	433,555.80



Managed Account Detail of Securities Held

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Federal Agency Collateralized Mortgage Obligation											
FNMA SERIES 2015-M15 ASQ2 DTD 11/01/2015 1.898% 01/01/2019	3136AOSW1	8,740.03	AA+	Aaa	11/06/15	11/30/15	8,827.42	1.20	13.82	8,740.03	8,707.88
FNMA SERIES 2016-M9 ASQ2 DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	10,586.87	AA+	Aaa	06/09/16	06/30/16	10,692.73	1.05	15.75	10,598.96	10,519.83
FANNIE MAE SERIES 2015-M13 ASQ2 DTD 10/01/2015 1.646% 09/01/2019	3136AQDQ0	63,509.66	AA+	Aaa	10/07/15	10/30/15	64,145.65	1.08	87.11	63,594.02	63,268.07
FNA 2018-M5 A2 DTD 04/01/2018 3.560% 09/25/2021	3136B1XP4	245,466.30	AA+	Aaa	04/11/18	04/30/18	250,348.87	2.27	728.22	249,813.59	247,781.78
Security Type Sub-Total		328,302.86					334,014.67	1.97	844.90	332,746.60	330,277.56
Federal Agency Bond / Note											
FHLMC REFERENCE NOTE DTD 07/20/2016 0.875% 07/19/2019	3137EAEB1	675,000.00	AA+	Aaa	07/19/16	07/20/16	673,366.50	0.96	1,181.25	674,559.56	666,119.70
FNMA BENCHMARK NOTE DTD 08/02/2016 0.875% 08/02/2019	3135G0N33	650,000.00	AA+	Aaa	07/29/16	08/02/16	648,908.00	0.93	932.12	649,692.68	640,878.55
FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	800,000.00	AA+	Aaa	10/03/16	10/05/16	799,520.00	1.02	733.33	799,848.43	788,469.60
FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	1,200,000.00	AA+	Aaa	08/31/16	09/02/16	1,198,128.00	1.05	1,100.00	1,199,424.89	1,182,704.40
FHLB GLOBAL NOTES DTD 09/09/2016 1.000% 09/26/2019	3130A9EP2	850,000.00	AA+	Aaa	10/03/16	10/05/16	849,702.50	1.01	118.06	849,900.57	836,230.00
FNMA NOTES DTD 02/28/2017 1.500% 02/28/2020	3135G0T29	450,000.00	AA+	Aaa	02/24/17	02/28/17	449,712.00	1.52	618.75	449,863.20	442,304.10
FNMA NOTES DTD 08/01/2017 1.500% 07/30/2020	3135G0T60	725,000.00	AA+	Aaa	08/31/17	09/01/17	725,188.50	1.49	1,842.71	725,121.05	708,499.00
Security Type Sub-Total		5,350,000.00					5,344,525.50	1.11	6,526.22	5,348,410.38	5,265,205.35
Corporate Note											



Managed Account Detail of Securities Held

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
GOLDMAN SACHS GRP INC CORP NT (CALLABLE) DTD 04/25/2016 2.000% 04/25/2019	38141GVT8	50,000.00	BBB+	A3	04/20/16	04/25/16	49,861.00	2.10	433.33	49,973.09	49,810.95
GOLDMAN SACHS GRP INC CORP NT (CALLABLE) DTD 04/25/2016 2.000% 04/25/2019	38141GVT8	325,000.00	BBB+	A3	04/21/16	04/26/16	324,792.00	2.02	2,816.67	324,959.75	323,771.18
CHEVRON CORP NOTES DTD 05/16/2016 1.561% 05/16/2019	166764BH2	325,000.00	AA-	Aa2	05/09/16	05/16/16	325,000.00	1.56	1,902.47	325,000.00	322,971.03
CITIGROUP INC CORP NOTES DTD 06/09/2016 2.050% 06/07/2019	172967KS9	145,000.00	BBB+	Baa1	06/02/16	06/09/16	144,924.60	2.07	941.29	144,982.38	144,285.73
IBM CREDIT CORP NOTE DTD 09/08/2017 1.625% 09/06/2019	44932HAA1	300,000.00	A+	A1	09/05/17	09/08/17	299,772.00	1.66	338.54	299,892.66	296,868.30
WAL-MART STORES INC CORP NOTE DTD 10/20/2017 1.750% 10/09/2019	931142DY6	160,000.00	AA	Aa2	10/11/17	10/20/17	159,996.80	1.75	1,337.78	159,998.14	158,556.00
HONEYWELL INTERNATIONAL CORP NOTES DTD 10/30/2017 1.800% 10/30/2019	438516BO8	95,000.00	A	A2	10/23/17	10/30/17	94,925.90	1.84	717.25	94,959.64	94,069.76
BB&T CORP (CALLABLE) NOTE DTD 12/08/2014 2.450% 01/15/2020	05531FAS2	350,000.00	A-	A2	01/31/17	02/03/17	353,489.15	2.10	1,810.28	351,494.57	347,357.50
JPMORGAN CHASE & CO (CALLABLE) DTD 01/23/2015 2.250% 01/23/2020	46625HKA7	350,000.00	A-	A3	05/10/17	05/15/17	350,934.50	2.15	1,487.50	350,450.48	346,418.45
MORGAN STANLEY CORP BONDS DTD 01/27/2015 2.650% 01/27/2020	61747YDW2	175,000.00	BBB+	A3	01/31/17	02/03/17	176,513.75	2.35	824.44	175,683.78	173,878.60
MICROSOFT CORP NOTES DTD 02/06/2017 1.850% 02/06/2020	594918BV5	165,000.00	AAA	Aaa	01/30/17	02/06/17	164,889.45	1.87	466.35	164,949.61	162,998.55
AMERICAN HONDA FINANCE DTD 02/16/2017 2.000% 02/14/2020	02665WBM2	165,000.00	A+	A2	02/13/17	02/16/17	164,765.70	2.05	430.83	164,891.07	162,817.05
AMERICAN EXPRESS CREDIT (CALLABLE) NOTE DTD 03/03/2017 2.200% 03/03/2020	0258M0EE5	215,000.00	A-	A2	02/28/17	03/03/17	214,776.40	2.24	367.89	214,892.16	212,592.00



Managed Account Detail of Securities Held

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
WALT DISNEY COMPANY CORP NOTES DTD 03/06/2017 1.950% 03/04/2020	25468PDP8	70,000.00	A+	A2	03/01/17	03/06/17	69,981.80	1.96	102.38	69,991.19	69,047.93
HSBC USA INC NOTES DTD 03/05/2015 2.350% 03/05/2020	40428HPR7	125,000.00	A	A2	03/27/18	03/29/18	123,407.50	3.03	212.15	123,816.35	123,630.00
EXXON MOBIL (CALLABLE) CORP NOTE DTD 03/06/2015 1.912% 03/06/2020	30231GAG7	175,000.00	AA+	Aaa	08/14/17	08/15/17	175,887.25	1.71	232.36	175,488.33	172,901.93
JOHN DEERE CAPITAL CORP NOTES DTD 03/15/2017 2.200% 03/13/2020	24422ETQ2	145,000.00	A	A2	03/10/17	03/15/17	144,886.90	2.23	159.50	144,944.26	143,201.28
TOYOTA MOTOR CREDIT CORP DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	120,000.00	AA-	Aa3	04/12/17	04/17/17	120,036.00	1.94	1,066.00	120,018.79	118,187.76
TOYOTA MOTOR CREDIT CORP DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	255,000.00	AA-	Aa3	04/11/17	04/17/17	254,882.70	1.97	2,265.25	254,938.76	251,148.99
INTEL CORP NOTES DTD 05/11/2017 1.850% 05/11/2020	458140AZ3	175,000.00	A+	A1	05/08/17	05/11/17	174,933.50	1.86	1,259.03	174,963.84	172,198.95
GENERAL DYNAMICS CORP DTD 05/11/2018 2.875% 05/11/2020	369550BA5	210,000.00	A+	A2	05/08/18	05/11/18	209,256.60	3.06	2,347.92	209,397.88	209,492.64
HOME DEPOT INC CORP NOTES DTD 06/05/2017 1.800% 06/05/2020	437076BO4	165,000.00	A	A2	05/24/17	06/05/17	164,904.30	1.82	957.00	164,945.86	162,362.48
WALT DISNEY COMPANY CORP NOTES DTD 06/06/2017 1.800% 06/05/2020	25468PDU7	350,000.00	A+	A2	06/01/17	06/06/17	349,594.00	1.84	2,030.00	349,770.02	343,381.15
AMERICAN HONDA FINANCE CORP NOTES DTD 07/16/2018 3.000% 06/16/2020	02665WCH2	100,000.00	A+	A2	07/11/18	07/16/18	99,865.00	3.07	625.00	99,879.53	99,829.00
JOHN DEERE CAPITAL CORP NOTES DTD 06/22/2017 1.950% 06/22/2020	24422ETS8	75,000.00	A	A2	06/19/17	06/22/17	74,954.25	1.97	402.19	74,973.37	73,668.83
AMERICAN HONDA FINANCE CORP NOTES DTD 07/20/2017 1.950% 07/20/2020	02665WBT7	85,000.00	A+	A2	07/17/17	07/20/17	84,914.15	1.98	326.90	84,947.82	83,299.83
BNY MELLON CORP NOTE (CALLABLE) DTD 08/17/2015 2.600% 08/17/2020	06406HDD8	175,000.00	A	A1	02/16/18	02/21/18	174,140.75	2.81	556.11	174,346.72	173,619.25



Managed Account Detail of Securities Held

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
CATERPILLAR FINL SERVICE NOTE DTD 09/07/2017 1.850% 09/04/2020	14913Q2A6	260,000.00	A	A3	09/05/17	09/07/17	259,781.60	1.88	360.75	259,857.98	253,696.04
CITIGROUP INC CORP NOTES DTD 10/26/2015 2.650% 10/26/2020	172967KB6	200,000.00	BBB+	Baa1	09/22/17	09/26/17	202,224.00	2.27	2,281.94	201,510.90	197,400.00
AMERICAN EXPRESS CO CORP (CALLABLE) NOTE DTD 10/30/2017 2.200% 10/30/2020	025816BP3	175,000.00	BBB+	A3	10/23/17	10/30/17	174,772.50	2.25	1,614.86	174,840.64	171,307.50
JOHNSON & JOHNSON CORP NOTE DTD 11/10/2017 1.950% 11/10/2020	478160CH5	80,000.00	AAA	Aaa	11/08/17	11/10/17	79,914.40	1.99	611.00	79,939.33	78,383.12
PACCAR FINANCIAL CORP NOTES DTD 11/13/2017 2.050% 11/13/2020	69371RN85	100,000.00	A+	A1	11/06/17	11/13/17	99,991.00	2.05	785.83	99,993.60	97,644.00
VISA INC (CALLABLE) CORP NOTES DTD 12/14/2015 2.200% 12/14/2020	92826CAB8	100,000.00	A+	A1	08/25/17	08/30/17	101,100.00	1.85	653.89	100,735.39	98,269.60
JOHN DEERE CAPITAL CORP NOTES DTD 01/08/2018 2.350% 01/08/2021	24422ETZ2	75,000.00	A	A2	01/03/18	01/08/18	74,961.00	2.37	406.35	74,970.25	73,676.18
AMERICAN HONDA FINANCE DTD 02/15/2018 2.650% 02/12/2021	02665WCD1	50,000.00	A+	A2	02/12/18	02/15/18	49,930.00	2.70	180.35	49,944.19	49,415.95
PACCAR FINANCIAL CORP NOTES DTD 02/27/2018 2.800% 03/01/2021	69371RN93	120,000.00	A+	A1	02/22/18	02/27/18	119,941.20	2.82	280.00	119,952.64	118,753.08
JOHN DEERE CAPITAL CORP NOTES DTD 03/13/2018 2.875% 03/12/2021	24422EUD9	175,000.00	A	A2	03/08/18	03/13/18	174,881.00	2.90	265.54	174,902.01	173,626.95
NATIONAL RURAL UTIL COOP DTD 02/26/2018 2.900% 03/15/2021	63743HER9	155,000.00	A	A2	02/21/18	02/26/18	154,827.95	2.94	199.78	154,861.96	153,921.20
UNILEVER CAPITAL CORP NOTES DTD 03/22/2018 2.750% 03/22/2021	904764AZ0	375,000.00	A+	A1	03/19/18	03/22/18	373,083.75	2.93	257.81	373,407.25	371,386.50
UNITED PARCEL SERVICE CORPORATE BOND DTD 11/14/2017 2.050% 04/01/2021	911312BP0	220,000.00	A+	A1	11/09/17	11/14/17	219,652.40	2.10	2,255.00	219,739.01	214,228.96



Managed Account Detail of Securities Held

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Corporate Note											
TOYOTA MOTOR CREDIT CORP NOTES DTD 04/13/2018 2.950% 04/13/2021	89236TEU5	110,000.00	AA-	Aa3	04/10/18	04/13/18	109,956.00	2.96	1,514.33	109,962.60	109,495.87
BANK OF NEW YORK MELLON CORP (CALLABLE) DTD 02/19/2016 2.500% 04/15/2021	06406FAA1	175,000.00	A	A1	02/14/18	02/16/18	172,723.25	2.93	2,017.36	173,159.56	171,829.18
MORGAN STANLEY CORP NOTES DTD 04/21/2016 2.500% 04/21/2021	61746BEA0	175,000.00	BBB+	A3	02/13/18	02/15/18	172,060.00	3.06	1,944.44	172,620.99	170,984.80
HERSHEY COMPANY CORP NOTES DTD 05/10/2018 3.100% 05/15/2021	427866BA5	135,000.00	A	A1	05/03/18	05/10/18	134,906.85	3.12	1,639.13	134,918.78	134,948.57
CHARLES SCHWAB CORP NOTES DTD 05/22/2018 3.250% 05/21/2021	808513AW5	175,000.00	A	A2	05/17/18	05/22/18	174,994.75	3.25	2,038.02	174,995.28	175,021.70
WAL-MART STORES INC CORP NOTES DTD 06/27/2018 3.125% 06/23/2021	931142EJ8	260,000.00	AA	Aa2	06/20/18	06/27/18	259,987.00	3.13	2,121.53	259,987.79	260,521.56
CATERPILLAR FINANCIAL SERVICES CORP CORP DTD 09/07/2018 3.150% 09/07/2021	14913O2N8	100,000.00	A	A3	09/04/18	09/07/18	99,923.00	3.18	210.00	99,924.64	99,882.30
3M COMPANY DTD 09/14/2018 3.000% 09/14/2021	88579YBA8	90,000.00	AA-	A1	09/11/18	09/14/18	89,815.50	3.07	127.50	89,818.29	89,847.09
PFIZER INC CORP NOTE DTD 09/07/2018 3.000% 09/15/2021	717081EM1	235,000.00	AA	A1	09/04/18	09/07/18	234,682.75	3.05	470.00	234,689.62	234,947.83
BANK OF AMERICA CORP (CALLABLE) DTD 09/18/2017 2.328% 10/01/2021	06051GGS2	135,000.00	A-	A3	09/22/17	09/26/17	134,784.00	2.37	1,571.40	134,836.48	132,077.66
BANK OF AMERICA CORP (CALLABLE) DTD 09/18/2017 2.328% 10/01/2021	06051GGS2	215,000.00	A-	A3	09/13/17	09/18/17	215,000.00	2.33	2,502.60	215,000.00	210,345.90
Security Type Sub-Total		8,935,000.00					8,930,179.85	2.33	52,725.82	8,929,119.23	8,833,976.66
Certificate of Deposit											
SKANDINAV ENSKILDA BANKEN NY CD DTD 08/04/2017 1.840% 08/02/2019	83050FXT3	725,000.00	A-1	P-1	08/03/17	08/04/17	724,717.25	1.85	2,223.33	724,881.54	720,302.00



Managed Account Detail of Securities Held

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
Certificate of Deposit											
NORDEA BANK AB NY CD DTD 02/22/2018 2.720% 02/20/2020	65590ASN7	725,000.00	AA-	Aa3	02/20/18	02/22/18	725,000.00	2.72	2,245.89	725,000.00	722,005.03
UBS AG STAMFORD CT LT CD DTD 03/06/2018 2.900% 03/02/2020	90275DHG8	625,000.00	A+	Aa2	03/02/18	03/06/18	625,000.00	2.93	1,359.38	625,000.00	626,496.25
CANADIAN IMP BK COMM NY FLT CERT DEPOS DTD 04/10/2018 2.731% 04/10/2020	13606BVF0	550,000.00	A+	Aa2	04/06/18	04/10/18	550,000.00	2.78	3,463.62	550,000.00	551,389.85
BANK OF NOVA SCOTIA HOUSTON CD DTD 06/07/2018 3.080% 06/05/2020	06417GU22	550,000.00	A+	Aa2	06/05/18	06/07/18	549,791.00	3.10	5,364.33	549,823.08	552,655.95
BANK OF MONTREAL CHICAGO CERT DEPOS DTD 08/03/2018 3.190% 08/03/2020	06370REU9	550,000.00	A+	Aa2	08/01/18	08/03/18	550,000.00	3.23	2,875.43	550,000.00	549,294.35
WESTPAC BANKING CORP NY CD DTD 08/07/2017 2.050% 08/03/2020	96121T4A3	650,000.00	AA-	Aa3	08/03/17	08/07/17	650,000.00	2.05	1,998.75	650,000.00	640,669.90
SWEDBANK (NEW YORK) CERT DEPOS DTD 11/17/2017 2.270% 11/16/2020	87019U6D6	375,000.00	AA-	Aa2	11/16/17	11/17/17	375,000.00	2.30	3,263.13	375,000.00	367,619.63
ROYAL BANK OF CANADA NY CD DTD 06/08/2018 3.240% 06/07/2021	78012UEE1	550,000.00	AA-	Aa2	06/07/18	06/08/18	550,000.00	3.24	5,593.50	550,000.00	552,961.20
Security Type Sub-Total		5,300,000.00					5,299,508.25	2.67	28,387.36	5,299,704.62	5,283,394.16
Managed Account Sub-Total		36,348,302.86					36,275,206.72	2.04	165,072.20	36,292,155.01	35,863,226.14
Securities Sub-Total		\$36,348,302.86					\$36,275,206.72	2.04%	\$165,072.20	\$36,292,155.01	\$35,863,226.14
Accrued Interest											\$165,072.20
Total Investments											\$36,028,298.34



Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
U.S. Treasury Bond / Note												
US TREASURY NOTES	DTD 12/01/2014 1.500% 11/30/2019	912828G61	1,950,000.00	BARCLAYS		98.63	1,923,263.55	(29,783.32)	(27,939.78)	1.14	1.14	2.70
US TREASURY NOTES	DTD 02/02/2015 1.250% 01/31/2020	912828H52	1,400,000.00	HSBC		98.06	1,372,875.00	(15,968.75)	(22,227.02)	1.31	1.31	2.74
US TREASURY NOTES	DTD 03/02/2015 1.375% 02/29/2020	912828J50	575,000.00	JPM_CHAS		98.09	564,039.35	(10,938.19)	(10,947.88)	1.39	1.39	2.76
US TREASURY NOTES	DTD 04/30/2015 1.375% 04/30/2020	912828K58	575,000.00	MERRILL		97.83	562,534.00	(9,501.16)	(10,914.88)	1.54	1.54	2.78
US TREASURY NOTES	DTD 04/30/2015 1.375% 04/30/2020	912828K58	1,050,000.00	MORGAN_S		97.83	1,027,236.00	(18,047.20)	(20,308.02)	1.54	1.54	2.78
US TREASURY NOTES	DTD 06/30/2015 1.625% 06/30/2020	912828XH8	1,825,000.00	CITIGRP		97.99	1,788,357.65	(43,200.94)	(40,490.44)	1.70	1.70	2.81
US TREASURY NOTES	DTD 07/31/2015 1.625% 07/31/2020	912828XM7	250,000.00	CITIGRP		97.88	244,707.00	(5,449.25)	(5,387.79)	1.78	1.78	2.82
US TREASURY NOTES	DTD 10/31/2015 1.375% 10/31/2020	912828L99	100,000.00	JPM_CHAS		97.06	97,058.60	(1,957.03)	(2,261.72)	2.02	2.02	2.84
US TREASURY NOTES	DTD 11/30/2015 1.625% 11/30/2020	912828M98	255,000.00	JPM_CHAS		97.45	248,495.46	(5,398.88)	(5,720.92)	2.10	2.10	2.85
US TREASURY NOTES	DTD 12/31/2015 1.750% 12/31/2020	912828N48	1,200,000.00	GOLDMAN		97.63	1,171,500.00	(22,781.25)	(24,287.64)	2.18	2.18	2.85
US TREASURY NOTES	DTD 01/31/2016 1.375% 01/31/2021	912828N89	750,000.00	MORGAN_S		96.67	725,010.00	(9,990.00)	(13,530.35)	2.27	2.27	2.86
US TREASURY NOTES	DTD 06/02/2014 2.000% 05/31/2021	912828WN6	1,750,000.00	JPM_CHAS		97.77	1,710,898.00	(7,998.48)	(11,225.41)	2.56	2.56	2.88
US TREASURY NOTES	DTD 08/15/2018 2.750% 08/15/2021	9128284W7	375,000.00	MERRILL		99.63	373,608.38	(1,113.30)	(1,118.00)	2.74	2.74	2.88
US TREASURY NOTES	DTD 08/15/2018 2.750% 08/15/2021	9128284W7	600,000.00	NOMURA		99.63	597,773.40	328.09	321.07	2.74	2.74	2.88
US TREASURY NOTES	DTD 08/15/2018 2.750% 08/15/2021	9128284W7	1,000,000.00	MERRILL		99.63	996,289.00	(4,531.31)	(4,513.29)	2.74	2.74	2.88
Security Type Sub-Total			13,655,000.00				13,403,645.39	(186,330.97)	(200,552.07)	1.89	1.89	2.81

Supra-National Agency Bond / Note



Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Supra-National Agency Bond / Note												
INTL BANK OF RECON AND DEV GLOBAL NOTES	DTD 10/27/2016 1.125% 11/27/2019	459058FS7	350,000.00	CITIGRP		98.09	343,323.05	(3,691.45)	(5,126.86)	1.13	1.13	2.81
INTL BANK OF RECONSTRUCTION AND DEV NOTE	DTD 09/19/2017 1.561% 09/12/2020	45905UP32	350,000.00	HSBC		97.37	340,806.55	(8,353.45)	(8,639.82)	1.90	1.90	2.96
INTER-AMERICAN DEVELOPMENT BANK	DTD 11/08/2013 2.125% 11/09/2020	4581X0CD8	345,000.00	HSBC		98.36	339,350.63	(8,847.21)	(7,855.42)	2.03	2.03	2.93
INTERNATIONAL FINANCE CORPORATION NOTE	DTD 03/16/2018 2.635% 03/09/2021	45950VLO7	375,000.00	HSBC		99.10	371,610.00	(3,108.75)	(3,157.27)	2.34	2.34	3.02
INTER-AMERICAN DEVELOPMENT BANK NOTE	DTD 04/19/2018 2.625% 04/19/2021	4581X0DB1	415,000.00	CITIGRP		99.09	411,229.73	(2,857.27)	(2,989.67)	2.42	2.42	3.00
INTL BANK OF RECONSTRUCTION AND DEV NOTE	DTD 07/25/2018 2.750% 07/23/2021	459058GH0	510,000.00	MORGAN_S		99.38	506,851.26	(1,955.34)	(2,025.69)	2.67	2.67	2.98
Security Type Sub-Total			2,345,000.00				2,313,171.22	(28,813.47)	(29,794.73)	2.14	2.14	2.95
Municipal Bond / Note												
CA ST TXBL GO BONDS	DTD 04/25/2018 2.800% 04/01/2021	13063DGA0	435,000.00	JPM_CHAS		99.67	433,555.80	(1,461.60)	(1,454.97)	2.37	2.37	2.94
Security Type Sub-Total			435,000.00				433,555.80	(1,461.60)	(1,454.97)	2.37	2.37	2.94
Federal Agency Collateralized Mortgage Obligation												
FNMA SERIES 2015-M15 ASQ2	DTD 11/01/2015 1.898% 01/01/2019	3136AOSW1	8,740.03	GOLDMAN		99.63	8,707.88	(119.54)	(32.15)	0.30	0.22	2.98
FNMA SERIES 2016-M9 ASQ2	DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	10,586.87	CSFB		99.37	10,519.83	(172.90)	(79.13)	0.62	0.55	2.71
FANNIE MAE SERIES 2015-M13 ASQ2	DTD 10/01/2015 1.646% 09/01/2019	3136AODO0	63,509.66	MORGANST		99.62	63,268.07	(877.58)	(325.95)	0.33	0.78	1.99



Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Federal Agency Collateralized Mortgage Obligation											
FNA 2018-M5 A2 DTD 04/01/2018 3.560% 09/25/2021	3136B1XP4	245,466.30	JPM_CHAS		100.94	247,781.78	(2,567.09)	(2,031.81)	2.30	1.50	2.78
Security Type Sub-Total		328,302.86				330,277.56	(3,737.11)	(2,469.04)	1.82	1.30	2.63

Federal Agency Bond / Note											
FHLMC REFERENCE NOTE DTD 07/20/2016 0.875% 07/19/2019	3137EAEB1	675,000.00	TD SEC U		98.68	666,119.70	(7,246.80)	(8,439.86)	0.79	0.79	2.54
FNMA BENCHMARK NOTE DTD 08/02/2016 0.875% 08/02/2019	3135G0N33	650,000.00	GOLDMAN		98.60	640,878.55	(8,029.45)	(8,814.13)	0.83	0.83	2.58
FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	800,000.00	HSBC		98.56	788,469.60	(11,050.40)	(11,378.83)	0.90	0.90	2.61
FNMA NOTES DTD 09/02/2016 1.000% 08/28/2019	3135G0P49	1,200,000.00	GOLDMAN		98.56	1,182,704.40	(15,423.60)	(16,720.49)	0.90	0.90	2.61
FHLB GLOBAL NOTES DTD 09/09/2016 1.000% 09/26/2019	3130A9EP2	850,000.00	TD SEC U		98.38	836,230.00	(13,472.50)	(13,670.57)	0.97	0.97	2.67
FNMA NOTES DTD 02/28/2017 1.500% 02/28/2020	3135G0T29	450,000.00	JPM_CHAS		98.29	442,304.10	(7,407.90)	(7,559.10)	1.38	1.38	2.74
FNMA NOTES DTD 08/01/2017 1.500% 07/30/2020	3135G0T60	725,000.00	NOMURA		97.72	708,499.00	(16,689.50)	(16,622.05)	1.79	1.79	2.78
Security Type Sub-Total		5,350,000.00				5,265,205.35	(79,320.15)	(83,205.03)	1.05	1.05	2.64

Corporate Note											
GOLDMAN SACHS GRP INC CORP NT (CALLABLE) DTD 04/25/2016 2.000% 04/25/2019	38141GVT8	50,000.00	GOLDMAN	03/25/19	99.62	49,810.95	(50.05)	(162.14)	0.55	0.56	2.67
GOLDMAN SACHS GRP INC CORP NT (CALLABLE) DTD 04/25/2016 2.000% 04/25/2019	38141GVT8	325,000.00	MIZUHO	03/25/19	99.62	323,771.18	(1,020.82)	(1,188.57)	0.55	0.56	2.67
CHEVRON CORP NOTES DTD 05/16/2016 1.561% 05/16/2019	166764BH2	325,000.00	WELLSFAR		99.38	322,971.03	(2,028.97)	(2,028.97)	0.62	0.62	2.57
CITIGROUP INC CORP NOTES DTD 06/09/2016 2.050% 06/07/2019	172967KS9	145,000.00	CITIGRP		99.51	144,285.73	(638.87)	(696.65)	0.67	0.67	2.78



Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Corporate Note											
IBM CREDIT CORP NOTE DTD 09/08/2017 1.625% 09/06/2019	44932HAA1	300,000.00	JPM_CHAS		98.96	296,868.30	(2,903.70)	(3,024.36)	0.92	0.92	2.76
WAL-MART STORES INC CORP NOTE DTD 10/20/2017 1.750% 10/09/2019	931142DY6	160,000.00	MORGAN_S		99.10	158,556.00	(1,440.80)	(1,442.14)	1.00	1.00	2.65
HONEYWELL INTERNATIONAL CORP NOTES DTD 10/30/2017 1.800% 10/30/2019	438516BO8	95,000.00	MERRILL		99.02	94,069.76	(856.14)	(889.88)	1.06	1.06	2.72
BB&T CORP (CALLABLE) NOTE DTD 12/08/2014 2.450% 01/15/2020	05531FAS2	350,000.00	GOLDMAN	12/15/19	99.25	347,357.50	(6,131.65)	(4,137.07)	1.25	1.25	3.05
JPMORGAN CHASE & CO (CALLABLE) DTD 01/23/2015 2.250% 01/23/2020	46625HKA7	350,000.00	HSBC	12/23/19	98.98	346,418.45	(4,516.05)	(4,032.03)	1.27	1.28	3.05
MORGAN STANLEY CORP BONDS DTD 01/27/2015 2.650% 01/27/2020	61747YDW2	175,000.00	WELLS_FA		99.36	173,878.60	(2,635.15)	(1,805.18)	1.29	1.29	3.15
MICROSOFT CORP NOTES DTD 02/06/2017 1.850% 02/06/2020	594918BV5	165,000.00	HSBC		98.79	162,998.55	(1,890.90)	(1,951.06)	1.32	1.32	2.77
AMERICAN HONDA FINANCE DTD 02/16/2017 2.000% 02/14/2020	02665WBM2	165,000.00	MIZUHO		98.68	162,817.05	(1,948.65)	(2,074.02)	1.34	1.34	2.99
AMERICAN EXPRESS CREDIT (CALLABLE) NOTE DTD 03/03/2017 2.200% 03/03/2020	0258M0EE5	215,000.00	MERRILL	02/01/20	98.88	212,592.00	(2,184.40)	(2,300.16)	1.38	1.39	3.01
WALT DISNEY COMPANY CORP NOTES DTD 03/06/2017 1.950% 03/04/2020	25468PDP8	70,000.00	CITIGRP		98.64	69,047.93	(933.87)	(943.26)	1.39	1.39	2.93
HSBC USA INC NOTES DTD 03/05/2015 2.350% 03/05/2020	40428HPR7	125,000.00	HSBC		98.90	123,630.00	222.50	(186.35)	1.39	1.39	3.14
EXXON MOBIL (CALLABLE) CORP NOTE DTD 03/06/2015 1.912% 03/06/2020	30231GAG7	175,000.00	GOLDMAN	02/06/20	98.80	172,901.93	(2,985.32)	(2,586.40)	1.39	1.40	2.77
JOHN DEERE CAPITAL CORP NOTES DTD 03/15/2017 2.200% 03/13/2020	24422ETQ2	145,000.00	MITSU		98.76	143,201.28	(1,685.62)	(1,742.98)	1.41	1.41	3.08
TOYOTA MOTOR CREDIT CORP DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	120,000.00	HSBC		98.49	118,187.76	(1,848.24)	(1,831.03)	1.50	1.50	2.96
TOYOTA MOTOR CREDIT CORP DTD 04/17/2017 1.950% 04/17/2020	89236TDU6	255,000.00	MERRILL		98.49	251,148.99	(3,733.71)	(3,789.77)	1.50	1.50	2.96
INTEL CORP NOTES DTD 05/11/2017 1.850% 05/11/2020	458140AZ3	175,000.00	MORGAN_S		98.40	172,198.95	(2,734.55)	(2,764.89)	1.56	1.56	2.87



Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Corporate Note											
GENERAL DYNAMICS CORP DTD 05/11/2018 2.875% 05/11/2020	369550BA5	210,000.00	MERRILL		99.76	209,492.64	236.04	94.76	1.55	1.55	3.03
HOME DEPOT INC CORP NOTES DTD 06/05/2017 1.800% 06/05/2020	437076BQ4	165,000.00	JPM_CHAS		98.40	162,362.48	(2,541.82)	(2,583.38)	1.63	1.63	2.78
WALT DISNEY COMPANY CORP NOTES DTD 06/06/2017 1.800% 06/05/2020	25468PDU7	350,000.00	DEUTSCHE		98.11	343,381.15	(6,212.85)	(6,388.87)	1.63	1.63	2.96
AMERICAN HONDA FINANCE CORP NOTES DTD 07/16/2018 3.000% 06/16/2020	02665WCH2	100,000.00	SOCGEN		99.83	99,829.00	(36.00)	(50.53)	1.65	1.65	3.10
JOHN DEERE CAPITAL CORP NOTES DTD 06/22/2017 1.950% 06/22/2020	24422ETS8	75,000.00	DEUTSCHE		98.23	73,668.83	(1,285.42)	(1,304.54)	1.67	1.67	3.01
AMERICAN HONDA FINANCE CORP NOTES DTD 07/20/2017 1.950% 07/20/2020	02665WBT7	85,000.00	BARCLAYS		98.00	83,299.83	(1,614.32)	(1,647.99)	1.75	1.75	3.10
BNY MELLON CORP NOTE (CALLABLE) DTD 08/17/2015 2.600% 08/17/2020	06406HDD8	175,000.00	HSBC	07/17/20	99.21	173,619.25	(521.50)	(727.47)	1.81	1.81	3.03
CATERPILLAR FINL SERVICE NOTE DTD 09/07/2017 1.850% 09/04/2020	14913O2A6	260,000.00	BARCLAYS		97.58	253,696.04	(6,085.56)	(6,161.94)	1.87	1.87	3.16
CITIGROUP INC CORP NOTES DTD 10/26/2015 2.650% 10/26/2020	172967KB6	200,000.00	GOLDMAN		98.70	197,400.00	(4,824.00)	(4,110.90)	1.97	1.97	3.30
AMERICAN EXPRESS CO CORP (CALLABLE) NOTE DTD 10/30/2017 2.200% 10/30/2020	025816BP3	175,000.00	RBC	09/29/20	97.89	171,307.50	(3,465.00)	(3,533.14)	1.99	2.00	3.26
JOHNSON & JOHNSON CORP NOTE DTD 11/10/2017 1.950% 11/10/2020	478160CH5	80,000.00	JPM_CHAS		97.98	78,383.12	(1,531.28)	(1,556.21)	2.03	2.03	2.94
PACCAR FINANCIAL CORP NOTES DTD 11/13/2017 2.050% 11/13/2020	69371RN85	100,000.00	CITIGRP		97.64	97,644.00	(2,347.00)	(2,349.60)	2.04	2.04	3.21
VISA INC (CALLABLE) CORP NOTES DTD 12/14/2015 2.200% 12/14/2020	92826CAB8	100,000.00	FIFTH_3R	11/14/20	98.27	98,269.60	(2,830.40)	(2,465.79)	2.11	2.12	3.02
JOHN DEERE CAPITAL CORP NOTES DTD 01/08/2018 2.350% 01/08/2021	24422ETZ2	75,000.00	HSBC		98.23	73,676.18	(1,284.82)	(1,294.07)	2.18	2.18	3.16
AMERICAN HONDA FINANCE DTD 02/15/2018 2.650% 02/12/2021	02665WCD1	50,000.00	MIZUHO		98.83	49,415.95	(514.05)	(528.24)	2.27	2.27	3.17
PACCAR FINANCIAL CORP NOTES DTD 02/27/2018 2.800% 03/01/2021	69371RN93	120,000.00	JPM_CHAS		98.96	118,753.08	(1,188.12)	(1,199.56)	2.31	2.31	3.25



Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Corporate Note												
JOHN DEERE CAPITAL CORP NOTES DTD 03/13/2018 2.875% 03/12/2021		24422EUD9	175,000.00	BARCLAYS		99.22	173,626.95	(1,254.05)	(1,275.06)	2.34	2.34	3.21
NATIONAL RURAL UTIL COOP DTD 02/26/2018 2.900% 03/15/2021		63743HER9	155,000.00	RBC		99.30	153,921.20	(906.75)	(940.76)	2.35	2.35	3.20
UNILEVER CAPITAL CORP NOTES DTD 03/22/2018 2.750% 03/22/2021		904764AZ0	375,000.00	CITIGRP		99.04	371,386.50	(1,697.25)	(2,020.75)	2.37	2.37	3.16
UNITED PARCEL SERVICE CORPORATE BOND DTD 11/14/2017 2.050% 04/01/2021		911312BP0	220,000.00	JPM_CHAS		97.38	214,228.96	(5,423.44)	(5,510.05)	2.39	2.39	3.15
TOYOTA MOTOR CREDIT CORP NOTES DTD 04/13/2018 2.950% 04/13/2021		89236TEU5	110,000.00	JPM_CHAS		99.54	109,495.87	(460.13)	(466.73)	2.39	2.39	3.14
BANK OF NEW YORK MELLON CORP (CALLABLE) DTD 02/19/2016 2.500% 04/15/2021		06406FAA1	175,000.00	BNP_PARI	03/15/21	98.19	171,829.18	(894.07)	(1,330.38)	2.40	2.41	3.25
MORGAN STANLEY CORP NOTES DTD 04/21/2016 2.500% 04/21/2021		61746BEA0	175,000.00	GOLDMAN		97.71	170,984.80	(1,075.20)	(1,636.19)	2.42	2.42	3.44
HERSHEY COMPANY CORP NOTES DTD 05/10/2018 3.100% 05/15/2021		427866BA5	135,000.00	MERRILL		99.96	134,948.57	41.72	29.79	2.47	2.47	3.11
CHARLES SCHWAB CORP NOTES DTD 05/22/2018 3.250% 05/21/2021		808513AW5	175,000.00	CSFB		100.01	175,021.70	26.95	26.42	2.48	2.48	3.24
WAL-MART STORES INC CORP NOTES DTD 06/27/2018 3.125% 06/23/2021		931142EJ8	260,000.00	CITIGRP		100.20	260,521.56	534.56	533.77	2.58	2.58	3.05
CATERPILLAR FINANCIAL SERVICES CORP CORP DTD 09/07/2018 3.150% 09/07/2021		14913Q2N8	100,000.00	BARCLAYS		99.88	99,882.30	(40.70)	(42.34)	2.78	2.78	3.19
3M COMPANY DTD 09/14/2018 3.000% 09/14/2021		88579YBA8	90,000.00	CITIGRP		99.83	89,847.09	31.59	28.80	2.80	2.80	3.06
PFIZER INC CORP NOTE DTD 09/07/2018 3.000% 09/15/2021		717081EM1	235,000.00	CSFB		99.98	234,947.83	265.08	258.21	2.81	2.81	3.01
BANK OF AMERICA CORP (CALLABLE) DTD 09/18/2017 2.328% 10/01/2021		06051GGS2	135,000.00	UBS	10/01/20	97.84	132,077.66	(2,706.34)	(2,758.82)	2.19	2.84	3.09
BANK OF AMERICA CORP (CALLABLE) DTD 09/18/2017 2.328% 10/01/2021		06051GGS2	215,000.00	MERRILL	10/01/20	97.84	210,345.90	(4,654.10)	(4,654.10)	2.19	2.84	3.09



Managed Account Fair Market Value & Analytics

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	Broker	Next Call Date	Market Price	Market Value	Unreal G/L On Cost	Unreal G/L Amort Cost	Effective Duration	Duration to Worst at Mkt	YTM
Security Type Sub-Total		8,935,000.00				8,833,976.66	(96,203.19)	(95,142.57)	1.72	1.75	3.01
Certificate of Deposit											
SKANDINAV ENSKILDA BANKEN NY CD DTD 08/04/2017 1.840% 08/02/2019	83050FXT3	725,000.00	BARCLAYS		99.35	720,302.00	(4,415.25)	(4,579.54)	0.82	0.82	2.56
NORDEA BANK AB NY CD DTD 02/22/2018 2.720% 02/20/2020	65590ASN7	725,000.00	GOLDMAN		99.59	722,005.03	(2,994.97)	(2,994.97)	1.35	1.35	3.02
UBS AG STAMFORD CT LT CD DTD 03/06/2018 2.900% 03/02/2020	90275DHG8	625,000.00	UBS		100.24	626,496.25	1,496.25	1,496.25	1.39	1.39	2.75
CANADIAN IMP BK COMM NY FLT CERT DEPOS DTD 04/10/2018 2.731% 04/10/2020	13606BVF0	550,000.00	MERRILL		100.25	551,389.85	1,389.85	1,389.85	0.03	1.48	2.60
BANK OF NOVA SCOTIA HOUSTON CD DTD 06/07/2018 3.080% 06/05/2020	06417GU22	550,000.00	GOLDMAN		100.48	552,655.95	2,864.95	2,832.87	1.61	1.61	2.78
BANK OF MONTREAL CHICAGO CERT DEPOS DTD 08/03/2018 3.190% 08/03/2020	06370REU9	550,000.00	BMO		99.87	549,294.35	(705.65)	(705.65)	1.78	1.78	3.28
WESTPAC BANKING CORP NY CD DTD 08/07/2017 2.050% 08/03/2020	96121T4A3	650,000.00	JPM_CHAS		98.56	640,669.90	(9,330.10)	(9,330.10)	1.82	1.82	2.75
SWEDBANK (NEW YORK) CERT DEPOS DTD 11/17/2017 2.270% 11/16/2020	87019U6D6	375,000.00	MERRILL		98.03	367,619.63	(7,380.37)	(7,380.37)	2.04	2.04	3.27
ROYAL BANK OF CANADA NY CD DTD 06/08/2018 3.240% 06/07/2021	78012UEE1	550,000.00	RBC		100.54	552,961.20	2,961.20	2,961.20	2.53	2.53	3.03
Security Type Sub-Total		5,300,000.00				5,283,394.16	(16,114.09)	(16,310.46)	1.45	1.60	2.87
Managed Account Sub-Total		36,348,302.86				35,863,226.14	(411,980.58)	(428,928.87)	1.68	1.71	2.85
Securities Sub-Total		\$36,348,302.86				\$35,863,226.14	(\$411,980.58)	(\$428,928.87)	1.68	1.71	2.85%
Accrued Interest						\$165,072.20					
Total Investments						\$36,028,298.34					



Managed Account Security Transactions & Interest

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Transaction Type		Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
Trade	Settle									
BUY										
09/04/18	09/07/18	CATERPILLAR FINANCIAL SERVICES CORP CORP DTD 09/07/2018 3.150% 09/07/2021	14913Q2N8	100,000.00	(99,923.00)	0.00	(99,923.00)			
09/04/18	09/07/18	PFIZER INC CORP NOTE DTD 09/07/2018 3.000% 09/15/2021	717081EM1	235,000.00	(234,682.75)	0.00	(234,682.75)			
09/05/18	09/07/18	US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	1,000,000.00	(1,000,820.31)	(1,718.75)	(1,002,539.06)			
09/10/18	09/12/18	US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	375,000.00	(374,721.68)	(784.65)	(375,506.33)			
09/11/18	09/14/18	3M COMPANY DTD 09/14/2018 3.000% 09/14/2021	88579YBA8	90,000.00	(89,815.50)	0.00	(89,815.50)			
09/26/18	09/28/18	US TREASURY NOTES DTD 08/15/2018 2.750% 08/15/2021	9128284W7	600,000.00	(597,445.31)	(1,972.83)	(599,418.14)			
Transaction Type Sub-Total				2,400,000.00	(2,397,408.55)	(4,476.23)	(2,401,884.78)			
INTEREST										
09/01/18	09/01/18	PACCAR FINANCIAL CORP NOTES DTD 02/27/2018 2.800% 03/01/2021	69371RN93	120,000.00	0.00	1,717.33	1,717.33			
09/01/18	09/25/18	FNMA SERIES 2016-M9 ASQ2 DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	36,313.07	0.00	54.02	54.02			
09/01/18	09/25/18	FNMA SERIES 2015-M15 ASQ2 DTD 11/01/2015 1.898% 01/01/2019	3136AOSW1	12,680.87	0.00	20.06	20.06			
09/01/18	09/25/18	FNA 2018-M5 A2 DTD 04/01/2018 3.560% 09/25/2021	3136B1XP4	252,571.12	0.00	762.83	762.83			
09/01/18	09/25/18	FANNIE MAE SERIES 2015-M13 ASQ2 DTD 10/01/2015 1.646% 09/01/2019	3136AODO0	73,810.72	0.00	101.24	101.24			
09/03/18	09/03/18	AMERICAN EXPRESS CREDIT (CALLABLE) NOTE DTD 03/03/2017 2.200% 03/03/2020	0258M0EE5	215,000.00	0.00	2,365.00	2,365.00			
09/04/18	09/04/18	WALT DISNEY COMPANY CORP NOTES DTD 03/06/2017 1.950% 03/04/2020	25468PDP8	70,000.00	0.00	682.50	682.50			
09/04/18	09/04/18	MONEY MARKET FUND	MONEY0002	0.00	0.00	55.47	55.47			
09/04/18	09/04/18	UBS AG STAMFORD CT LT CD DTD 03/06/2018 2.900% 03/02/2020	90275DHG8	625,000.00	0.00	9,163.19	9,163.19			



Managed Account Security Transactions & Interest

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Transaction Type	Trade	Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
INTEREST											
	09/04/18	09/04/18	CATERPILLAR FINL SERVICE NOTE DTD 09/07/2017 1.850% 09/04/2020	14913Q2A6	260,000.00	0.00	2,405.00	2,405.00			
	09/05/18	09/05/18	HSBC USA INC NOTES DTD 03/05/2015 2.350% 03/05/2020	40428HPR7	125,000.00	0.00	1,468.75	1,468.75			
	09/06/18	09/06/18	EXXON MOBIL (CALLABLE) CORP NOTE DTD 03/06/2015 1.912% 03/06/2020	30231GAG7	175,000.00	0.00	1,673.00	1,673.00			
	09/06/18	09/06/18	IBM CREDIT CORP NOTE DTD 09/08/2017 1.625% 09/06/2019	44932HAA1	300,000.00	0.00	2,437.50	2,437.50			
	09/09/18	09/09/18	INTERNATIONAL FINANCE CORPORATION NOTE DTD 03/16/2018 2.635% 03/09/2021	45950VLO7	375,000.00	0.00	4,747.50	4,747.50			
	09/12/18	09/12/18	INTL BANK OF RECONSTRUCTION AND DEV NOTE DTD 09/19/2017 1.561% 09/12/2020	45905UP32	350,000.00	0.00	2,733.50	2,733.50			
	09/12/18	09/12/18	JOHN DEERE CAPITAL CORP NOTES DTD 03/13/2018 2.875% 03/12/2021	24422EUD9	175,000.00	0.00	2,501.65	2,501.65			
	09/13/18	09/13/18	JOHN DEERE CAPITAL CORP NOTES DTD 03/15/2017 2.200% 03/13/2020	24422ETQ2	145,000.00	0.00	1,595.00	1,595.00			
	09/15/18	09/15/18	NATIONAL RURAL UTIL COOP DTD 02/26/2018 2.900% 03/15/2021	63743HER9	155,000.00	0.00	2,484.74	2,484.74			
	09/22/18	09/22/18	UNILEVER CAPITAL CORP NOTES DTD 03/22/2018 2.750% 03/22/2021	904764AZ0	375,000.00	0.00	5,156.25	5,156.25			
	09/26/18	09/26/18	FHLB GLOBAL NOTES DTD 09/09/2016 1.000% 09/26/2019	3130A9EP2	850,000.00	0.00	4,250.00	4,250.00			
Transaction Type Sub-Total					4,690,375.78	0.00	46,374.53	46,374.53			
PAYDOWNS											
	09/01/18	09/25/18	FNMA SERIES 2015-M15 ASQ2 DTD 11/01/2015 1.898% 01/01/2019	3136AQS1	3,940.84	3,940.84	0.00	3,940.84	(39.41)	0.00	
	09/01/18	09/25/18	FNA 2018-M5 A2 DTD 04/01/2018 3.560% 09/25/2021	3136B1XP4	7,104.82	7,104.82	0.00	7,104.82	(141.32)	0.00	
	09/01/18	09/25/18	FNMA SERIES 2016-M9 ASQ2 DTD 06/01/2016 1.785% 06/01/2019	3136ASPX8	25,726.20	25,726.20	0.00	25,726.20	(257.25)	0.00	



Managed Account Security Transactions & Interest

For the Month Ending **September 30, 2018**

CITY OF LAKEWOOD - 51260100

Transaction Type		Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
Trade	Settle									
PAYDOWNS										
09/01/18	09/25/18	FANNIE MAE SERIES 2015-M13 ASQ2 DTD 10/01/2015 1.646% 09/01/2019	3136AQDQ0	10,301.06	10,301.06	0.00	10,301.06	(103.15)	0.00	
Transaction Type Sub-Total				47,072.92	47,072.92	0.00	47,072.92	(541.13)	0.00	
SELL										
09/05/18	09/07/18	PFIZER INC CORP NOTES DTD 06/03/2016 1.450% 06/03/2019	717081DU4	100,000.00	99,204.00	378.61	99,582.61	(682.00)	(767.47)	FIFO
09/05/18	09/07/18	APPLE INC CORP NOTES DTD 08/04/2016 1.100% 08/02/2019	037833CB4	200,000.00	197,397.23	201.67	197,598.90	(2,402.77)	(2,541.79)	FIFO
09/05/18	09/07/18	APPLE INC CORP NOTES DTD 08/04/2016 1.100% 08/02/2019	037833CB4	150,000.00	148,035.00	151.25	148,186.25	(1,815.00)	(1,919.27)	FIFO
09/05/18	09/07/18	MICROSOFT CORP NOTES DTD 08/08/2016 1.100% 08/08/2019	594918BN3	230,000.00	227,028.09	203.81	227,231.90	(2,735.01)	(2,898.46)	FIFO
09/05/18	09/07/18	SVENSKA HANDELSBANKEN NY CD DTD 01/12/2017 1.890% 01/10/2019	86958JHB8	525,000.00	524,136.97	1,626.19	525,763.16	(863.03)	(863.03)	FIFO
09/07/18	09/07/18	US TREASURY NOTES DTD 12/01/2014 1.500% 11/30/2019	912828G61	50,000.00	49,343.75	202.87	49,546.62	(734.38)	(688.83)	FIFO
09/10/18	09/12/18	WELLS FARGO & COMPANY CORP NOTE DTD 04/22/2014 2.125% 04/22/2019	94974BFU9	375,000.00	373,856.25	3,098.96	376,955.21	(2,677.50)	(1,453.76)	FIFO
09/12/18	09/14/18	PEPSICO, INC CORP NOTES DTD 10/06/2016 1.350% 10/04/2019	713448DJ4	130,000.00	128,178.70	780.00	128,958.70	(1,801.80)	(1,814.33)	FIFO
09/26/18	09/28/18	INTER-AMERICAN DEVELOPMENT BANK DTD 04/12/2016 1.000% 05/13/2019	458182DX7	505,000.00	500,015.65	1,893.75	501,909.40	(3,469.35)	(4,673.31)	FIFO
Transaction Type Sub-Total				2,265,000.00	2,247,195.64	8,537.11	2,255,732.75	(17,180.84)	(17,620.25)	
Managed Account Sub-Total						(103,139.99)	50,435.41	(52,704.58)	(17,721.97)	(17,620.25)
Total Security Transactions						(\$103,139.99)	\$50,435.41	(\$52,704.58)	(\$17,721.97)	(\$17,620.25)